Sample Application

Clean Tech Research and Development (R&D) Pilot Seed Grant Program - Round 3





PLEASE READ BEFORE BEGINNING THE APPLICATION:

- 1. A document completeness review will be done as applications are received
- 2. Following the completeness review, applicants with missing documentation will receive a resubmission letter from CSIT to supply any missing or incomplete documentation within ten (10) business days. Applicants will be given thirty (30) business days to submit the tax clearance from the issuance of the resubmission letter. Applicants that fail to meet the resubmission deadlines will be declined.
- 3. Grants will be awarded to applicants with complete applications on a first come, first served basis.
- 4. Applicants can submit multiple voucher applications within a 12-month period, up to the \$40,000 maximum award amount

CSIT Catalyst and Clean Tech R&D Voucher Program (Rolling Application)

- Provides a Voucher grants up to \$40,000.
- The grant must be used for Research and Development Projects that require specialized equipment at a core research facility, lab or makerspace.
- Applicants can apply for either the Catalyst Research and Development Voucher Program Pilot, Round 2, or the Clean Tech Research and Development Voucher Program Pilot, Round 3. Applicants cannot apply for both voucher programs.

Projects must fall within the following industry sectors:

Clean Tech (R&D) Voucher Pilot Program, Round 3

- Chemicals/Advanced Materials
- Energy Distribution/Storage
- Energy Efficiency
- Energy Generation
- Green Buildings
- TransportationWaste Processing
- Water and Agriculture

Catalyst (R&D) Voucher Pilot Program, Round 2

- · Advanced Manufacturing
- · Advanced Transportation and Logistics
- Film and Digital Media
- Life Sciences
- Non-Retail Food and Beverages
- Professional and Financial Services
- Technology

SBIR/STTR Financial Assistance Grant Program Pilot Round 5 (Rolling Applications)

- \$25,000 Direct Funding for Phase I, Fast Track or Direct to Phase II Federal award/contract winners ("Direct Funding").
- \$50,000 Bridge Funding give to applicants that successfully completed Phase I of Federal SBIR/STTR program and have applied for Phase II funding but have not heard back. ("Bridge Funding")
- CSIT Direct Financial Assistance SBIR/STTR Program may only apply for one component (either a Direct Funding or Bridge Funding). Applicants cannot apply for both grants.

CSIT Catalyst and Clean Tech Seed Grant Programs - Round 3

- Provides a Seed grants up to \$75,000.
- The grant must be used for Research and Development Projects
- Applicants can apply for either the Catalyst Seed Grant Program Round 3, or the Clean Tech Seed Grant Program Round 3. Applicants cannot apply for both grants.
- Applicants are limited to a single application per grant program.
- Recipients of two or more active CSIT Seed or Demonstration Grants are ineligible for this Program.

Projects must fall within the following industry sectors:

Clean Tech (R&D) Seed Pilot Program, Round 3

- Chemicals/Advanced Materials
- Energy Distribution/Storage
- Energy Efficiency
- Energy Generation
- Green Buildings
- Transportation
- Waste Processing
- Water and Agriculture

Catalyst (R&D) Seed Pilot Program, Round 3

- · Advanced Manufacturing
- Advanced Transportation and Logistics
- Film and Digital Media
- Life Sciences (medical devices, diagnostics, platforms, non-drug therapeutics
- Non-Retail Food and Beverages
- Professional and Financial Services (fintech, etc)
- Technology (hard tech, AI, software development, machine learning, etc.)

IMPORTANT DEADLINES: Catalyst and Clean Tech Seed Grant Programs - Round 3

- All required support documents to upload are listed at the end of the application. After submission, applicants will be contacted and given 10 business days to submit any missing documents. Applicants that fail to meet the deadline will be declined.
- Tax Clearance (upload a new tax clearance dated November 15, 2023, or after) DUE February 16, 2024. Applicants that fail to meet the deadline will be declined.
- New Jersey State Certifications: Minority, Women, or Veteran Owned Business DUE February 16, 2024. (If applicable)
- University License Agreement (Company is using technology initially developed at a NJ university, under an executed License Agreement with such university) – DUE February 16, 2024. (If applicable)

Continue

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	Applicant: Mahako Etta		Application ID #203587	
	Project: MAHAKO TEST Product: Clean Tech Seed Grant	Pilot Program - Round 3	? HELP / Assistance	
	Welcome			
	Thank you for applying to Round 3 of the Clean Tech Seed Grant Pilot Program. The goal of the Program is to help New Jersey-based early-stage clean tech/clean energy companies accelerate development and innovation of clean technologies transform new discoveries from research stage into commercially viable technologies, leading to industry and investor interest.			
	Eligibility			
	and/or criteria pollutants, or to enab	ing clean technologies intended to avoid emissions o ble such avoidance or recapture in the following targ ge, Energy Efficiency, Energy Generation, Green Bui hemicals/Advance Materials?	et areas: Chemicals/Advance	
•	YES 🕶			
	Check all that apply:	 Chemicals/Advance Materials Energy Distribution/Storage Energy Efficiency Energy Generation ✔ Green Buildings Transportation Waste Processing Water and Agriculture 		
Does your Company have a minimum of one (1) full-time worker (calculated on a 35-hour work week)? A worker may be to founder and may be paid or unpaid.			ur work week)? A worker may be the	
	YES 🕶			
	Does your Company have less than revenue)? YES	five million dollars (\$5,000,000) in prior calendar ye	ar sales revenue (excluding grant	
Has the applicant applied for or been issued a license, including a conditional license, from the New Jersey Cannabis Regulatory Commission (NJ-CRC) to operate as a cannabis cultivator, cannabis manufacturer, cannabis wholesaler, cannabis distributor, cannabis retailer, or cannabis delivery service; or does the applicant employ or intend to employ, or is the applicant itself, a certified personal use cannabis handler to perform work for or on behalf of a cannabis establishment, distributor, or delivery service?				
	NO V			
	whole or in part, (1) by or to benefit cannabis retailer, or cannabis deliver on behalf of a cannabis establishmer apply to the New Jersey Cannabis Re	developer, or operator of a project: is the property is a cannabis cultivator, cannabis manufacturer, cannary service, (2) to employ a certified personal use cannation, distributor, or delivery service, (3) by a person of egulatory Commission (NJ-CRC) for a license to opercannabis distributor, cannabis retailer, or cannabis described.	abis wholesaler, cannabis distributor, nnabis handler to perform work for or r entity that has applied or intends to rate as a cannabis cultivator, cannabis	

certification to be, or intends to employ, a certified personal use cannabis handler to perform work for or on behalf of a

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NO 🗸

cannabis establishment, distributor, or delivery service?







Applicant: Mahako Etta Company: NJ Commission on Science, Inno Project: MAHAKO TEST	ovation, and Technolo	Application ID #203587
Product: Clean Tech Seed Grant Pilot Progr	am - Round 3	PELP / Assistance
Company Information		
Legal Company Name (Use <u>link</u> to validate Company name Business Name Search): :	NJ Commission on Science, Innovation, and Tec	chnolo
Provide a brief company description (150 words TEst	max)	
	<i>a</i>	
Address of Company Headquarters (Address should match that of tax clearance):		
Street Address:	1 Gateway Center 14th Flooi	
Address Line 2:		
City/Town:	Newark	
State:	New Jersey ✓	
ZIP Code:	07102	
Company's primary R&D space		
Street Address:	1 Gateway Center 14th Flooi	
Address Line 2:		
City/Town:	Newark	
State:	New Jersey ✓	
ZIP Code:	07102	
Company Website:	New Jersey Commission on Science Innovation	and Technology
State of Formation of Company	Kansas ✓	
Year Established:	2004 🕶	
Legal Structure:	Limited Liability Corporation ➤	
Industry (NAICS Code):	008119	
Federal Tax ID Number (EIN) (EIN should match that of tax clearance)::	382002999	
	Continue	

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Applicant: Mahako Etta
Company: NJ Commission on Science, Innovation, and Technolo
Project: MAHAKO TEST

Product: Clean Tech Seed Grant Pilot Program - Round 3

Application ID #203587

HELP / Assistance

Worker Log

Please complete the worker log table. List all individuals that work for the company at the time of application (E.g. full-time, part-time, consultants, interns, etc.). Please include the CEO and/or founder's information as well. Verification documentation will be uploaded later in the application process. Please note that if the company uses a Professional Employment Organization (PEO) for payroll processing, the PEO-form issued by the NJ Department of Labor must be submitted as well. Do not include: Advisors, board members, etc.

Employee Name Position Type # Hours worked per week

Add Another Individual

Continue

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Application Manager

Primary Contact

Market Opportunity

Team Information

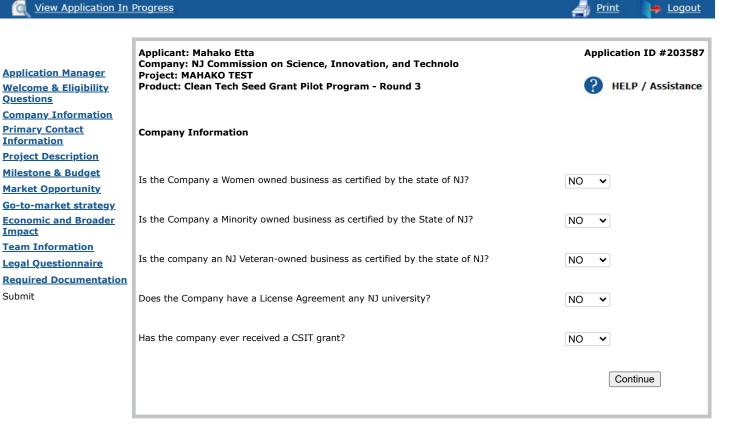
Legal Questionnaire

<u>Information</u> **Project Description** Milestone & Budget

Impact

Submit

Questions



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Application Manager Welcome & Eligibility Questions

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Primary Contact
Information

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Milestone & Budget

Market Opportunity

Go-to-market strategy
Economic and Broader
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Required Documentation

Submit

Applicant: Mahako Etta
Company: NJ Commission on Science, Innovation, and Technolo
Project: MAHAKO TEST
Product: Clean Tech Seed Grant Pilot Program - Round 3

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HELP / Assistance

Company Information

Provide details on all funding (excluding state and federal government grants) the Company has raised to date:

Funding Type Funder Amount

Edit Remove Friends and family ds \$10

Add Additional Funding Source

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Applicant: Mahako Etta Application ID #203587 Company: NJ Commission on Science, Innovation, and Technolo **Application Manager Project: MAHAKO TEST** Product: Clean Tech Seed Grant Pilot Program - Round 3 **HELP / Assistance Welcome & Eligibility** Questions **Company Information Primary Contact Primary Contact Information** <u>Information</u> **Project Description** First Name: tt Milestone & Budget Last Name: tt **Market Opportunity** TEST Job Title: **Go-to-market strategy** Telephone Number: 6096901558 **Economic and Broader Impact** Email Address: metta@njeda.gov **Team Information** Race: Prefer not to answer **Legal Questionnaire Required Documentation** Ethnicity: Prefer not to answer Submit Gender: Prefer not to answer > Veteran: Prefer not to answer > Disability: Prefer not to answer ∨ Continue

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Logout







Applicant: Mah Company: NJ (Project: MAHA	Commission on Science, Innovation, and Technolo	Appl	ication ID #203587
	Tech Seed Grant Pilot Program - Round 3	?	HELP / Assistance
Project Descri	ption		
	the innovation that your company is developing and for what application? E.g. The $(200 \ \text{words max.})$	e comp	pany is developing a
	dsfd		
			<i>i</i>
What is/are the	specific problems/challenges your innovation is trying to solve? (150 words max)		
	fsadf		
			1.
How will the pro	passed technology address these problems and shallonges (250 words may)?		
	posed technology address these problems and challenges (250 words max)? dfsd		
	итѕи		
			•••
	Continue		

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Applicant: Mahako Etta

Company: NJ Commission on Science, Innovation, and Technolo

Project: MAHAKO TEST

Product: Clean Tech Seed Grant Pilot Program - Round 3

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HELP / Assistance

Incumbent Players

What/who are the incumbent players in the ecosystem and their respective solutions or proposed solutions? (State the names of up to five companies and the solutions they offer today. If landscape has more than five main players, identify the five you considered to be most significant and indicate that there are more than five players in the market offering similar solutions)

Name of Company

Edit Remove sdsd

Add Additional Company

Continue

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Applicant: Mahako Etta	Application ID #203587
Company: NJ Commission on Science, Innovation, and Technolo	
Project: MAHAKO TEST Product: Clean Tech Seed Grant Pilot Program - Round 3	HELP / Assistance

Project Description

a. TRL score 2

Note the numerical score for the current stage of development of your innovation using this TRL (Technology Readiness
Level) and CRL (Commercial Readiness Level) calculator developed by the New York State Energy Research and Developmer
Authority. All Applicants should be between a minimum of TRL 2 (applied research) and maximum of TRL 7 (Full scale,
similar prototypical) system demonstrated in relevant environment) to be eligible for funding.

b. CRL score 4 Y
Looking at all aspects of your innovation, what do you believe are the most salient risks and how do you intend to mitigat the risks?
Describe Technology Risks and how your company aims to mitigate it. (250 words max)
dfd
Describe Market Risks and how your company aims to mitigate it. (250 words max)
dfd
Describe Regulatory Risks and how your company aims to mitigate it. (250 words max)
ddd
<u>(</u>
Do you have any intellectual property (patents) NO •
associated with this innovation or Project?

Continue

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Applicant: Mahako Etta Application ID #203587 Company: NJ Commission on Science, Innovation, and Technolo **Application Manager Project: MAHAKO TEST** Product: Clean Tech Seed Grant Pilot Program - Round 3 **HELP / Assistance Welcome & Eligibility** Questions **Company Information Primary Contact** Milestone and Budget <u>Information</u> **Project Description** Milestone & Budget Briefly describe how the funding will be used to further the research and development of your technology? (150 words max) **Market Opportunity** dfdd **Go-to-market strategy Economic and Broader Team Information Legal Questionnaire Required Documentation** Please complete the Budget and Milestone document. Upload completed document at the end of the application. Budget and Milestone Template (use attached excel template) Continue

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Applicant: Mahako Et Company: NJ Commis Project: MAHAKO TES	ssion on Science, Innovation, and Technolo	plication ID #203587
	Seed Grant Pilot Program - Round 3	HELP / Assistance
Market Opportunity		
	ssable market size for your solution? One-line answer E.g. The estimated total aList any reference for the figure	addressable market
8		
List the different target	market segments for your solution. (150 words max)	
kkkk		
_	tomers in the various market segments for your solution? (150 words max)	
jjj		
		<i>(c</i>)
	Continue	
	Continue	

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Applicant: Mahako Etta Company: NJ Commission on Science, Innovation, and Technolo		Application ID #203587	
Project: MAHA Product: Clear	NKO TEST n Tech Seed Grant Pilot Program - Round 3	?	HELP / Assistance
Go-to-market	strategy		
How are you pla partnership) (1	anning to manufacture and scale-up the innovation? (e.g. self-manufacture, license 50 words max)	e, man	ufacturing
	fssds		
			12
What sales cha	nnel(s) do you expect to use to reach your customers? 200 words max.		
- The product o	nel: r service will be sold directly to the end customers r service will be sold through intermediate distributors to the end customers r service will be licensed to the end customers		
	dss		
			<i>i</i> .
State past and	current partnerships/collaborations if any: (200 words max)		
	ffff		
			<u></u>
	Continue		

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Questions

<u>Information</u> **Project Description**

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Primary Contact

Team Information





Applicant: Mahako Etta Application ID #203587 Company: NJ Commission on Science, Innovation, and Technolo **Application Manager Project: MAHAKO TEST** Product: Clean Tech Seed Grant Pilot Program - Round 3 **HELP / Assistance Welcome & Eligibility Company Information** Go-to-market strategy What is the estimated average cost of your innovation with detailed breakdown and estimated average selling price? Milestone & Budget **Market Opportunity** Average Cost (\$) per unit: **Go-to-market strategy** Average selling price (\$) **Economic and Broader** per unit Provide details of the cost breakdown: **Legal Questionnaire** jjj **Required Documentation**

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<u>Progress</u>	A Pri	nt Logout
Applicant: Mahako Etta	Δnnli	cation ID #203587
Company: NJ Commission on Science, Innovation, and Technolo	ДРР	cation 15 #203307
Project: MAHAKO TEST Product: Clean Tech Seed Grant Pilot Program - Round 3	2	HELP / Assistance
·		Activities • Commission of the Commission
Economic and Broader Impact		
How many jobs do you intend to create in the next 3-years and in what career fields? (10)	0 words max)	
Tion many jobs do you meend to create in the next 5 years and in mile career news. (19	o words max)	
fff		
		<i>(</i>)
Describe how your innovation aims to benefit society and contribute to the achievement o	of specific, desir	ed societal
outcomes. (i.e. Inclusion, STEM education and workforce, Public engagement, social well-security, economic competitiveness, infrastructure)? (100 words max)	being, partners	hips, national
, , , , , , , , , , , , , , , , , , , ,		
ffff		
Describe how this innovation will contribute to CO2 abatement and/or reduction of criteria		
estimations of tons of CO2 emissions and criteria pollutants if available . (Applicants are e CRANE tool , or other credible public data sources such as the United States Department		
Administration, the U.S. Environmental Protection Agency's National Emissions Invergases other than carbon dioxide), the EPA's Greenhouse Gases Equivalencies Calculation	<u>itory</u> (especial	ly for greenhouse
gases other than carbon dioxide), the LFA's Greenhouse Gases Equivalencies Calcula	ttor, and other	s).
dfssf		
List all waste streams the commercialization of this innovation is going to create (specify a	any toxic waste	streams that may
be part of the manufacturing process or technology implementation). (200 words max)		
ffff		

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Applicant: Mahako Etta

Company: NJ Commission on Science, Innovation, and Technolo

Project: MAHAKO TEST

Product: Clean Tech Seed Grant Pilot Program - Round 3

Application ID #203587



HELP / Assistance

Team Information

List all the Team members who are proposed to be involved in the Project, including a brief description of the role they will play. For each team member, provide a brief bio, including any college and graduate degrees and professional experience relevant to his/her proposed role. Please add the Postsecondary Degree, Major, and University Affiliation on the same line.

Team Member Name

Add Additional Team Member

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<u>Application Manager</u> <u>Welcome & Eligibility</u> <u>Questions</u>

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Applicant: Mahako Etta

Company: NJ Commission on Science, Innovation, and Technolo

Project: MAHAKO TEST

Product: Clean Tech Seed Grant Pilot Program - Round 3

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HELP / Assistance

Application ID #203587

New Jersey Commission on Science, Innovation, and Technology Legal Questionnaire

Please note "Applicant" includes individuals and all types of entities applying for and receiving NJEDA financial assistance, incentives or contracts, including but not limited to: for profit businesses, non-profit organizations, municipalities, counties, colleges, universities and other institutions of higher learning.

Persons (entities or individuals) applying for NJEDA programs are subject to the Authority's Disqualification/Debarment Regulations (the "Regulations"), which are set forth in N.J.A.C. 19:30-2.1, et seq. Applicants are required to answer the following background questions ("Legal Questionnaire") pertaining to causes that may lead to debarment, disqualification, or suspension from eligibility under the Regulations and Executive Orders 34 (Byrne 1976) and 189 (Kean 1988) after consideration of all relevant mitigating factors. Municipalities, counties and state or governmental entities are not required to submit this Legal Questionnaire and may leave it empty.

Note that this form has recently been modified.

Please review in its entirety prior to providing any responses or certifications.

DEFINITIONS

Notwithstanding any terms defined elsewhere or otherwise herein, the following definitions shall govern in responding to this Legal Questionnaire:

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. This includes (however is not limited to):

- entities or persons having an ownership interest in the applicant of 30% or greater;
- entities in which an applicant holds an ownership interest of 30% or greater and are either named in the application and/or agreement or will receive a direct benefit from the financing, incentive or other agreement with NJEDA; and
- other entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with NJEDA.

"Legal Proceedings" means any civil, criminal, or administrative or regulatory proceedings in a State or Federal court or administrative tribunal in the United States or any territories thereof.

RELEVANT AFFILIATES

In accordance with the above, please identify any individuals or entities that hold a 30% or more ownership in the applicant: Are there any individuals or entities that hold a 30% or more ownership interest in the applicant?

There are no individuals or entities that hold a 30% or more ownership interest in the applicant 🕶

Applicant-Owned Affiliates

In accordance with the above, please identify any entities in which the applicant holds a 30% or more interest, and are either named in the application and/or agreement, or will receive a direct benefit from the financing, incentive, or other agreement with NJEDA.

Add Applicant-Owned Affiliates

Entity

FEIN # - if applicable

Other Affiliates

In accordance with the above, please identify any other entities not already identified that are either named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with NJEDA:

Add Other Affiliates

Entity

FEIN # - if applicable

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Applicant: Mahako Etta
Company: NJ Commission on Science, Innovation, and Technolo
Project: MAHAKO TEST

Product: Clean Tech Seed Grant Pilot Program - Round 3

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HELP / Assistance

RELEVANT TIMEFRAMES

Responses should be given based on the following "look-back" periods:

- For civil matters, those that were either pending or concluded within 5 years of the reporting date;
- For criminal matters, those that were either pending or concluded within 10 years of the reporting date;
- For environmental regulatory matters, those that were either pending or concluded within 10 years of the reporting date; and
- For all other regulatory matters, those that were either pending or concluded within 5 years of the reporting date.

Note that in cases where Applicant has previously submitted and certified a legal questionnaire to the Authority, the Applicant may refer to its prior legal questionnaire and report only those matters that are new or have changed in status since the date of last reporting.

Part A. Past Proceedings

Has Applicant, or any identified Affiliates of Applicant, been found or conceded or admitted to being guilty, liable or responsible in any Legal Proceeding, or conceded or admitted to facts in any Legal Proceedings that demonstrate responsibility for any of the following violations or conduct? (Any civil or criminal decisions or verdicts that have been vacated or expunged need not be reported.)

NO v 1. Commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract, or subcontract there under, or in the performance of such contract or subcontract.

NO > 2. Violation of the Federal Organized Crime Control Act of 1970, or commission of embezzlement, theft, fraud, forgery, bribery, falsification or destruction of records, perjury, false swearing, receiving stolen property, obstruction of justice, or any other offense indicating a lack of business integrity or honesty.

NO 🔻 3. Violation of the Federal or State antitrust statutes, or of the Federal Anti-Kickback Act (18U.S.C. 874).

NO • 4. Violation of any law governing the conduct of elections of the Federal Government, State of New Jersey or of its political subdivision.

NO

Solution of the "Law Against Discrimination" (P.L. 1945, c169, N.J.S.A. 10:5-1 et seq., as supplemented by P.L. 1975, c127), or of the act banning discrimination in public works employment (N.J.S.A. 10:2-1 et seq.) or of the act prohibiting discrimination by industries engaged in defense work in the employment of persons therein (P.L. 1942, c114, N.J.S.A. 10:1-10, et seq.).

NO • 6. To the best of your knowledge, after reasonable inquiry, violation of any laws governing hours of labor, minimum wage standards, prevailing wage standards, discrimination in wages, or child labor.

NO > 7. To the best of your knowledge after reasonable inquiry, violation of any law governing the conduct of occupations or professions of regulated industries.

NO > 8. Debarment by any department, agency, or instrumentality of the State or Federal government.

- NO 9. Violation of the Conflict of Interest Law, N.J.S.A. 52:13D-12 et seq., including any of the following prohibitions on vendor activities representing a conflict of interest, or failure to report a solicitation as set forth below:
 - i. No person shall pay, offer or agree to pay, either directly or indirectly, any fee, commission, compensation, gift, gratuity, or other thing of value of any kind to any CSIT officer or employee or special CSIT officer or employee, as defined by N.J.S.A. 52:13D-13(b) and (e), with which such person transacts or offers or proposes to transact business, or to any member of the immediate family as defined by N.J.S.A. 52:13D-13(i), of any such officer or employee, or partnership, firm, or corporation with which they are employed or associated, or in which such officer or employee has an interest within the meaning of N.J.S.A. 52:13D-13(g).
 - ii. The solicitation of any fee, commission, compensation, gift, gratuity or other thing of value by any CSIT officer or employee or special CSIT officer or employee from any person shall be reported in writing by the person to the Attorney General and the NJEDA Ethics Liaison Officer.
 - iii. No person may, directly or indirectly, undertake any private business, commercial or entrepreneurial relationship with, whether or not pursuant to employment, contract or other agreement, express or implied, or sell any interest in such person to, any CSIT officer or employee or special CSIT officer or employee having any duties or responsibilities in connection with the purchase, acquisition or sale of any property or services by or to CSIT, or with any person, firm or entity with which he or she is employed or associated or in which he or she has an interest within the meaning of N.J.S.A. 52:13D-13(g). Any relationships subject to this subsection shall be reported in writing to the NJEDA Ethics Liaison Officer and the State Ethics Commission, which may grant a waiver of this restriction upon application of the CSIT officer or employee or special CSIT officer or employee upon a finding that the present or proposed relationship does not present the potential, actually or appearance of a conflict of interest.
 - iv. No person shall influence, or attempt to influence or cause to be influenced, any CSIT officer or employee or special CSIT officer or employee in his or her capacity in any manner which might tend to impair the objectivity or independence of judgment of the officer or employee.
 - v. No person shall cause or influence, or attempt to cause or influence, any CSIT officer or employee or special CSIT officer or employee to use, or attempt to use, his or her official position to secure unwarranted privileges or advantages for the person or any other person. No person shall cause or influence, or attempt to cause or influence, any CSIT officer or employee or special CSIT officer or employee to use, or attempt to use, his or her official position to secure unwarranted privileges or advantages for the person or any other person.
- NO v 10. Violation of any State or Federal law that may bear upon a lack of responsibility or moral integrity, or that may provide other compelling reasons for disqualification. Your responses to the foregoing question should include, but not be limited to, the violation of the following laws, without regard to whether there was any monetary award, damages, verdict, assessment or penalty, except that any violation of any environmental law in category (v) below need not be reported where the monetary award, damages, etc. amounted to less than \$1 million.
 - i. Laws banning or prohibiting discrimination or harassment in the workplace.
 - ii. Laws prohibiting or banning any form of forced, slave, or compulsory labor.
 - iii. The New Jersey Conscientious Employee Protection Act, N. J. Stat. Ann. § 34:19-1 et seq., or other "Whistleblower Laws" that protect employees from retaliation for disclosing, or threatening to disclose, to a supervisor or to a public body an activity, policy or practice of the employer, that the employee reasonably believes is in violation of a law, or a rule or regulation issued under the law.
 - iv. Securities or tax laws resulting in a finding of fraud or fraudulent conduct.
 - v. Environmental laws, where the monetary award, penalties, damages, etc. amounted to more than \$1 million.
 - vi. Laws banning anti-competitive dumping of goods.
 - vii. Anti-terrorist laws.
 - viii. Criminal laws involving commission of any felony or indictable offense under State or Federal law.
 - ix. Laws banning human rights abuses.
 - $\boldsymbol{x}.$ Laws banning the trade of goods or services to enemies of the United States.

Part B. Pending Proceedings

NO v 11. To the best of your knowledge, after reasonable inquiry, are Applicant, or any officers or directors of Applicant, or any Affiliates, a party to pending Legal Proceedings, or the subject of a criminal investigation, wherein any of the offenses or violations described in questions 1-10 above are alleged or asserted against such entity or person? With respect to laws banning or prohibiting discrimination or harassment in the workplace, please provide only information pertaining to any class action lawsuits or individual lawsuits alleging violations under the New Jersey Law Against Discrimination.

If the answer to any of the foregoing questions is affirmative, you must provide the following information as an attachment to the application: (i) the case name and court/administrative agency (including jurisdiction and venue) in which such matters were tried or are pending; (ii) the charges or claims adjudicated or alleged; and (iii) status of the matter (e.g. Pending Dismissed following Settlement, Dismissed following Motion, etc.).

Please Note: An Applicant may refer to or attach specific provisions of a 10-K/Q or other filings with the U.S. Securities and Exchange Commission (SEC); however, the Applicant should be aware that different laws apply to disclosures to the Authority. This means that the Authority does not have the same types of materiality thresholds as the SEC. The Applicant is expected to supplement its SEC filings to ensure that all relevant matters are disclosed to the Authority, including any matters that were below the SEC's materiality threshold and any matters that may have occurred after its most recent filing.

Please Note: Eligibility is determined based on the information presented in the completed Application. <u>If, at any time</u> while engaged with the Authority the Applicant should become aware of any facts that materially alter or change its answers, or that render any of them incomplete or inaccurate, the Applicant has a duty to promptly report such facts to the Authority in writing. The Authority reserves the right to require additional clarifying or explanatory information from the Applicant regarding the answers given, to ask additional questions not contained in this Legal Questionnaire, and to perform its own due diligence investigations and searches.

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Application Manager
Welcome & Eligibility
Questions
Company Information
Primary Contact
Information
Project Description
Milestone & Budget

Market Opportunity
Go-to-market strategy
Economic and Broader
Impact
Team Information

Legal Questionnaire

Required Documentation

Submit

Applicant: Mahako Etta Company: NJ Commission on Science, Innovation, and Technolo

Project: MAHAKO TEST
Product: Clean Tech Seed Grant Pilot Program - Round 3

Application ID #203587



HELP / Assistance

CERTIFICATION OF LEGAL QUESTIONNAIRE AND AUTHORIZATION TO RELEASE INFORMATION

This certification shall be signed as follows:

- by applicant's General Counsel or Chief Legal Officer (recommended); or
- for a corporation, by a principal executive officer, at least the level of vice president;
- · for a partnership, by a general partner;
- for a sole proprietorship, by the proprietor;
- for a governmental entity, the contact person (business administrator, manager, mayor, etc.);
- for other than above, the person with legal responsibility for the application.

I hereby represent and certify that I have reviewed the information contained in this Legal Questionnaire, and that the foregoing information is true and complete under penalty of perjury. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment. I further agree to inform the New Jersey Commission on Science, Innovation, and Technology of any changes in the foregoing information which may occur prior to execution of any agreement with CSIT, and so long as any such agreement is in effect. Failure to disclose relevant matters may render the Applicant ineligible for the financial benefits sought and may subject the Applicant to disqualification, debarment, suspension, or referral to the office of the State's Attorney General.

The undersigned, on behalf of the Applicant, understands and acknowledges that information and documents provided to the New Jersey Commission on Science, Innovation, and Technology: (1) are subject to public disclosure during deliberations of the CSIT at public meetings regarding the application and as set forth in the minutes of the CSIT's public meetings; and (2) are subject to public disclosure under certain laws, including, but not limited to, the Open Public Records Act, N.J.S.A. 47A:1-1 et seq., and the common law right-to-know.

Electronic Signatures

Pursuant to written policy, the New Jersey Economic Development Authority allows documents to be signed electronically and hereby agrees to be bound by such electronic signatures. Please confirm that you, as a signatory to this document, also agree to be bound by electronic signatures.

Name: TEST TEST
Title: TEST

I am Authorized Signer and I accept the terms and conditions. 12/7/2023 11:48:23 AM

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Submit

Applicant: Mahako Etta Company: NJ Commission on Science, Innovation, and Technolo **Project: MAHAKO TEST**

Product: Clean Tech Seed Grant Pilot Program - Round 3

Application ID #203587



HELP / Assistance

Required Documentation

All applications to the Clean Tech Seed Grant Pilot Program - Round 3 must include the following documentation:

- 1. Budget and Milestone Proposal
- 2. Uploaded results from Technology and Readiness Technology (TRL) Calculator Excel file
- 3. Employee information (documentation) as appropriate for applicable company structure and staffing; most recent NJ WR-30 (W2 employees) or 1099 (contractors), Shareholder Agreement or K-1 or offer letters. Please note that if a Professional Employment Organization (PEO) is utilized, the Applicant must submit confirmation of PEO-A form issued by the NJ Department of Labor (DOL)
- 4. Summary of most recent Internal Payroll (Q4 2023 or Q1 2024) indicating each employee name and number of hours worked per week. If No workers are receiving a salary nor is payroll conducted, please submit a signed letter on company letter head
- 5. Most recent Company tax filing applicable to the organizational form of your business, showing the total Gross Receipts or Sales for the year
- 6. Current NJ tax clearance certificate (Tax Clearance must list: New Jersey Commission on Science, Innovation and Technology as agency name). See https://www16.state.nj.us/NJ_PREMIER_EBIZ/jsp/home.jsp DUE No Later Than February 16, 2024.
- 7. If applicable, copy of Women/ Minority/Veteran owned business NJ certification https://www.njportal.com/DOR/SBERegistry/Default/. DUE No Later Than February 16, 2024
- 8. If applicable, copy of Executed University License Agreement (Company is using technology initially developed at a NJ university, under an executed License Agreement with such university). DUE No Later Than February 16, 2024
- 9. For companies with a Dba "doing business as", we require a Proof of registration for "doing business as".
- 10. Signed CSIT Certification

Please download, sign, and upload the linked Application Certification as an attachment on the next page.

The online application will enable applicants to provide an electronic Application Certification by uploading a signed PDF. However, if an <u>applicant prefers not to</u> provide an electronic Application Certification, the applicant may:

a. Mail a hard copy of the signed Application Certification postmarked by the application deadline to CSIT at: Commission on Science, Innovation and Technology

Attn: Judith Sheft (Executive Director)

36 W State Street

P.O. Box 990

Trenton, NJ 08625

AND

b. Email the signed CSIT Certification to CSIT with the subject line: "MAILED Application Certification Clean Tech Seed Grant Pilot Program - Round 3" indicating that the document has been mailed. Only the signed CSIT Legal Certification should be mailed; the rest of the application must be submitted through the online system.

Please note that if an applicant chooses to mail a hard copy of the application certification, the application will only be considered complete once the original signed CSIT application certification post marked by the application deadline is received by CSIT.

11. For companies with a Dba "doing business as", we require a Proof of registration for "doing business as"

Print List of Required Attachments

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Applicant: Mahako Etta Company: NJ Commission on Science, Innovation, and Technolo	Application ID #203587
Project: MAHAKO TEST Product: Clean Tech Seed Grant Pilot Program - Round 3	PELP / Assistance
Attachments	
There are no files currently attached to the application.	
Attachments may be submitted in the following formats:	
 Microsoft Word (.doc, .docx) Microsoft Excel (.xls, .xlsx) WordPerfect (.wpd) Text (.txt) Adobe PDF (.pdf) 	
Select file(s) to attach:	
Select	
Upload Files	
Continue	

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