



Round 2 Catalyst Research and
Development (R&D) Pilot Seed Grant
Program

OLA Application Template
2023

Online Application

Welcome Page

<https://application.njeda.com/CSIT>



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Welcome

Thank you for applying to the Round 2 Catalyst research and development (R&D) Pilot Seed Grant Program. The goal of the Round 2 Catalyst R&D Pilot Seed Grant Program is to help New Jersey-based early-stage innovation companies accelerate development of technologies to transform new therapeutic drug discoveries from research stage into commercially viable products. The grant funds should enable Applicants to make significant progress and have a meaningful impact on their commercialization outcomes.

If you have any questions, please email CSITCatalyst@njeda.com

PLEASE READ BEFORE BEGINNING THE APPLICATION:

- The grant must be used for **Research and Development projects**, or **the testing of technologies**.
- If you are applying for this grant, you are **ineligible** to apply for the Food and Agriculture Research and Development Pilot Seed Grant.
- Only **ONE** application can be submitted by a Company.
- Proposed Innovations must fall within the following industry areas: **Life Sciences – therapeutic drug discovery**

IMPORTANT DEADLINES:

- The Catalyst Research and Development (R&D) Pilot Seed Grant Program application will open on Monday, June 5, 2023 at 9 a.m. The application will be open through July 14, 2023 at 5pm.
- All **required** support documents to upload are listed at the end of the application. After submission, applicants will be contacted and given **10 business days** to submit any missing documents. Applicants that fail to meet the deadline will be **declined**.
- **Tax Clearance** (upload a new tax clearance dated May 15, 2023 or after) – **DUE October 6, 2023**. Applicants that fail to meet the deadline will be **declined**.
- **New Jersey State Certifications**: Minority, Women, or Veteran Owned Business – **DUE October 6, 2023**. Applicants that fail to meet the deadline will be declined.
- **Executed University License Agreement** (Company is using technology initially developed at a NJ university, under an executed License Agreement with such university) – **DUE October 6, 2023**

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Create a New Account



Log In

User Name:

Password:

Online Application

Create a New Account



In order to complete your online application, you must register for an account with a username and password on our system. Your username and password will allow you to fill out the application in steps as your schedule permits.

Note: All fields are required.

First Name:	<input type="text"/>
Last Name:	<input type="text"/>
Company:	<input type="text"/>
Phone Number:	<input type="text"/>
Phone Ext.:	<input type="text"/>
E-mail:	<input type="text"/>
Username:	<input type="text"/>
Password:	<input type="password"/>
Re-type Password:	<input type="password"/>

Remember to write down your username and password for future reference

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Please check the applicable (Only one may be selected):

- Round 2 Catalyst research and development (R&D) Pilot Seed Grant Program
- Food and Agriculture research and development (R&D) Pilot Seed Grant Program
- Round 2 Clean Tech Research and Development (R&D) Voucher Pilot Program
- Catalyst Research and Development (R&D) Voucher Pilot Program

Please enter a brief description of your project (up to 50 characters). The description is for your reference only. It will not be submitted with the application.

* Required Field


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Company Welcome & Eligibility



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Welcome

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Welcome

Thank you for applying to the Catalyst Research and Development (R&D) Pilot Seed Grant Program. The goal of the Round 2 Catalyst R&D Pilot Seed Grant Program is to help New Jersey-based early-stage innovation companies accelerate development of technologies to transform new therapeutic drug discoveries from research stage into commercially viable products. The grant funds should enable Applicants to make significant progress and have a meaningful impact on their commercialization outcomes.

Important Note: The grant must be used for Research and Development projects or the testing of therapeutics, excluding clinical trials activity.

Eligibility

Is the applicant, or any person who controls the applicant, or owns or controls more than 1% of the stock or units of the applicant, an officer or employee of any agency, authority, or other instrumentality of the State of New Jersey? An agency, authority, or other instrumentality of New Jersey includes:

- Any of the principal departments in the Executive Branch of the New Jersey state government, or a division, board, bureau, office, commission or other instrumentality within or created by such a department, or
- An interstate agency to which New Jersey is a party, or
- Any independent New Jersey authority, commission, instrumentality, or agency.

The company is conducting research and development in the area of Life Sciences – therapeutic drug discovery

Does the company have a minimum of one full-time worker (Full-time calculated on a 35-hour work week). A founder can be counted as a worker; a worker may be paid or unpaid at the time of submission.

Does the company have no more than 25 full-time equivalent (FTE) workers (FTE calculated on a 35-hour work week) at time

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Company Welcome & Eligibility cont.

Milestone & Budget	development of technologies to transform new therapeutic drug discoveries from research stage into commercially viable products. The grant funds should enable Applicants to make significant progress and have a meaningful impact on their commercialization outcomes.
Go-to-market strategy	
Team Information	
Acknowledgement of Virtual Presentation	Important Note: The grant must be used for Research and Development projects or the testing of therapeutics, excluding clinical trials activity.
Legal Questionnaire	Eligibility
Attachments	Is the applicant, or any person who controls the applicant, or owns or controls more than 1% of the stock or units of the applicant, an officer or employee of any agency, authority, or other instrumentality of the State of New Jersey? An agency, authority, or other instrumentality of New Jersey includes: <input type="text"/>
Submit	<ul style="list-style-type: none">• Any of the principal departments in the Executive Branch of the New Jersey state government, or a division, board, bureau, office, commission or other instrumentality within or created by such a department, or• An interstate agency to which New Jersey is a party, or• Any independent New Jersey authority, commission, instrumentality, or agency.
	The company is conducting research and development in the area of Life Sciences – therapeutic drug discovery <input type="text"/>
	Does the company have a minimum of one full-time worker (Full-time calculated on a 35-hour work week). A founder can be counted as a worker; a worker may be paid or unpaid at the time of submission. <input type="text"/>
	Does the company have no more than 25 full-time equivalent (FTE) workers (FTE calculated on a 35-hour work week) at time of submission. <input type="text"/>
	Is fifty percent or more of the cumulative hours worked by all workers, founders, and contractors must be conducted in New Jersey (as calculated on an FTE basis of 35 hours per week) at time of submission. <input type="text"/>
	Does the company have less than or equal to \$2,000,000 in 2022 calendar year sales revenue. <input type="text"/>
	<input type="button" value="Continue"/>

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Company Information

Legal Company Name
[\(Use link to validate Company Name\):](#)

DBA (doing business as) Name:

Provide a brief company description (150 words max)

Address of Company Headquarters (Address should match that of tax clearance):

Street Address:

Address Line 2:

City/Town:

State:

ZIP Code:

Address of Company's primary R&D space:

Street Address:

Address Line 2:

City/Town:

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Company Information cont.

DBA (doing business as) Name:

Provide a brief company description (150 words max)

Address of Company Headquarters (Address should match that of tax clearance):

Street Address:

Address Line 2:

City/Town:

State:

ZIP Code:

Address of Company's primary R&D space:

Street Address:

Address Line 2:

City/Town:

State:

ZIP Code:

Company Website:

State of Formation of Company:

Year Established:

Legal Structure:

Industry (NAICS Code):

Federal Tax ID Number (EIN)
(EIN should match that of tax clearance):

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Worker Log

Innovation and Technology

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Worker Log

Please complete the worker log table. **List all individuals that work for the company at the time of application (E.g. full-time, part-time, consultants, interns, etc.).** Please include the CEO and/or founder's information as well. Verification documentation will be uploaded later in the application process. Please note that if the company uses a Professional Employment Organization (PEO) for payroll processing, the PEO-form issued by the NJ Department of Labor must be submitted as well. Do not include: Advisors, board members, etc.

Employee Name:

Position:

Date Hired:

Type:

of hours worked per week:

Is the employee's work location in NJ?

Primary work location address:

Is the employee getting paid?

Does the employee have equity in the business?

Verification document:


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Employee Name	Position	Type	# Hours worked per week	Verification Document
Edit Remove xyz	xyz	PTE	35	Shareholders Agreement

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Diversity, Equity & Inclusion

In this section, we would like more information about the diversity of your organization. Answers have no impact on eligibility for assistance and providing information is optional, to be used for tracking purposes only. Within each of these questions, "Prefer not to answer" can be selected if that is the case OR if the question is not applicable to your organization..

With which of the following does the majority owner of the applicant organization self-identify (if applicable)?

- Minority
- Woman
- Veteran
- LGBTQ
- Disabled
- None of the above
- Prefer not to answer

* Required Field

Please select which of the following certifications the applicant organization currently holds:

- Small Business Enterprise (SBE)
- Disadvantaged Business Enterprise (DBE)
- Minority-Owned Business Enterprise (MBE)
- Woman-Owned Business Enterprise (WBE)
- Veteran-Owned Business Enterprise (VOB)
- Disabled Veteran-Owned Business Enterprise (DVOB)
- None of the above
- Prefer not to answer

* Required Field

Additional DE&I Information

In this section, we would like more information about any actions your organization has taken or is taking with respect to Diversity, Equity, and Inclusion.

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Company Information cont.

Additional DE&I Information
In this section, we would like more information about any actions your organization has taken or is taking with respect to Diversity, Equity, and Inclusion.

Please describe whether your organization organization's leadership team is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).

Question is not applicable
 Prefer not to answer

*** Required Field**

Please describe whether your organization organization's Board of Directors is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).

Question is not applicable
 Prefer not to answer

*** Required Field**

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Company Information cont.

Question is not applicable
 Prefer not to answer

*** Required Field**

Please describe any diversity initiatives, programs or plans the applicant organization has established.

Question is not applicable
 Prefer not to answer

*** Required Field**

Language Assistance
Is English your primary language?


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Company Information

Do you have a NJ Minority-owned business state certification?

Do you have a NJ Women-owned business state certification?

Do you have a NJ Veteran-owned business state certification?


Do you have an executed license with a NJ university for this innovation?

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Primary Contact Information



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Primary Contact Information

First Name:

Last Name:

Job Title:

Phone:

Email:

Race:

Ethnicity

Select all that apply:

- American Indian / Native Alaskan
- Asian
- Black or African American
- Pacific Islander / Native Hawaiian
- White
- Other
- Prefer not to say

Gender:


Veteran Status:

Disability Status:

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Project Description



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Project Description

Briefly describe the innovation that your company is developing (500 words max)

What is/are the specific problems/challenges that your innovation is trying to solve in the area of food insecurity in the State of New Jersey? (500 words max)

How will the technology address these problems/challenges? (500 words max)

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Project Description cont.

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What is/are the specific problems/challenges that your innovation is trying to solve in the area of food insecurity in the State of New Jersey? (500 words max)

How will the technology address these problems/challenges? (500 words max)?

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Incumbent Players

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Incumbent Players

What/who are the incumbent players in the ecosystem and their respective solutions or proposed solutions? (State the names of up to five companies and the solutions they offer today. If landscape has more than five main players, identify the five you considered to be most significant and indicate that there are more than five players in the market offering similar solutions)

Name of Company:

Proposed solution (Please differentiate between various company solutions)


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Incumbent Players_cont.



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Name of Company	
Edit	Remove xyz

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Project Description



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Project Description

Describe the current stage of innovation development for the proposed project. Projects should have demonstrated **proof of concept but not be at the stage of product launch** to be considered for funding in the Round 2 Catalyst Research and Development Pilot Seed Grant Program (250 words max) **(Reminder: Proof of concept documentation will be required to upload at the end of the application)**

Are there any regulatory approvals required for the adaption of your innovation? Please describe how you plan to address these requirements.

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Project Description cont.

Looking at all aspects of your innovation, what do you believe are the most salient risks and how do you intend to mitigate the risks. (250 words max)

Describe Technology Risks and how your company aims to mitigate it. (250 words max)

Describe Market Risks and how your company aims to mitigate it. (250 words max)

Describe Regulatory Risks and how your company aims to mitigate it. (250 words max)

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Project Description cont.

[Empty text box]

Describe Regulatory Risks and how your company aims to mitigate it. (250 words max)

[Empty text box]

Intellectual Property

Do you have any intellectual property associated with this innovation or Project?

Please describe any plans the company has to file intellectual property related to the proposed project.


[Empty text box]



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Project Description
If applicable, list any intellectual property you have, associated with this innovation or Project.

Patent Number:

Patent Title:

Patent Status:

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Project Description

If applicable, list any intellectual property you have, associated with this innovation or Project.

	Patent Number	Patent Title	Patent Status	
Edit	Remove	12345	xyz	active

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Milestone and Budget



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Milestone and Budget

Briefly describe how the funding will be used to further the research and development of your innovation? (150 words max)

Please complete the Budget and Milestone document. Upload completed document at the end of the application. [Budget and Milestone Template](#) (use attached excel template)

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Go-To-Market Strategy

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Go-to-market strategy

Describe your proposed business model. (e.g. manufacturing, license, manufacturing partnership) (250 words max)

Who are your target customers and how do you intend to market your solution to them? Please be specific(250 words max)

How are you planning to scale-up your business in the next three-years (250 words max)

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Go-To-Market Strategy

How are you planning to scale-up your business in the next three-years (250 words max)

Economic Impact

How many jobs do you intend to create in the next 3-years and in what career fields? (100 words max)

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Team Information



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Team Information

List all the Team members who are proposed to be involved in the Project, including a brief description of the role they will play. For each team member, provide a brief bio, including any college and graduate degrees and professional experience relevant to his/her proposed role. Please add the Postsecondary Degree, Major, and University Affiliation on the same line.

Name:

Title:

Postsecondary Degrees:

Brief Bio (250 words max) Include LinkedIn URL if available

LinkedIn Profile (weblink):

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Team Member Name

[Edit](#) [Remove](#) xyz

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Acknowledgement of Virtual Presentation


- I acknowledge that upon submission of this application, I agree to participate in a 30-minute virtual presentation of the proposed project with grant reviewers.

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Online Application Legal Questionnaire



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Applicant: Application ID #203292
Company: xyz
Project: xyz
Product: Round 2 Catalyst research and development (R&D) Pilot Seed Grant Program ? HELP / Assistance

New Jersey Commission on Science, Innovation, and Technology Legal Questionnaire

Persons (entities or individuals) applying for CSIT programs are subject to the Disqualification/Debarment set forth in Executive Order 34 (Byrne 1976). Applicants are required to answer the following background questions ("Legal Questionnaire") pertaining to causes that may lead to debarment, disqualification, or suspension from eligibility under the Regulations and Executive Orders 34 (Byrne 1976) and 189 (Kean 1988) after consideration of all relevant mitigating factors. Governmental entities are not required to submit this Legal Questionnaire and may leave it empty.

***Note that this form has recently been modified.
Please review in its entirety prior to providing any responses or certifications.***

DEFINITIONS

Notwithstanding any terms defined elsewhere or otherwise herein, the following definitions shall govern in responding to this Legal Questionnaire:

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. For the purposes of application for, or ongoing compliance with, CSIT-administered programs, this includes:

- any entities or persons having an ownership interest in Applicant of 10% or greater;
- any entities in which Applicant holds an ownership interest of 10% or greater; and
- any entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with CSIT.

Note that any entities or persons fitting these definitions will need to be listed in Part C on the next page.

"Legal Proceedings" means any civil, criminal, or administrative proceedings in a State or Federal court or administrative tribunal in the United States or any territories thereof.

RELEVANT TIMEFRAMES

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RELEVANT TIMEFRAMES

Responses should be given based on the following "look-back" periods:

- For civil matters, those that were either pending or concluded within 5 years of the reporting date;
- For criminal matters, those that were either pending or concluded within 10 years of the reporting date;
- For environmental regulatory matters, those that were either pending or concluded within 10 years of the reporting date; and
- For all other regulatory matters, those that were either pending or concluded within 5 years of the reporting date.

Note that in cases where Applicant has previously submitted and certified a legal questionnaire to CSIT, the Applicant may refer to its prior legal questionnaire and report only those matters that are new or have changed in status since the date of last reporting.

Part A. Past Proceedings

Has Applicant, or any officers or directors of Applicant, or any Affiliates of Applicant, been found or conceded or admitted to being guilty, liable or responsible in any Legal Proceeding, or conceded or admitted to facts in any Legal Proceedings that demonstrate responsibility for any of the following violations or conduct? (Any civil or criminal decisions or verdicts that have been vacated or expunged need not be reported.)

1. Commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract, or subcontract there under, or in the performance of such contract or subcontract.

2. Violation of the Federal Organized Crime Control Act of 1970, or commission of embezzlement, theft, fraud, forgery, bribery, falsification or destruction of records, perjury, false swearing, receiving stolen property, obstruction of justice, or any other offense indicating a lack of business integrity or honesty.

3. Violation of the Federal or State antitrust statutes, or of the Federal Anti-Kickback Act (18U.S.C. 874).

4. Violation of any law governing the conduct of elections of the Federal Government, State of New Jersey or of its political subdivision.

5. Violation of the "Law Against Discrimination" (P.L. 1945, c169, N.J.S.A. 10:5-1 et seq., as supplemented by P.L. 1975, c127), or of the act banning discrimination in public works employment (N.J.S.A. 10:2-1 et seq.) or of the act prohibiting discrimination by industries engaged in defense work in the employment of persons therein (P.L. 1942, c114, N.J.S.A. 10:1-10, et seq.).

6. To the best of your knowledge, after reasonable inquiry, violation of any laws governing hours of

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Legal Questionnaire cont.

6. To the best of your knowledge, after reasonable inquiry, violation of any laws governing hours of labor, minimum wage standards, prevailing wage standards, discrimination in wages, or child labor.

7. To the best of your knowledge after reasonable inquiry, violation of any law governing the conduct of occupations or professions of regulated industries.

8. Debarment by any department, agency, or instrumentality of the State or Federal government.

9. Violation of the Conflict of Interest Law, N.J.S.A. 52:13D-12 et seq., including any of the following prohibitions on vendor activities representing a conflict of interest, or failure to report a solicitation as set forth below:

- i. No person shall pay, offer or agree to pay, either directly or indirectly, any fee, commission, compensation, gift, gratuity, or other thing of value of any kind to any CSIT officer or employee or special CSIT officer or employee, as defined by N.J.S.A. 52:13D-13(b) and (e), with which such person transacts or offers or proposes to transact business, or to any member of the immediate family as defined by N.J.S.A. 52:13D-13(i), of any such officer or employee, or partnership, firm, or corporation with which they are employed or associated, or in which such officer or employee has an interest within the meaning of N.J.S.A. 52:13D-13(g).
- ii. The solicitation of any fee, commission, compensation, gift, gratuity or other thing of value by any CSIT officer or employee or special CSIT officer or employee from any person shall be reported in writing by the person to the Attorney General and the NJEDA Ethics Liaison Officer.
- iii. No person may, directly or indirectly, undertake any private business, commercial or entrepreneurial relationship with, whether or not pursuant to employment, contract or other agreement, express or implied, or sell any interest in such person to, any CSIT officer or employee or special CSIT officer or employee having any duties or responsibilities in connection with the purchase, acquisition or sale of any property or services by or to CSIT, or with any person, firm or entity with which he or she is employed or associated or in which he or she has an interest within the meaning of N.J.S.A. 52:13D-13(g). Any relationships subject to this subsection shall be reported in writing to the NJEDA Ethics Liaison Officer and the State Ethics Commission, which may grant a waiver of this restriction upon application of the CSIT officer or employee or special CSIT officer or employee upon a finding that the present or proposed relationship does not present the potential, actually or appearance of a conflict of interest.
- iv. No person shall influence, or attempt to influence or cause to be influenced, any CSIT officer or employee or special CSIT officer or employee in his or her capacity in any manner which might tend to impair the objectivity or independence of judgment of the officer or employee.
- v. No person shall cause or influence, or attempt to cause or influence, any CSIT officer or employee or special CSIT officer or employee to use, or attempt to use, his or her official position to secure unwarranted privileges or advantages for the person or any other person. No person shall cause or influence, or attempt to cause or influence, any CSIT officer or employee or special CSIT officer or employee to use, or attempt to use, his or her official position to secure unwarranted privileges or advantages for the person or any other person.

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Required Attachments cont.

10. Violation of any State or Federal law that may bear upon a lack of responsibility or moral integrity, or that may provide other compelling reasons for disqualification. Your responses to the foregoing question should include, but not be limited to, the violation of the following laws, without regard to whether there was any monetary award, damages, verdict, assessment or penalty, except that any violation of any environmental law in category (v) below need not be reported where the monetary award, damages, etc. amounted to less than \$1 million.

- i. Laws banning or prohibiting discrimination or harassment in the workplace.
- ii. Laws prohibiting or banning any form of forced, slave, or compulsory labor.
- iii. The New Jersey Conscientious Employee Protection Act, N. J. Stat. Ann. § 34:19-1 et seq., or other "Whistleblower Laws" that protect employees from retaliation for disclosing, or threatening to disclose, to a supervisor or to a public body an activity, policy or practice of the employer, that the employee reasonably believes is in violation of a law, or a rule or regulation issued under the law.
- iv. Securities or tax laws resulting in a finding of fraud or fraudulent conduct.
- v. Environmental laws, where the monetary award, penalties, damages, etc. amounted to more than \$1 million.
- vi. Laws banning anti-competitive dumping of goods.
- vii. Anti-terrorist laws.
- viii. Criminal laws involving commission of any felony or indictable offense under State or Federal law.
- ix. Laws banning human rights abuses.
- x. Laws banning the trade of goods or services to enemies of the United States.

Part B. Pending Proceedings

11. To the best of your knowledge, after reasonable inquiry, are Applicant, or any officers or directors of Applicant, or any Affiliates, a party to pending Legal Proceedings, or the subject of a criminal investigation, wherein any of the offenses or violations described in questions 1-10 above are alleged or asserted against such entity or person? With respect to laws banning or prohibiting discrimination or harassment in the workplace, please provide only information pertaining to any class action lawsuits.

If the answer to any of the foregoing questions is affirmative, you must provide the following information as an attachment to the application: (i) the case name and court/administrative agency (including jurisdiction and venue) in which such matters were tried or are pending; (ii) the charges or claims adjudicated or alleged; and (iii) a brief explanation of the circumstances giving rise to such matters. Also, for affirmative answers to question 1-10, please attach copies of document(s) reflecting the final resolution (e.g., final judgments, verdicts, plea bargains, consent orders, administrative findings, or settlement agreements).

Note that an Applicant may refer to or attach specific provisions of a 10-K/Q or other filings with the U.S. Securities and Exchange Commission (SEC); however, the Applicant should be aware that different laws apply to disclosures to CSIT. This means that CSIT does not have the same types of materiality thresholds as the SEC. The Applicant is expected to supplement its SEC filings to ensure that all relevant matters are disclosed to CSIT, including any matters that were below the SEC's materiality threshold and any matters that may have occurred after its most recent filing.

Please Note: Eligibility is determined based on the information presented in the completed Application. If, at

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Legal Questionnaire cont.

- v. Environmental laws, where the monetary award, penalties, damages, etc. amounted to more than \$1 million.
- vi. Laws banning anti-competitive dumping of goods.
- vii. Anti-terrorist laws.
- viii. Criminal laws involving commission of any felony or indictable offense under State or Federal law.
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Please Note: Eligibility is determined based on the information presented in the completed Application. If, at any time while engaged with CSIT the Applicant should become aware of any facts that materially alter or change its answers, or that render any of them incomplete or inaccurate, the Applicant has a duty to promptly report such facts to CSIT in writing. CSIT reserves the right to require additional clarifying or explanatory information from the Applicant regarding the answers given, to ask additional questions not contained in this Legal Questionnaire, and to perform its own due diligence investigations and searches.

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Part C: Applicable Affiliates

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. For the purposes of application for, or ongoing compliance with, CSIT-administered programs, this includes:

- any entities or persons having an ownership interest in Applicant of 10% or greater;
- any entities in which Applicant holds an ownership interest of 10% or greater; and
- any entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with CSIT.

Please provide a list of all entities or persons considered to be "Affiliates" of Applicant based upon the above definitions.

I have no affiliates to report.

Name of Affiliate (Entity or Person):

Federal Employer Identification Number (FIN) (if applicable):

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- any entities in which Applicant holds an ownership interest of 10% or greater; and
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Please provide a list of all entities or persons considered to be "Affiliates" of Applicant based upon the above definitions.

	Name	FEIN (if applicable)
Edit	Remove	xyz

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CERTIFICATION OF LEGAL QUESTIONNAIRE AND AUTHORIZATION TO RELEASE INFORMATION

This certification shall be signed as follows:

- for a corporation, by a principal executive officer, at least the level of vice president;
- for a partnership, by a general partner;
- for a sole proprietorship, by the proprietor;
- for a governmental entity, the contact person (business administrator, manager, mayor, etc.);
- for other than above, the person with legal responsibility for the application.

I hereby represent and certify that I have reviewed the information contained in this Legal Questionnaire, and that the foregoing information is true and complete under penalty of perjury. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment. I further agree to inform the New Jersey Commission on Science, Innovation, and Technology of any changes in the foregoing information which may occur prior to execution of any agreement with CSIT, and so long as any such agreement is in effect. Failure to disclose relevant matters may render the Applicant ineligible for the financial benefits sought and may subject the Applicant to disqualification, debarment, suspension, or referral to the office of the State's Attorney General.

The undersigned, on behalf of the Applicant, understands and acknowledges that information and documents provided to the New Jersey Commission on Science, Innovation, and Technology: (1) are subject to public disclosure during deliberations of the CSIT at public meetings regarding the application and as set forth in the minutes of the CSIT's public meetings; and (2) are subject to public disclosure under certain laws, including, but not limited to, the Open Public Records Act, N.J.S.A. 47A:1-1 et seq., and the common law right-to-know.

Name:
Title:
Applicant Name:

I am Authorized Signer and I accept the terms and conditions.

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Required Documentation

All applications to the Round 2 Catalyst Research and Development Pilot Seed Program **must** include the following documentation:

- To evidence that proof of concept has been achieved for the project. Submit one of the following:
 - Description of the proof-of-concept results,
 - Published paper outlining results achieved,
 - Detailed description of patent application for proposed technology,
 - Successful completion of a federal SBIR/STTR grant or contract related to the project,
 - Confirmation documentation from a university tech transfer office if the project relates to technology that has been developed at a university.
- [Budget and Milestone Template \(use attached excel template\)](#)
- Employee information as appropriate for applicable company structure and staffing (i.e., most recent New Jersey WR-30 (W2 employees) or 1099 (contractors)), Shareholder Agreement or K-1, or offer letters. Please note that if a Professional Employment Organization (PEO) is utilized, the applicant must submit confirmation of PEO-A form issued by the New Jersey Department of Labor (DOL). These confirmations are issued on an annual basis and are valid for a year. See <https://www.nj.gov/labor/ea/employer-services/leasing-companies/> for additional information on PEOs.
- Summary of most recent Internal Payroll (Q4 2020 or Q1 2021) indicating each employee name and number of hours worked per week. If **No** workers are receiving a salary nor is payroll conducted, please submit a signed letter on company letter head explaining that fact.
- Most recent company tax filing: Federal 941 and either an NJ-CBT-100 (Schedule A), Form-1065 or Form -1040 (Schedule C), as applicable, showing the total gross receipts or sales for the year.
- Current NJ tax clearance certificate (Tax Clearance must list: New Jersey Commission on Science, Innovation and Technology as agency name). See https://www16.state.nj.us/NJ_PREMIER_EBIZ/jsp/home.jsp. DUE No Later Than October 6, 2023.
- If applicable, copy of Women and/or Minority owned business NJ certification <https://www.njportal.com/DOR/SBERegistry/Default/>. DUE No Later Than October 6, 2023

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year. See <https://www.nj.gov/labor/ea/employer-services/leasing-companies/> for additional information on PEOs.

d. Summary of most recent Internal Payroll (Q4 2020 or Q1 2021) indicating each employee name and number of hours worked per week. If **No** workers are receiving a salary nor is payroll conducted, please submit a signed letter on company letter head explaining that fact.

e. Most recent company tax filing: Federal 941 and either an NJ-CBT-100 (Schedule A), Form-1065 or Form -1040 (Schedule C), as applicable, showing the total gross receipts or sales for the year.

f. Current NJ tax clearance certificate (Tax Clearance must list: New Jersey Commission on Science, Innovation and Technology as agency name). See https://www16.state.nj.us/NJ_PREMIER_EBIZ/jsp/home.jsp. DUE No Later Than October 6, 2023.

g. If applicable, copy of Women and/or Minority owned business NJ certification <https://www.njportal.com/DOR/SBERRegistry/Default/>. DUE No Later Than October 6, 2023

h. If applicable, copy of Executed University License Agreement (Company is using technology initially developed at a NJ university, under an executed License Agreement with such university). DUE No Later Than October 6, 2023.

i. **Signed CSIT Certification**

Please download, sign, and upload the linked Application Certification as an attachment on the next page.

The online application will enable applicants to provide an electronic Application Certification by uploading a signed PDF. However, if an **applicant prefers not to** provide an electronic Application Certification, the applicant may:

a. Mail a hard copy of the signed Application Certification postmarked by the application deadline to CSIT at:
Commission on Science, Innovation and Technology
Attn: Judith Sheft (Executive Director)
36 W State Street
P.O. Box 990
Trenton, NJ 08625

AND

b. Email the signed CSIT Certification to CSIT with the subject line: "MAILED Application Certification Round 2 Catalyst R&D Pilot Seed Program" indicating that the document has been mailed. Only the signed CSIT Legal Certification should be mailed; the rest of the application must be submitted through the online system.


Please note that if an applicant chooses to mail a hard copy of the application certification, the application will only be considered complete once the original signed CSIT application certification post marked by the application deadline is received by CSIT.

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Attachments

There are no files currently attached to the application.

Attachments may be submitted in the following formats:

- Microsoft Word (.doc, .docx)
- Microsoft Excel (.xls, .xlsx)
- WordPerfect (.wpd)
- Text (.txt)
- Adobe PDF (.pdf)

Select file(s) to attach:

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