

AI Innovation Challenge Administration Grant Program

Sample Application
May 2025



AI Innovation Challenge Administration Grant Program

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Welcome: AI Innovation Challenge Administration Grant Program

Program Description

The AI Innovation Challenge Administration Grant Program ("Program") is a competitive program that will provide \$3,800,000 in grant funding to an eligible Administrator. The Administrator will be responsible for developing and managing a statewide AI Innovation Challenge. Additionally, the Administrator will be responsible for selecting AI Innovation Challenge winners, as well as the distribution and management of sub-grant awards to these winners. For the Program funds, up to \$456,000 is available to the Administrator to produce the AI Innovation Challenge, while up to \$3,344,000 is available to distribute to AI Innovation Challenge winners by the Administrator.

PLEASE NOTE: THIS APPLICATION IS FOR PARTIES INTERESTED IN BEING THE ADMINISTRATOR OF THE AI INNOVATION CHALLENGE.

THIS APPLICATION IS NOT FOR ANYONE INTERESTED IN PARTICIPATING IN THE AI INNOVATION CHALLENGE.
This will be available at a later date through the selected Administrator.

The information provided in this application will be used for analysis and final decisions.

Potential Administrator Applicants for the Program should refer to the [Notice of Funding Availability](#), and Program's [website](#) prior to applying. Administrator Applicants must meet eligibility requirements as outlined in the following [Eligibility Snapshot](#). Additionally, Administrator Applicants must submit an in-depth Execution Proposal for the AI Innovation Challenge using the template provided in the application, which will be evaluated further via the [Administrator Application Scoring Matrix](#).

There is a non-refundable, \$1000 fee to submit this application.

All Program applications are subject to NJEDA review and approval.

For any queries, please email Achallenge@njeda.gov

Language Assistance

ATENCIÓN: si habla español, los servicios de asistencia lingüística, gratuitos, están disponibles para usted enviando un correo electrónico a languagehelp@njeda.com.

languagehelp@njeda.com: إذا كنت تتحدث اللغة العربية، فإن خدمات المساعدة اللغوية مجانية متاحة لك عبر إرسال بريد إلكتروني إلى

注意: 如果您說粵語, 可以透過傳送電子郵件至 languagehelp@njeda.com 免費獲取語言協助服務,

注意: 如果您說普通話, 可以通过发送电子邮件至 languagehelp@njeda.com 免费获取语言协助服务,

ધ્યાન આપો: જો તમે ગુજરાતી બોલતા હોય તો, તમારા માટે languagehelp@njeda.com પર ઈ-મેઇલ કરવાથી ભાષા સહાય સેવાઓ મફતમાં ઉપલબ્ધ છે.

ध्यान दें: यदि आप हिंदी बोलते हैं, तो languagehelp@njeda.com पर ईमेल द्वारा, आप के लिए नि:शुल्क भाषा सहायता सेवाएं उपलब्ध हैं।

ATTENZIONE: se parla italiano, può usufruire gratuitamente di servizi di assistenza linguistica scrivendo all'indirizzo languagehelp@njeda.com

알림: 한국어를 사용하시는 경우, 언어 지원 서비스가 무료로 이메일 languagehelp@njeda.com을 통해 제공됩니다.

UWAGA: Jeśli mówisz po polsku, możesz uzyskać pomoc tłumacza bezpłatnie wysyłając e-mail pod adres languagehelp@njeda.com.

ATENÇÃO: se você falar português, oferecemos serviços de apoio de idioma gratuitos. Envie um e-mail para languagehelp@njeda.com.

ATTENTION: Kung nagsasalita ka ng Tagalog, magagamit mo ang libreng mga serbisyong tulong sa wika sa pamamagitan ng pag-email sa languagehelp@njeda.com.

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Language Access

Is English your primary language? *

No

Please identify which of the following languages is your primary language: *

Español (Spanish)

If the NJEDA needs to contact you regarding your application, would you be interested in having an EDA provided interpreter in your native language? *

Yes

ATENCIÓN: si habla español, los servicios de asistencia lingüística, gratuitos, están disponibles para usted enviando un correo electrónico a languagehelp@njeda.gov

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Selecting “Yes” →
hides rest of the
fields on page.

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Primary Point of Contact

Throughout the life of a project – from application, to approval, to closing, and to certification/servicing – NJEDA will need to engage with various members of your team. This section collects contact information for individuals we may need to speak with as part of this project.

Please provide contact information for the primary point of contact within the applicant that NJEDA will keep updated on the status of this application.

NOTE: It is highly recommended that the primary point of contact be the individual that is currently filling out this application.

Salutation

First Name *

Middle Initial

Last Name *

Suffix

Title *

Email Address *

Confirm Email Address *

test@test.com

Phone Number *

(123) 456-7890

Primary Point of Contact Address *

123 Test

Address Line 2

Trenton

New Jersey



08823

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Authorized Representative

This application includes company representations and certifications and must be submitted by an individual who is legally authorized to sign documents on behalf of the applicant.

Is the primary point of contact legally authorized to submit this application on behalf of the applicant company? *

☐ Yes ☒ No

Legally authorized representative means one of the following:

- by applicant's General Counsel or Chief Legal Officer (recommended); or
- for a corporation: a principal executive officer at least the level of vice president;
- for a partnership: a general partner;
- for a sole proprietorship: the proprietor;
- for a governmental entity: the contact person (business administrator, manager, mayor, etc.);
- for other than above: the person with legal responsibility for the application.

Please input the following information for an Authorized Representative who is legally authorized to sign documents on behalf of the applicant

Salutation

First Name *

Middle Initial

Last Name *

Suffix

Selecting “Yes” →
hides rest of the
fields on page.

Title *

Vice President

Authorized Representative Company *

Test Administrator

Email Address *

testVP@test.com

Confirm Email Address *

testVP@test.com

Phone *

(123) 478-9023

Authorized Representative Address *

123 Test

Address Line 2

Trenton

New Jersey



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Chief Executive Officer/Owner/Equivalent

If the primary point of contact does not hold this role, please provide the contact information for the owner, CEO, or equivalent highest-ranking executive for the applicant.

Is the primary point of contact the Chief Executive Officer/equivalent officer for North America operations, or equivalent highest-ranking executive for the applicant company? *

☐ Yes ☒ No

Salutation

First Name *

Middle Initial

Last Name *

Suffix

Title *

Email Address *

test@test.com

Confirm Email Address *

test@test.com

Phone *

(123) 456-7890

Chief Executive Officer/Owner/Equivalent Address *

123 Test

Address Line 2

Trenton

New Jersey

08902

United States



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Applicant Organization

In this section, we are collecting information about the primary applicant for this program. We are focused on the primary applicant only. We will collect information on affiliates, parent companies, holding companies, or other related entities in the following sections of the application.

Applicant Entity Type *

C Corporation

What is the ownership structure of the applicant?

Applicant Organization Name *

Test Administrator

The full name of your registered legal entity. This name should match the name on your formation documents. If you are not sure of your legal entity name, please visit: <https://www.njportal.com/DOR/BusinessNameSearch/Search/BusinessName>.

Applicant Doing Business As (DBA)

Does your business operate under a different name?

Date Established *

6/8/2021

Please make sure this date matches the date on your entity's formation documents. MM/DD/YYYY

Applicant Country of Incorporation/Formation *

United States

Applicant State of Incorporation/Formation

Delaware

Formation Document(s)

Documentation to verify applicant entity's name – must provide company formation documents that relate to the entity applying (Articles of Incorporation, Articles of Organization, Certificate of Incorporation, Certificate of Trade Name (filed at county clerk's office-for sole proprietors))

- **Sole Proprietor:** [Provide a Certificate of Trade Name](#) (filed with the County Clerk)
- **LLC:** Provide a [Certificate of Formation](#) if applicable and/or [Operating Agreement](#) if applicable
- **Corporation:** Provide a [Certificate of Incorporation and Bylaws](#)
- **NonProfit:** Provide a [Certificate of Incorporation and Bylaws](#)
- **Out of State:** If your entity was formed out of state but operates within the State of New Jersey, you must file a Certificate of Authority when registering the business in New Jersey and provide that certificate.

Upload

 or drag files here.

 [Test Document.pdf](#)
31.2 KB

 

Applicant Federal Employer Identification Number (FEIN) *

00-0000000

The 9 digit Federal Tax ID number of your organization.

Applicant New Jersey Tax ID Number *

000000000000

Applicant Organization Mailing Address *

123 Test

Address Line 2

Trenton

New Jersey

08823

Applicant Organization's Phone Number *

(123) 456-7890

Applicant Organization's Website

https://www.test.com

Please provide a high-level, 2-3 short paragraph description of the applicant company. This may include the type of business you are involved in, your company's mission statement, the markets or customer base the company serves, and any other information about your business that the NJEDA should understand to review your application. *

test

North American Industry Classification System (NAICS) Code *

000000

Please upload the applicant organization's Tax Clearance Certificate from the NJ Division of Taxation. *

Upload

 or drag files here.

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Certificates may be requested through the State of New Jersey's online [Premier Business Services \(PBS\)](#) portal. Under the Tax & Revenue Center, select Tax Services, then select Business Incentive Tax Clearance. If the applicant's account is in compliance with its tax obligations and no liabilities exist, the Business Incentive Tax Clearance can be printed directly through PBS. [CLICK HERE](#) for instructions on how to secure your tax clearance certificate.

Has the applicant, or any related entities, previously received NJEDA assistance? *

Selecting "No" →
hides rest of the
fields on page.

☒ Yes ☐ No

Please identify the entities who have received NJEDA assistance. *

test

Please describe the NJEDA assistance the applicant previously received. Please be as specific as possible in detailing the programs through which you received NJEDA assistance, the facilities or projects associated with that assistance, the timeframes in which the assistance was provided, and the status of any awards or agreements. *

test

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Grant Program Eligibility

1. Does the Applicant have experience in managing a budget of at least \$3 million in at least 1 prior competitive event? *

Yes

Please note, competitive events include but are not limited to pitch competitions, innovation challenges, hackathons and bootcamps.

Please provide name of competitive event. *

test

Please provide competitive event budget. *

\$3,500,000.00

Please provide source of funds. *

test

Please provide evidence for the Applicant's experience and use of funds in managing a budget of at least \$3 million in at least 1 prior competitive event. Examples include annual financial statements, management prepared budgets, statements of work, or other items to justify the Applicant's experience. *

Upload

or drag files here.



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The budget may include funds used for developing and executing competitive events, and prize disbursements to competitive event participants/winners.

Selecting “No” →
hides
sub-questions.

Selecting “No” →
hides
sub-questions.

2. Does the Applicant have experience in developing and executing at least 5 competitive events open to external audiences, attended by at least 50 participants each, hosted by the entity during the 5 years prior to application? *

Yes

Please note, competitive events include but are not limited to pitch competitions, innovation challenges, hackathons and bootcamps.

Please provide 5 competitive events hosted by the Administrator Applicant.

⊗ Event Information 1

Name of Event *

test

Date Hosted *

11/13/2024

Type of Event *

Innovation Challenge

Event Description (Briefly describe the event, including the competitive components) *

test

Audience Scope *

Open to the Public

Number of Participants *

55

Please enter a minimum of 50 participants or more.

Please provide evidence of at least 50 participants per event. Examples include event registration records, sign-in sheets, post-event summaries, third party verification, media coverage, online platform analytics or other items to justify the Applicant's experience. *

Upload

or drag files here.



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Did the competitive event have a demo day, showcase or similar, with public presentations or awards to winner(s)? *

Yes



Please describe the applicant's role in developing/planning/managing/executing the competitive event. *

test

Please provide evidence of competitive event's occurrence. Examples include official event documentation, event reports, financial records, media coverage, competitive event results, website links, social media posts, or other items to justify the Applicant meets this requirement. *

Upload

or drag files here.



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+ Add Next Event

Selecting “No” →
hides
sub-questions.

3. Does the Applicant demonstrate a proven and documented track record of success in prior competitive event participants, with at least 15% of prior participants achieving one of the following outcomes: a) received follow-on funding from non-related parties, OR b) being strategically acquired, OR c) achieved significant (50%+) revenue growth post-competitive event, OR d) achieved an exit OR e) other tracked outcomes of success? *

Yes

"Non-related parties" are entities that are not the Administrator Applicant nor an affiliate, subsidiary, parent, or related entity to the Administrator Applicant.

Please provide additional information:

Methodology for tracking outcomes: Please explain how this data was collected/tracked/validated *

test

Level at which outcomes are tracked *

Applicant-organization level

Time period covered for the outcomes tracked *

2023

Total number of participants at competitive event level OR Applicant-organization level, for the time period listed above *

55

Number of participants achieving outcomes (must be at least 15% of total number of participants listed above) *

10

Description of participants that have achieved one or more of the outcomes

ⓧ Participant Information 1

Name of participant *

test

Outcome achieved *

Received follow-on funding from non-related parties



Please provide evidence of the achievement of outcome by this participant. Examples include participant success reports, case studies, third-party validation, media coverage, performance details, or other items demonstrating prior competitive event participants meeting the outcomes outlined above. *

Upload

or drag files here.



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+ Add Participant Information

Selecting “No” →
hides
upload field.

4. Does the Applicant have access to at least 50 actively engaged subject matter experts/mentors/partners across industries or functional capabilities (technology, sales, strategy, accounting, finance, marketing, etc.)? *

Yes

Please provide evidence of the Applicant's access to at least 50 actively engaged subject matter experts/mentors/partners across industries or functional capabilities. Examples include a directory of individuals/groups along with brief bios/LinkedIn profile URLs, digital presence, media coverage, or other items to justify the Applicant meets this requirement. Please indicate the most actively engaged individuals/groups. *



or drag files here.



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The Applicant's network may include internal experts, along with external individuals or groups the Applicant has partnered with.

5. Funds from the AI Innovation Challenge Administration Grant Program (“Program”) cannot be used for activities subject to New Jersey or Federal labor compliance laws Labor Standards Compliance - NJEDA. Will the Program funds be used by the Administrator for activities subject to New Jersey or Federal labor compliance laws such as construction or equipment purchases? *

No

Please review the [Labor Standards Compliance - NJEDA](#).

6. Funds from the AI Innovation Challenge Administration Grant Program (“Program”) cannot be used by the Administrator for investment activities into businesses participating in the AI Innovation Challenge, or any other entity related to the AI Innovation Challenge. This includes direct investments in startup companies or teams, and investments funds or groups through which the Administrator receives or could receive a financial benefit. Will the Program funds be used by the Administrator for investment activities? *

No

Eligible uses for funds disbursed to the Administrator under the Grant Program include direct and indirect costs incurred by the Administrator for:

- Planning, developing, and managing the AI Challenge events (including but not limited to Initial Competitive Event including Virtual Showcase, Demo Day Event)
- Providing in-kind resources to AI Challenge participants to create and test AI-based software solution during the AI Challenge
- Scoring and awarding sub-grant awards to winning Companies
- Managing sub-grant awards, including legal agreements, compliance with NJEDA's requirements, milestones, budgets, and reporting to NJEDA

Acknowledgement

- ☒ I acknowledge these eligible uses of funds, and understand any expenditures that do not comply with the above will be required to be returned by the Administrator to NJEDA at the end of the Grant Agreement term.

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AI Innovation Challenge – Execution Proposal

AI Innovation Challenge – Execution Proposal Template Instructions

IMPORTANT: Please read the following instructions. A copy of the template can be found [here](#) as well as within the upload field below.

Administrator Applicants must use the template provided to demonstrate their expertise in developing and executing the AI Innovation Challenge ("AI Challenge") which includes managing sub-grant awards to AI Challenge winners, as well as addressing the criteria in the [Administrator Applicant Scoring Matrix](#).

Proposals will be evaluated based on four (4) Scoring Criteria, as follows:

- Scoring Criteria 1: Sector Focus (maximum 10 points)
- Scoring Criteria 2: AI Challenge Structure and Programming (maximum 45 points)
- Scoring Criteria 3: Selection and Management of Sub-Grants (maximum 25 points)
- Scoring Criteria 4: Project Execution (maximum 20 points)

Please follow the steps below to ensure accurate submissions:

- 1) Review the [Eligibility Snapshot](#) for details regarding the AI Challenge's Scope, Format and Eligible AI Technologies.
- 2) Review the [Administrator Applicant Scoring Matrix](#) to understand how scoring ranks are determined.
- 3) Complete the questions in detail, providing evidence where applicable.

Using the template provided, please provide an in-depth proposal for the AI Innovation Challenge's execution, including management of sub-grant awards. Once complete, please upload the completed template and any supporting documentation using the upload fields below, preferably in a single PDF file format. *

Upload

or drag files here.

 [Test Document.pdf](#)
31.2 KB

 

Please download the template [here](#).

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State Employee Ownership

Is the applicant, or any person who controls the applicant or owns or controls more than 1% of stock of the applicant, an officer or employee of any agency, authority or other instrumentality of the State of New Jersey? *

☐ Yes ☒ No ☐ NA - Applicant Organization is Government Entity

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Religious Affiliation

Is the applicant involved in religious activities or religiously affiliated? *

☒ Yes ☐ No

Please note that this requires additional questions to determine eligibility of the requested financial assistance.

Religious Affiliation Form *

Upload

or drag files here.

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The NJEDA will need to collect additional information from you if your entity is involved in religious activities or is religiously affiliated. Please download the religious activity questionnaire form [DOWNLOAD HERE](#), and upload the completed form above.

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Selecting “No” →
hides
upload field.

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Cannabis Questionnaire

Has the applicant applied for or been issued a license, including a conditional license, from the New Jersey Cannabis Regulatory Commission (NJ-CRC) to operate as a cannabis cultivator, cannabis manufacturer, cannabis wholesaler, cannabis distributor, cannabis retailer, or cannabis delivery service; or does the applicant employ or intend to employ, or is the applicant itself, a certified personal use cannabis handler to perform work for or on behalf of a cannabis establishment, distributor, or delivery service? *

☐ Yes ☒ No

If the applicant is a property owner, developer, or operator of a project: is the property being used or intended for use, in whole or in part, (1) by or to benefit a cannabis cultivator, cannabis manufacturer, cannabis wholesaler, cannabis distributor, cannabis retailer, or cannabis delivery service, (2) to employ a certified personal use cannabis handler to perform work for or on behalf of a cannabis establishment, distributor, or delivery service, (3) by a person or entity that has applied or intends to apply to the New Jersey Cannabis Regulatory Commission (NJ-CRC) for a license to operate as a cannabis cultivator, cannabis manufacturer, cannabis wholesaler, cannabis distributor, cannabis retailer, or cannabis delivery service or has applied for certification to be, or intends to employ, a certified personal use cannabis handler to perform work for or on behalf of a cannabis establishment, distributor, or delivery service? *

☐ Yes ☒ No

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Diversity, Equity, & Inclusion

In this section, we would like more information about the diversity of your organization. Answers have no impact on eligibility for assistance and providing information is optional, to be used for tracking purposes only. Within each of these questions, "Prefer not to answer" can be selected if that is the case OR if the question is not applicable to your organization.

With which of the following does the majority owner of the applicant organization self-identify (if applicable)? *

- ☒ Minority
- ☐ Woman
- ☐ Veteran
- ☐ LGBTQ
- ☐ Disabled
- ☐ None of the above
- ☐ Prefer not to answer

Please indicate the majority owner's race(s): *

- ☐ Black or African American
- ☐ American Indian and Alaskan Native
- ☐ Asian
- ☐ Native Hawaiian or Other Pacific Islander
- ☐ Other
- ☒ Prefer not to answer

Please select the ethnicity or ethnicities that the majority owner most closely identifies with: *

- ☐ Black
- ☐ East Asian (e.g. Chinese, Korean)
- ☐ Latino/a/x or Hispanic
- ☐ Middle Eastern/ Northern African (e.g. Egyptian, Iranian)
- ☐ North American Aboriginal, Alaska Native, First Nations, Metis, or Inuit
- ☐ South Asian (e.g. Thai, Vietnamese)
- ☐ Other
- ☒ Prefer not to answer

Selecting
“Minority”
shows next two
sub-questions.



Please select which of the following State of New Jersey certifications the applicant organization currently holds: *

- ☐ Small Business Enterprise (SBE)
- ☐ Disadvantaged Business Enterprise (DBE)
- ☐ Minority-Owned Business Enterprise (MBE)
- ☐ Woman-Owned Business Enterprise (WBE)
- ☐ Veteran-Owned Business Enterprise (VOB)
- ☐ Disabled Veteran-Owned Business Enterprise (DVOB)
- ☒ None of the above
- ☐ Prefer not to answer

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Additional DE&I Information

In this section, we would like more information about any actions your organization has taken or is taking with respect to Diversity, Equity, and Inclusion.

Please describe whether your organization's leadership team is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).

☐ Question is not applicable

☒ Prefer not to answer

Please describe whether your organization's Board of Directors is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your Board of Directors as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).

☐ Question is not applicable

☒ Prefer not to answer

Please describe any diversity initiatives, programs or plans the applicant organization has established.

☐ Question is not applicable

☒ Prefer not to answer

Please upload any documentation detailing diversity initiatives, if available.

or drag files here.

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[Next >](#)

[Save](#)

→ For all text entry fields in this section, text entry is required unless N/A options below are selected. Should text be entered, N/A options are hidden to prevent selection.

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Applicant Representation

Is the individual filling out this application employed by the entity that is applying for the program? *

Yes

Is the individual filling out this application one of the following:

- by applicant's General Counsel or Chief Legal Officer (recommended);
- for a corporation: a principal executive officer at least the level of vice president;
- for a partnership: a general partner;
- for a sole proprietorship: the proprietor;
- for a governmental entity: the contact person (business administrator, manager, mayor, etc.);
- for other than above: the person with legal responsibility for the application.

Yes

Please indicate which of the following best describes the individual filling out this application? *

Principal Executive Officer at or above the minimum level of Vice President

Does the individual have the authority to execute legal agreements and contracts on behalf of the applicant and to obligate the applicant to the terms and conditions specified therein? *

Yes

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Selecting “No” or N/A here or below indicates that the primary applicant cannot legally represent their organization.

In this case, they are given forms representing the following sections with instructions requesting an authorized representative fill and sign them.

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Legal Questionnaire

Applicant Name: Test Administrator

Please note "Applicant" includes individuals and all types of entities applying for and receiving NJEDA financial assistance, incentives or contracts, including but not limited to: for profit businesses, non-profit organizations, municipalities, counties, colleges, universities and other institutions of higher learning.

Persons (entities or individuals) applying for NJEDA programs are subject to the Authority's Disqualification/Debarment Regulations (the "Regulations"), which are set forth in N.J.A.C. 19:30-2.1, et seq. Applicants are required to answer the following background questions ("Legal Questionnaire") pertaining to causes that may lead to debarment, disqualification, or suspension from eligibility under the Regulations and Executive Orders 34 (Byrne 1976) and 189 (Kean 1988) after consideration of all relevant mitigating factors.

Note that this form has recently been modified.

Please review this form in its entirety prior to providing any responses or certifications.

DEFINITIONS

Notwithstanding any terms defined elsewhere or otherwise herein, the following definitions shall govern in responding to this Legal Questionnaire:

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. This includes (however is not limited to):

- entities or persons having an ownership interest in the applicant of 30% or greater;
- entities in which an applicant holds an ownership interest of 30% or greater and are either named in the application and/or agreement or will receive a direct benefit from the financing, incentive or other agreement with NJEDA; and
- other entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with NJEDA.

"Legal Proceedings" means any civil, criminal, or administrative or regulatory proceedings in a State or Federal court or administrative tribunal in the United States or any territories thereof.

RELEVANT AFFILIATES

In accordance with the above, please identify any individuals or entities that hold a 30% or more ownership in the applicant:

Are there any individuals or entities that hold a 30% or more ownership interest in the applicant? *

Selecting “No” →
hides sub-
questions.

Yes

Affiliate Owners

⊗ Affiliate Owner 1

Entity / Individual *

Test

Ownership Percentage *

35%

+ Add Affiliate Owner

Applicant-Owned Affiliates

In accordance with the above, please identify any entities in which the **applicant holds a 30% or more interest**, and are either named in the application and/or agreement, or will receive a direct benefit from the financing, incentive, or other agreement with NJEDA.

+ Add Applicant-Owned Affiliate

Other Affiliates

In accordance with the above, please identify any other entities not already identified that are either named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with NJEDA:

+ Add Other Affiliates

RELEVANT TIMEFRAMES

Responses should be given based on the following “look-back” periods:

- For civil matters, those that were either pending or concluded within 5 years of the reporting date;
- For criminal matters, those that were either pending or concluded within 10 years of the reporting date;
- For environmental regulatory matters, those that were either pending or concluded within 10 years of the reporting date; and
- For all other regulatory matters, those that were either pending or concluded within 5 years of the reporting date.

Note that in cases where Applicant has previously submitted and certified a legal questionnaire to the Authority, the Applicant may refer to its prior legal questionnaire and report only those matters that are new or have changed in status since the date of last reporting.

Part A. Past Proceedings

Has Applicant, or any identified Affiliates of Applicant, been found or conceded or admitted to being guilty, liable or responsible in any Legal Proceeding, or conceded or admitted to facts in any Legal Proceedings that demonstrate responsibility for any of the following violations or conduct? (Any civil or criminal decisions or verdicts that have been vacated or expunged need not be reported.)

1. Commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract, or subcontract there under, or in the performance of such contract or subcontract. *

No

2. Violation of the Federal Organized Crime Control Act of 1970, or commission of embezzlement, theft, fraud, forgery, bribery, falsification or destruction of records, perjury, false swearing, receiving stolen property, obstruction of justice, or any other offense indicating a lack of business integrity or honesty. *

No

3. Violation of the Federal or State antitrust statutes, or of the Federal Anti-Kickback Act (18 U.S.C.874). *

No

4. Violation of any law governing the conduct of elections of the Federal Government, State of New Jersey or of its political subdivision. *

No

5. Violation of the "Law Against Discrimination" (P.L. 1945, c169, N.J.S.A. 10:5-1 et seq., as supplemented by P.L. 1975, c127), or of the act banning discrimination in public works employment (N.J.S.A. 10:2-1 et seq.) or of the act prohibiting discrimination by industries engaged in defense work in the employment of persons therein (P.L. 1942, c114, N.J.S.A. 10:1-10, et seq.). *

No

6. To the best of your knowledge, after reasonable inquiry, violation of any laws governing hours of labor, minimum wage standards, prevailing wage standards, discrimination in wages, or child labor. *

No

7. To the best of your knowledge, after reasonable inquiry, violation of any law governing the conduct of occupations or professions of regulated industries. *

No

8. Debarment by any department, agency, or instrumentality of the State or Federal government. *

No

9. Violation of the Conflict of Interest Law, N.J.S.A. 52:13D-12 et seq., including any of the following prohibitions on vendor activities representing a conflict of interest, or failure to report a solicitation as set forth below:

- (i) No person shall pay, offer or agree to pay, either directly or indirectly, any fee, commission, compensation, gift, gratuity, or other thing of value of any kind to any Authority officer or employee or special Authority officer or employee, as defined by N.J.S.A. 52:13D-13(b) and (e), with which such person transacts or offers or proposes to transact business, or to any member of the immediate family as defined by N.J.S.A. 52:13D-13(i), of any such officer or employee, or partnership, firm, or corporation with which they are employed or associated, or in which such officer or employee has an interest within the meaning of N.J.S.A. 52:13D-13(g).
- (ii) The solicitation of any fee, commission, compensation, gift, gratuity or other thing of value by any Authority officer or employee or special Authority officer or employee from any person shall be reported in writing by the person to the Attorney General and the NJEDA Ethics Liaison Officer.
- (iii) No person may, directly or indirectly, undertake any private business, commercial or entrepreneurial relationship with, whether or not pursuant to employment, contract or other agreement, express or implied, or sell any interest in such person to, any Authority officer or employee or special Authority officer or employee having any duties or responsibilities in connection with the purchase, acquisition or sale of any property or services by or to the Authority, or with any person, firm or entity with which he or she is employed or associated or in which he or she has an interest within the meaning of N.J.S.A. 52:13D-13(g). Any relationships subject to this subsection shall be reported in writing to the NJEDA Ethics Liaison Officer and the State Ethics Commission, which may grant a waiver of this restriction upon application of the Authority officer or employee or special Authority officer or employee upon a finding that the present or proposed relationship does not present the potential, actually or appearance of a conflict of interest.
- (iv) No person shall influence, or attempt to influence or cause to be influenced, any Authority officer or employee or special Authority officer or employee in his or her capacity in any manner which might tend to impair the objectivity or independence of judgment of the officer or employee.
- (v) No person shall cause or influence, or attempt to cause or influence, any Authority officer or employee or special Authority officer or employee to use, or attempt to use, his or her official position to secure unwarranted privileges or advantages for the person or any other person.

No

10. Violation of any State or Federal law that may bear upon a lack of responsibility or moral integrity, or that may provide other compelling reasons for disqualification. Your responses to the foregoing question should include, but not be limited to, the violation of the following laws, without regard to whether there was any monetary award, damages, verdict, assessment or penalty, except that any violation of any environmental law in category (v) below need not be reported where the monetary award, damages, etc. amounted to less than \$1 million.

- (i) Laws banning or prohibiting discrimination or harassment in the workplace.
- (ii) Laws prohibiting or banning any form of forced, slave, or compulsory labor.
- (iii) The New Jersey Conscientious Employee Protection Act, N. J. Stat. Ann. § 34:19-1 et seq., or other "Whistleblower Laws" that protect employees from retaliation for disclosing, or threatening to disclose, to a supervisor or to a public body an activity, policy or practice of the employer, that the employee reasonably believes is in violation of a law, or a rule or regulation issued under the law.
- (iv) Securities or tax laws resulting in a finding of fraud or fraudulent conduct.
- (v) Environmental laws, where the monetary award, penalties, damages, etc. amounted to more than \$1 million.
- (vi) Laws banning anti-competitive dumping of goods.
- (vii) Anti-terrorist laws.
- (viii) Criminal laws involving commission of any felony or indictable offense under State or Federal law.
- (ix) Laws banning human rights abuses.
- (x) Laws banning the trade of goods or services to enemies of the United States.

No

Part B. Pending Proceedings

11. To the best of your knowledge, after reasonable inquiry, are Applicant, or any identified Affiliates, a party to pending Legal Proceedings wherein any of the offenses or violations described in questions 1-10 above are alleged or asserted against such entity or person? With respect to laws banning or prohibiting discrimination or harassment in the workplace, please provide only information pertaining to any class action lawsuits or individual lawsuits alleging violations under the New Jersey Law Against Discrimination. *

No

If the answer to any of the foregoing questions is affirmative, you must provide the following information as an attachment to the application: (i) the case name and court/administrative agency (including jurisdiction and venue) in which such matters were tried or are pending; (ii) the charges or claims adjudicated or alleged; and (iii) status of the matter (e.g. Pending Dismissed following Settlement, Dismissed following Motion, etc.).

Please Note: An Applicant may refer to or attach specific provisions of a 10-K/Q or other filings with the U.S. Securities and Exchange Commission (SEC); however, the Applicant should be aware that different laws apply to disclosures to the Authority. This means that the Authority does not have the same types of materiality thresholds as the SEC. The Applicant is expected to supplement its SEC filings to ensure that all relevant matters are disclosed to the Authority, including any matters that were below the SEC's materiality threshold and any matters that may have occurred after its most recent filing.

Please Note: Eligibility is determined based on the information presented in the completed Application. If, at any time while engaged with the Authority the Applicant should become aware of any facts that materially alter or change its answers, or that render any of them incomplete or inaccurate, the Applicant has a duty to promptly report such facts to the Authority in writing. The Authority reserves the right to require additional clarifying or explanatory information from the Applicant regarding the answers given, to ask additional questions not contained in this Legal Questionnaire, and to perform its own due diligence investigations and searches.

Certification of Legal Questionnaire and Authorization to Release Information

This certification shall be signed as follows:

- by applicant's General Counsel or Chief Legal Officer (recommended); or
- for a corporation, by a principal executive officer at least the level of vice president;
- for a partnership, by a general partner;
- for a sole proprietorship, by the proprietor;
- for a governmental entity, by the contact person (business administrator, manager, mayor, etc.);
- for other than above, by the person with legal responsibility for the application.

I hereby represent and certify that I have reviewed the information contained in this Legal Questionnaire, and that the foregoing information is true and complete under penalty of perjury. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment. I further agree to inform the New Jersey Economic Development Authority of any changes in the foregoing information which may occur prior to execution of any agreement with the Authority, and so long as any such agreement is in effect. Failure to disclose relevant matters may render the Applicant ineligible for the financial benefits sought and may subject the Applicant to disqualification, debarment, suspension, or referral to the office of the state's Attorney General.

The undersigned, on behalf of the Applicant, understands and acknowledges that information and documents provided to the New Jersey Economic Development Authority: (1) are subject to public disclosure during deliberations of the Authority at public meetings regarding the application and as set forth in the minutes of the Authority's public meetings; and (2) are subject to public disclosure under certain laws, including, but not limited to, the Open Public Records Act, N.J.S.A. 47A:1-1 et seq., and the common law right-to-know.

Electronic Signatures

Pursuant to written policy, the New Jersey Economic Development Authority allows documents to be signed electronically and hereby agrees to be bound by such electronic signatures. Please confirm that you, as a signatory to this document, also agree to be bound by electronic signatures.

Full Name *

Test Contact

Title *

CEO

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AI Innovation Challenge Administration Grant Program

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Certification of Non-Involvement in Activities in Russia or Belarus

Program Name: AI Innovation Challenge Administration Grant Program

Applicant Name: Test Administrator

Applicant Doing Business As:

Pursuant to N.J.S.A. 52:32-60.1, et seq. ([P.L. 2022, c.3](#)) any person or entity (hereinafter 'Applicant') that seeks to be approved for or continue to receive an economic development subsidy from the New Jersey Economic Development Authority must complete the certification below indicating whether or not the Applicant is identified on the Office of Foreign Assets Control (OFAC) Specially Designated Nationals and Blocked Persons list, available here: <https://sanctionssearch.ofac.treas.gov/>. If the New Jersey Economic Development Authority finds that an Applicant has made a certification in violation of the law, it shall take any action as may be appropriate and provided by law, rule or contract, including but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the party in default and seeking debarment or suspension of the party.

Certification

I, the undersigned, have read and reviewed the Office of Foreign Assets Control (OFAC) Specially Designated Nationals and Blocked Persons list, and having done so certify (must check one appropriate box and complete the Authorized Signature section below):

- ☒ A. That the Applicant is not identified on the OFAC Specially Designated Nationals and Blocked Persons list on account of activity related to Russia and/or Belarus and is not engaged in activities related to Russia or Belarus. OR
- ☐ B. That I am unable to certify as to "A" above because the Applicant is identified on the OFAC Specially Designated Nationals and Blocked Persons list on account of activity related to Russia and/or Belarus. OR
- ☐ C. That I am unable to certify as to "A" or "B" above because the Applicant, though identified on the OFAC Specially Designated Nationals and Blocked Persons list on account of activity related to Russia and/or Belarus, is engaged in activities in Russia or Belarus consistent with federal law, regulation, license or exemption. A detailed, accurate and precise description of how the Applicant's activity related to Russia and/or Belarus is consistent with federal law is set forth below, including a copy of the license or listing the exemption. (Attach Additional Sheets If Necessary.)

Authorized Signature

I understand that if the above statements are willfully false, I shall be subject to penalty.

Name of Applicant Authorized Representative

Test App

Title of Applicant Authorized Representative

Vice President

Applicant FEIN or Taxpayer ID

00-0000000

Signature *



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Definitions

"Economic development subsidy" means the provision of an amount of funds to a recipient with a value of greater than \$25,000 for the purpose of stimulating economic development in New Jersey, including, but not limited to, any investment, bond, grant, loan, loan guarantee, matching fund, tax credit, or other tax expenditure.

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Certification of Application

PLEASE NOTE:

Eligibility of financial assistance by the New Jersey Economic Development Authority is determined by the information presented in this application and the required attachments and schedules. Any changes in the status of the proposed project from the facts presented herein could disqualify the project. Only Board Members of the governing board of the particular program for which you are applying, by resolution, may take action to determine project eligibility and to authorize the issuance of funds.

I, Test App, THE UNDERSIGNED, BEING DULY SWORN UPON MY OATH SAY:

I affirm, represent, and warrant that the information contained in this application and in all associated attachments submitted herewith is to the best of my knowledge true and complete and that the funding applied for herein is not for personal, family, or household purposes. *

Yes

I understand that if such information is willfully false, I am subject to criminal prosecution under N.J.S.A. 2C:28-2 and civil action by the NJEDA which may at its option terminate its financial assistance. *

Yes

I authorize the New Jersey Department of Law and Public Safety to verify any answer(s) contained herein through a search of its records, or records to which it has access, and to release the results of said research to the NJEDA. *

Yes

I authorize the NJEDA to provide information submitted to it by or on behalf of the applicant to any bank or State agency which might participate in the requested financing with the NJEDA. *

Yes

I certify my understanding that an electronic signature of this Application and any Approval Letter or Agreement shall be a binding on the parties. *

Yes

I certify that the applicant organization is not in default with any other program administered by the State of New Jersey. *

Yes

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Electronic Signature

Pursuant to written policy, the New Jersey Economic Development Authority allows documents to be signed electronically and hereby agrees to be bound by such electronic signatures. Please confirm that you, as a signatory to this document, also agree to be bound by electronic signatures.

☒ I agree to be bound by electronic signatures

Full Name *

Test App

Title *

CEO

Signature *



Date *

5/16/2025

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AI Innovation Challenge Administration Grant Program

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Application Submission

Thank you for your interest in **AI Innovation Challenge Administration Grant Program**.

If you are ready to submit this application to the NJEDA for review, please click the Submit button.

If you would like to make any changes to the application at this point, please click the Back button.

Please note, this Program has a \$1000 non-refundable application fee. An email with instructions to pay the fee will be sent once the Applicant clicks "Submit".

If there is any additional supporting documentation that you would like to provide, please use the upload button below.

or drag files here.

Full Name *

Test App

Title *

CEO

Date *

5/16/2025

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Submit

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