

Round 4: SBIR/STTR Direct
Funding Grant

OLA Application Template 2022

https://application.njeda.com/CSIT

Welcome Page



Welcome to the Commission on Science, Innovation and Technology's application portal!

GENERAL INFORMATION:

Before you get started, there are a few things you should be aware of to make the application process as simple as possible.

- In order to complete your online application, you must register for an account with a username and password. Your username and password will allow you the flexibility to complete your application at your convenience.
- All fields are mandatory but can be completed with a 'NA' if you do not have the requested information at the time of application or if the question does not apply.
- If you would like to go back to a previous application section, select the section name from the left panel. Use the continue button to navigate to the desired page.
- You may use the "HELP/Assistance" button on the top right to email csit@njeda.com.
- If asking questions regarding your specific application, please reference the Application ID # located on the top right of the screen.
- Because some state systems have experienced longer than usual processing times due to COVID-19, applicants are
 encouraged to obtain copies of the required NJ documentation early in the process of completing their applications to avoid
 any delays in submission of supporting documentation

Round 4 SBIR/STTR Direct Financial Support Program

Round 4 SBIR/STTR Direct Financial Support Program opens on October 3rd at 9am and closes on November 14th at 5pm.

In order to apply a company MUST have received at least one prior federal SBIR/STTR award - no earlier than September 16, 2020

• You may use the "HELP/Assistance" button on the top right to email csitsbir@njeda.com

Create a New Account

New Jersey Commission on Science, Innovation and Technology		
	Log In User Name: Password: Log In Create a new account Retrieve Login Information	
		Privacy Policy Refund Policy Security Policy

Copyright © 2020 New Jersey Economic Development Authority (NJEDA)

Create a New Account



In order to complete your online application, you must register for an account with a username and password on our system. Your username and password will allow you to fill out the application in steps as your schedule permits.

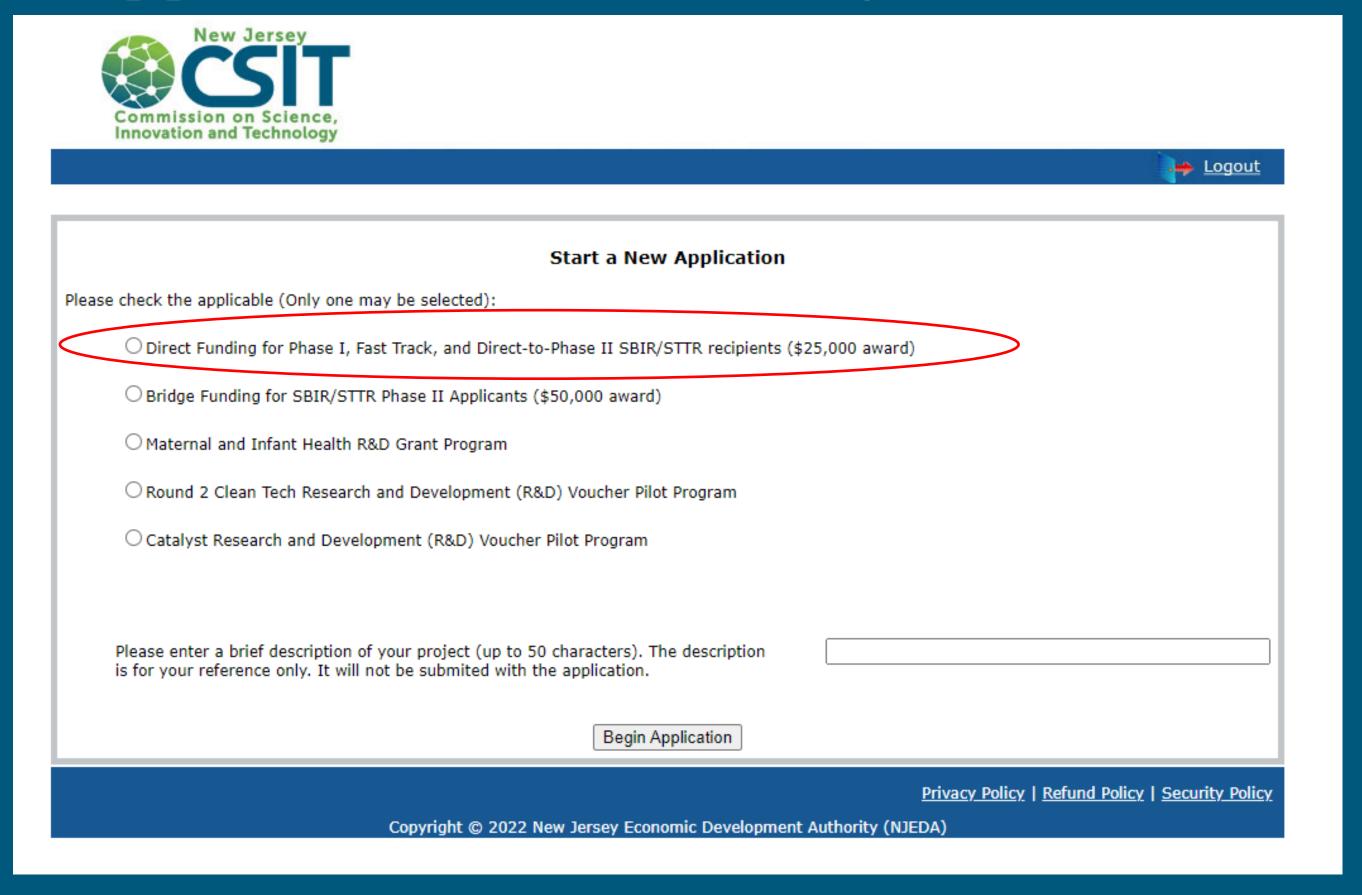
Note: All fields are required.

First Name:				
Last Name:				
Company:				
Phone Number:				
Phone Ext.				
E-mail:				
Username:				
Password:				
Re-type Password:				
Remember to write down	your username and	passwor	rd for future	e reference

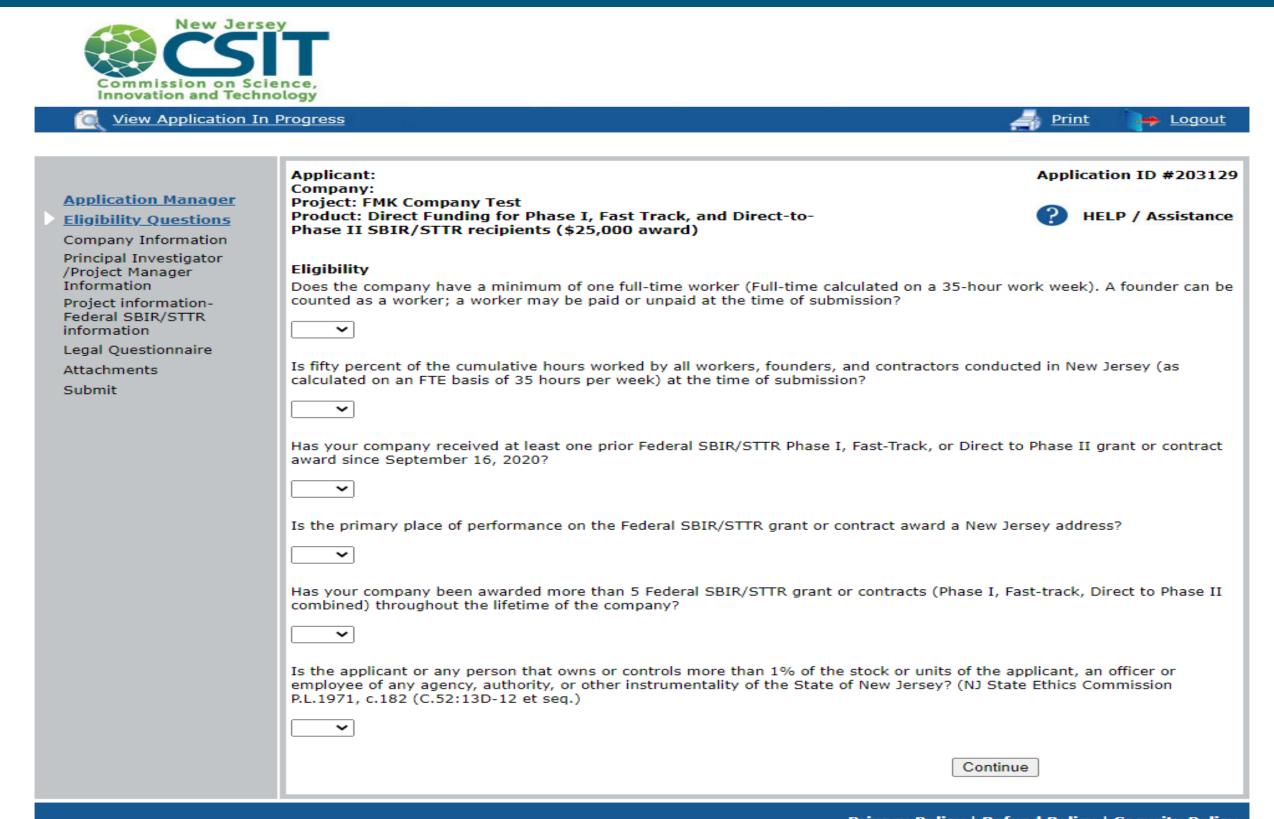
Submit

Privacy Policy | Refund Policy | Security Policy

Start a New Application Direct Funding



Online Application Eligibility



Online Application Company Information





View Application In Progress



Print



Application ID #203129

HELP / Assistance

🅦 Logout

Application Manager Eligibility Questions

Company Information

Principal Investigator /Project Manager Information Project information-Federal SBIR/STTR information

Legal Questionnaire

Attachments

Submit

Applicant:
Company:
Project: FMK Company Test
Product: Direct Funding for Phase I, Fast Track, and Direct-to-
Phase II SBIR/STTR recipients (\$25,000 award)

Company Information

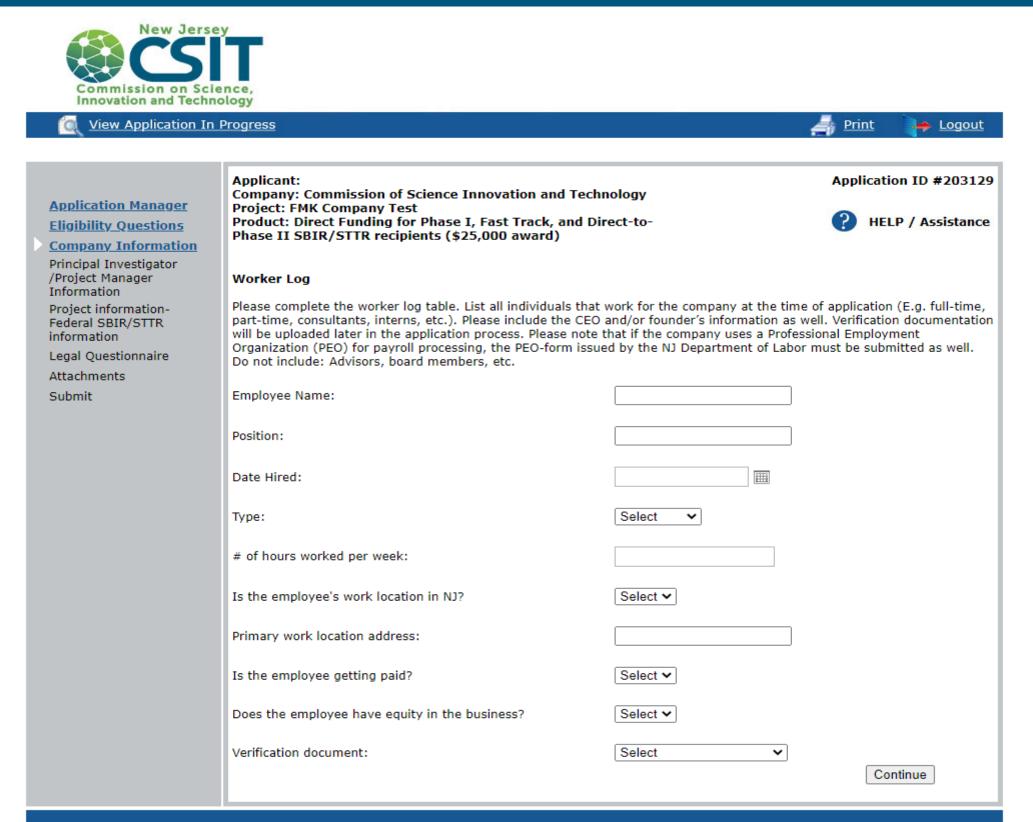
Legal Name of Company:

Provide a brief des

scription of your company (200	words max):	

Street Address:	
Address Line 2:	
City/Town:	
State:	~
ZIP Code:	
Primary Contact First Name:	
Primary Contact Last Name:	
Primary Contact Title:	
Phone Number:	
E-mail:	
Company Website:	
State of Incorporation/Formation	~
Year Established:	
Ownership Structure:	~
Industry (NAICS Code):	
Company Specialization/Area of Focus:	
Federal Tax ID#:	
	Continue
	Drivers Policy Befored Delicy Conveits Believ
Converight @ 2022 New Jargey Economic	Privacy Policy Refund Policy Security Policy

Company Information cont.



Privacy Policy | Refund Policy | Security Policy

Company Information cont.







Print



<u>Application Manager</u> <u>Eligibility Questions</u>

Company Information

Principal Investigator /Project Manager Information Project information-

Project information-Federal SBIR/STTR information

Legal Questionnaire Attachments

Submit

Applicant:

Company: Commission of Science Innovation and Technology

Project: FMK Company Test

Product: Direct Funding for Phase I, Fast Track, and Direct-to-

Phase II SBIR/STTR recipients (\$25,000 award)

Application ID #203129



HELP / Assistance

Worker Log

Please complete the worker log table. List all individuals that work for the company at the time of application (E.g. full-time, part-time, consultants, interns, etc.). Please include the CEO and/or founder's information as well. Verification documentation will be uploaded later in the application process. Please note that if the company uses a Professional Employment Organization (PEO) for payroll processing, the PEO-form issued by the NJ Department of Labor must be submitted as well. Do not include: Advisors, board members, etc.

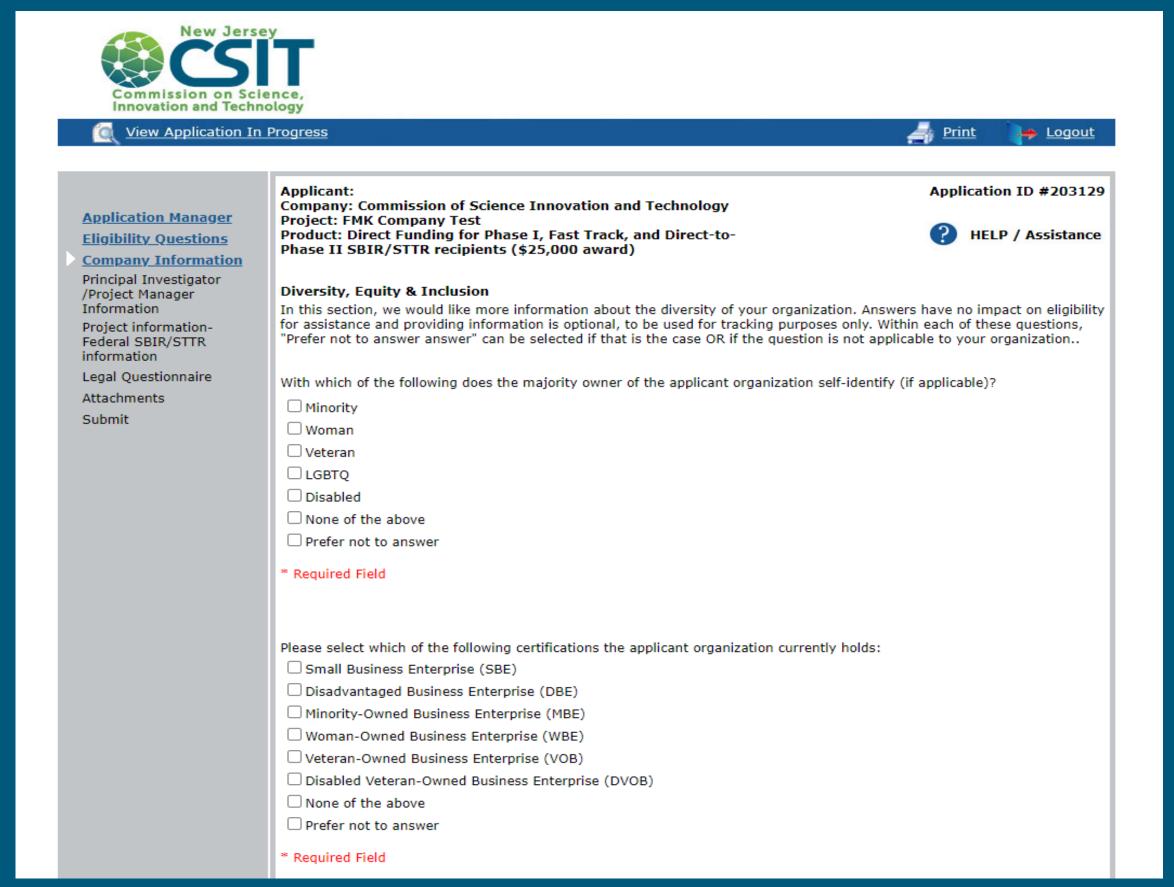
Employee Name	Position	Туре	# Hours worked per week	Verification Document
Edit Remove frances keel	ceo	FTE	40	W2

Add Another Individual

Continue

<u>Privacy Policy</u> | <u>Refund Policy</u> | <u>Security Policy</u>

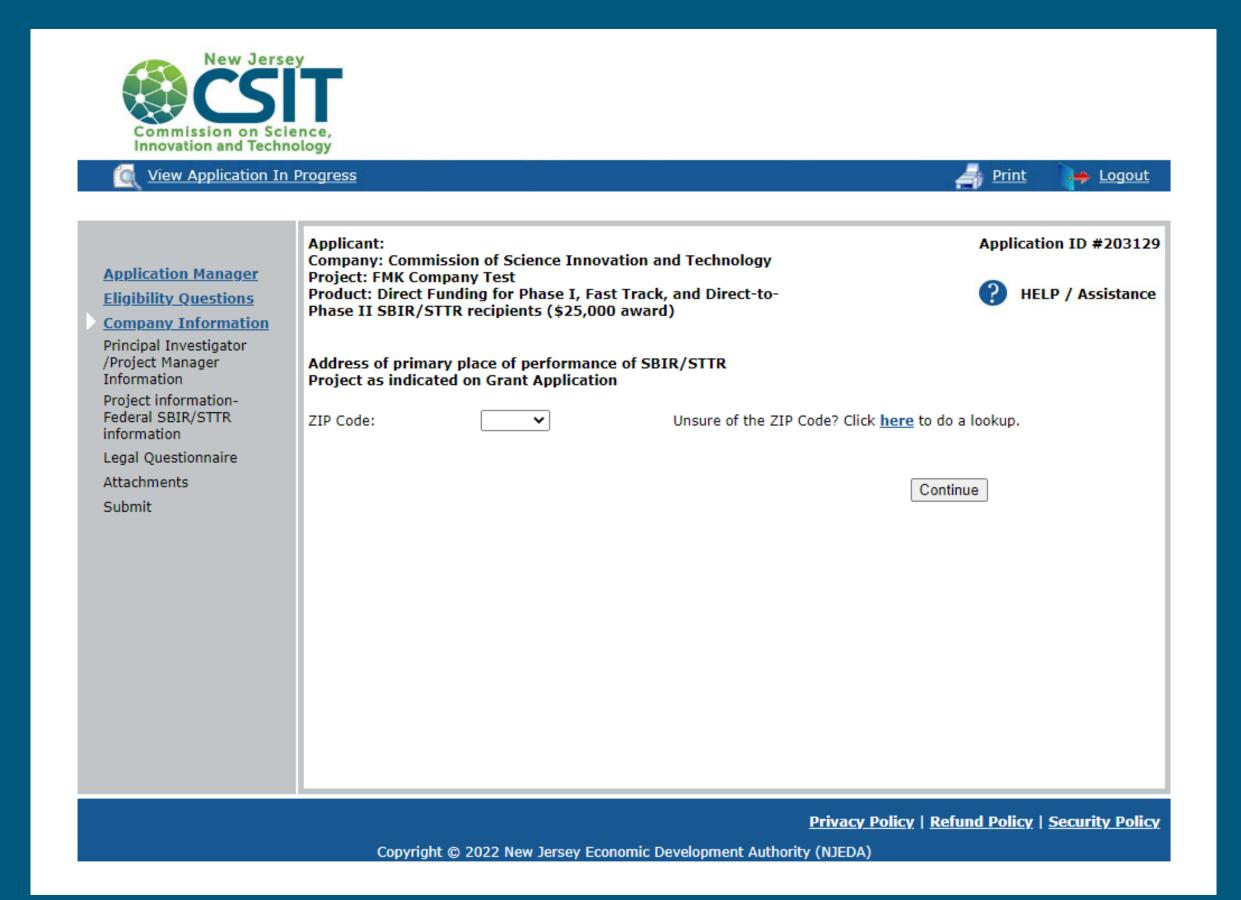
Copyright © 2022 New Jersey Economic Development Authority (NJEDA)

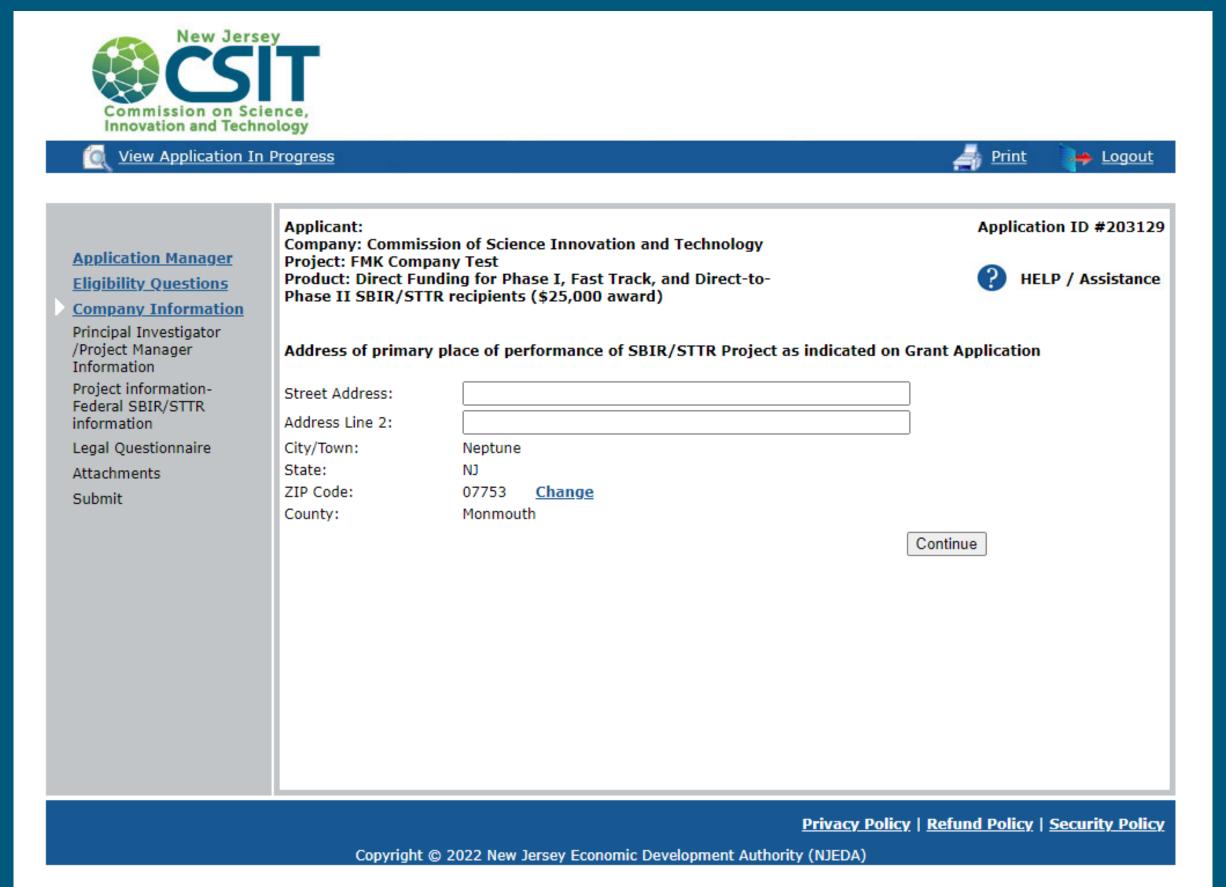


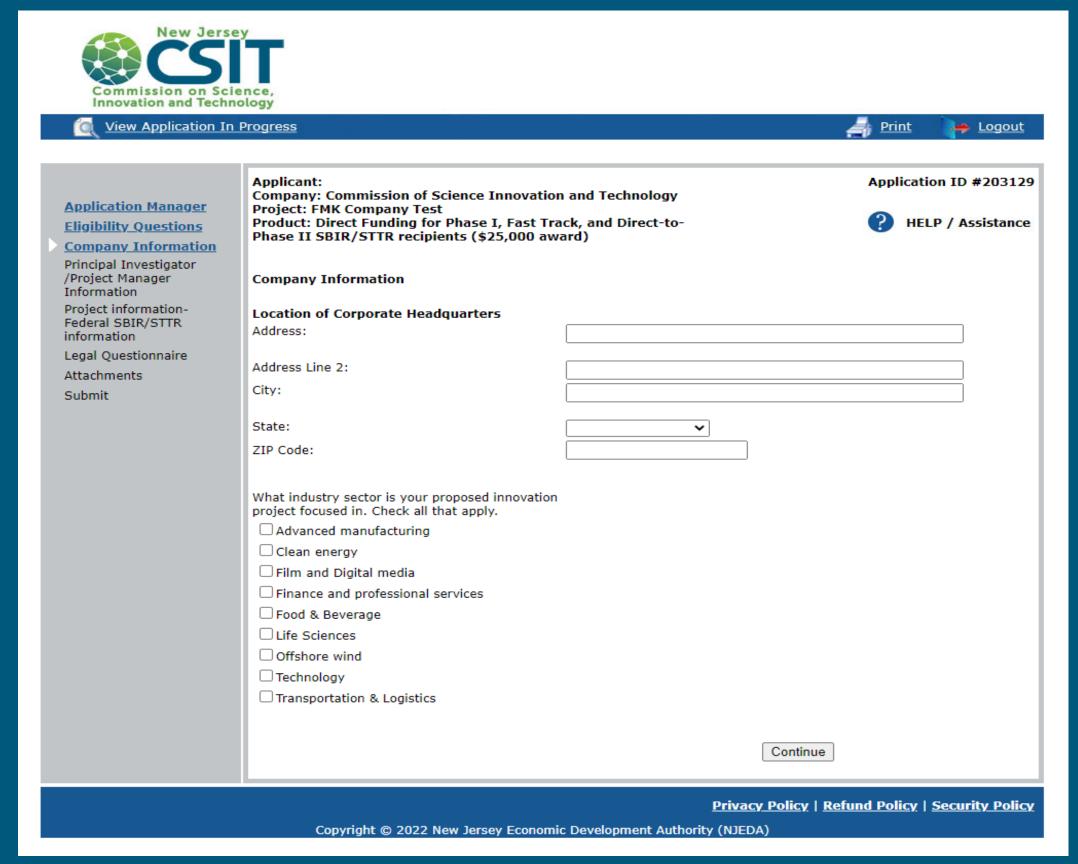
Online Application Company Information cont.

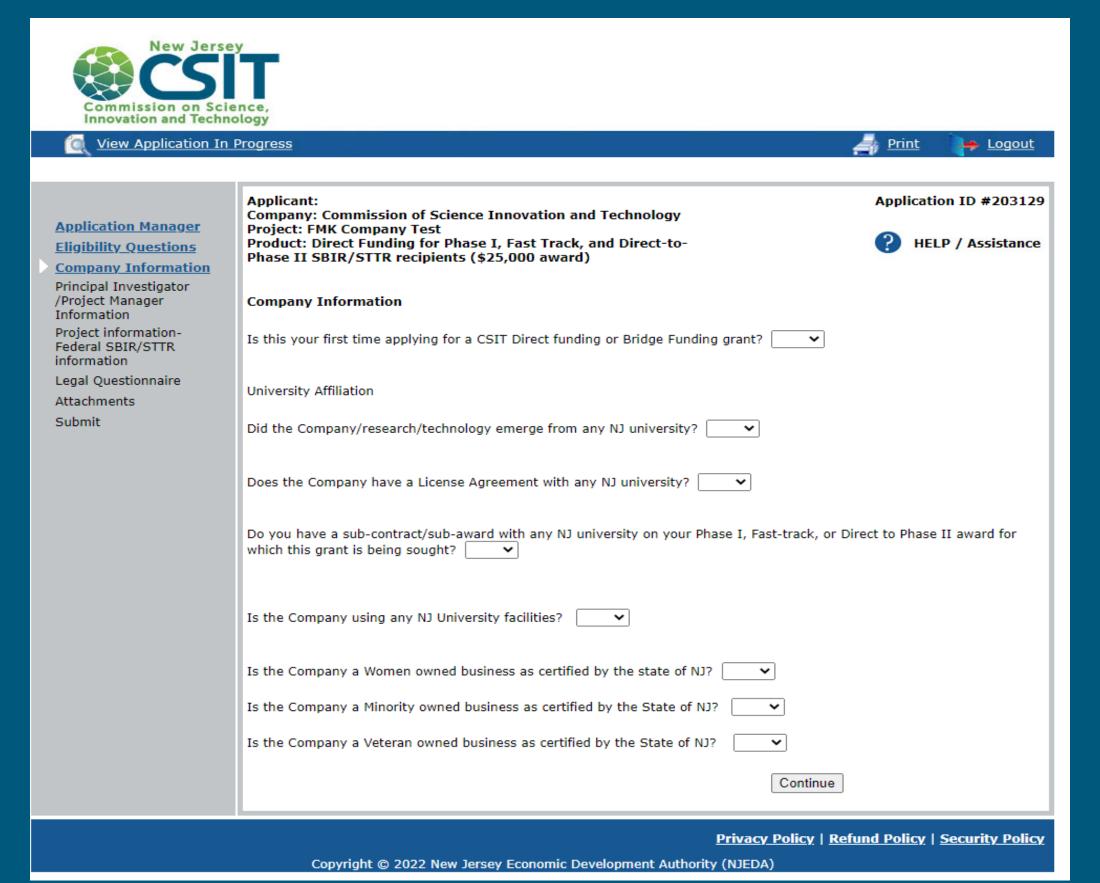
Additional DE&I Information In this section, we would like more information about any actions your company has taken or is taking with respect to Diversity, Equity & Inclusion. If these questions are not applicable, you may skip them.
Please describe whether your company's leadership team is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).
Please describe whether your company's Board of Directors is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).
Please describe any diversity initiatives, programs, or plans the applicant company has established.
Language Assistance

Is English your primary language?



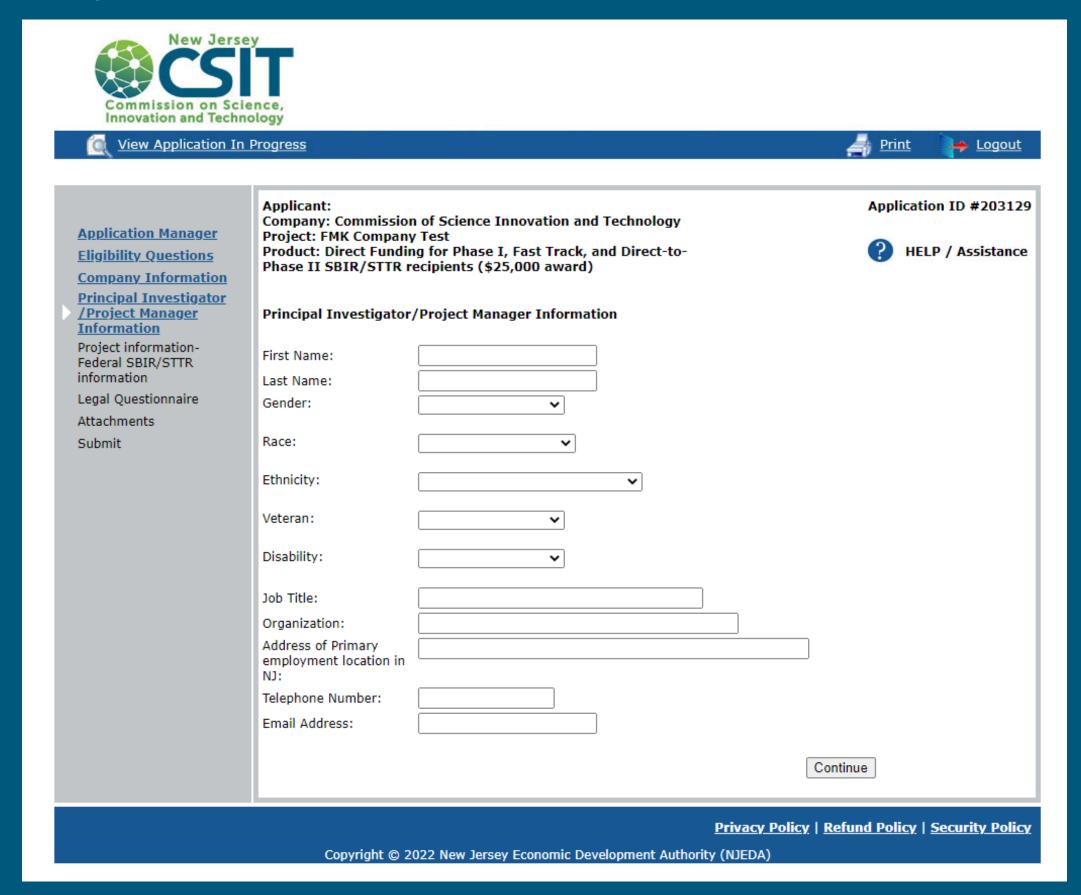




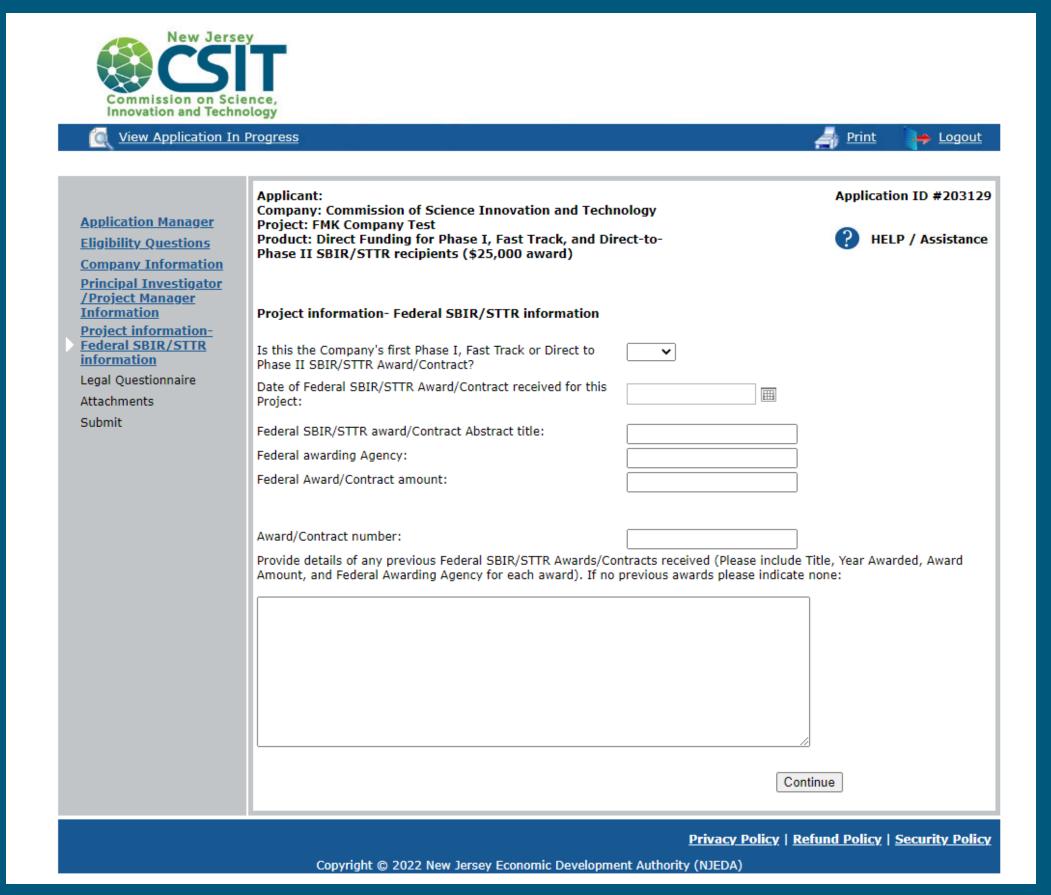


Principal Investigator/ Project

Manager Information



Project Information



Online Application Legal Questionnaire





View Application In Progress



A Print



<u>Application Manager</u>

Eligibility Questions

Company Information
Principal Investigator
/Project Manager
Information
Project informationFederal SBIR/STTR

Legal Questionnaire
Attachments

information

Submit

Applicant:

Company: Commission of Science Innovation and Technology Project: FMK Company Test

Product: Direct Funding for Phase I, Fast Track, and Direct-to-Phase II SBIR/STTR recipients (\$25,000 award) Application ID #203129

HELP / Assistance

New Jersey Commission on Science, Innovation, and Technology Legal Questionnaire

Persons (entities or individuals) applying for CSIT programs are subject to the Disqualification/Debarment set forth in Executive Order 34 (Byrne 1976). Applicants are required to answer the following background questions ("Legal Questionnaire") pertaining to causes that may lead to debarment, disqualification, or suspension from eligibility under the Regulations and Executive Orders 34 (Byrne 1976) and 189 (Kean 1988) after consideration of all relevant mitigating factors. Governmental entities are not required to submit this Legal Questionnaire and may leave it empty.

Note that this form has recently been modified.

Please review in its entirety prior to providing any responses or certifications.

DEFINITIONS

Notwithstanding any terms defined elsewhere or otherwise herein, the following definitions shall govern in responding to this Legal Questionnaire:

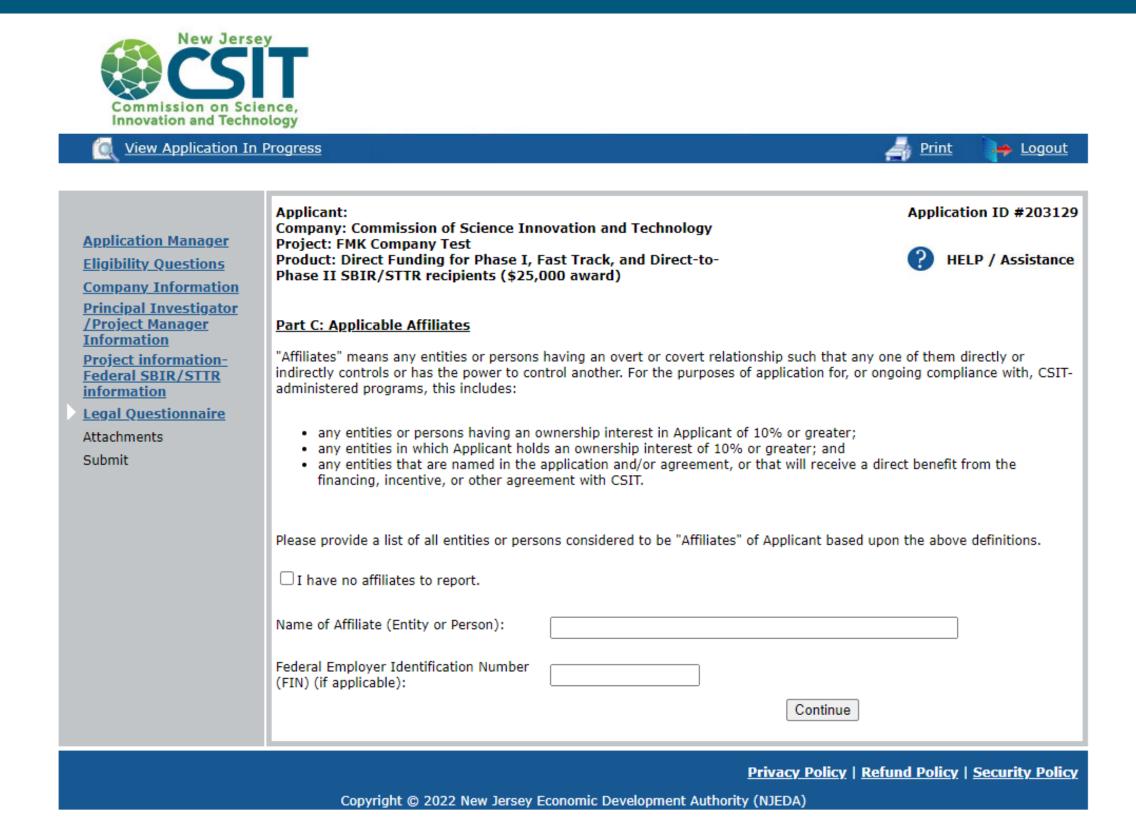
"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. For the purposes of application for, or ongoing compliance with, CSIT-administered programs, this includes:

- · any entities or persons having an ownership interest in Applicant of 10% or greater;
- any entities in which Applicant holds an ownership interest of 10% or greater; and
- any entities that are named in the application and/or agreement, or that will receive a direct benefit
 from the financing, incentive, or other agreement with CSIT.

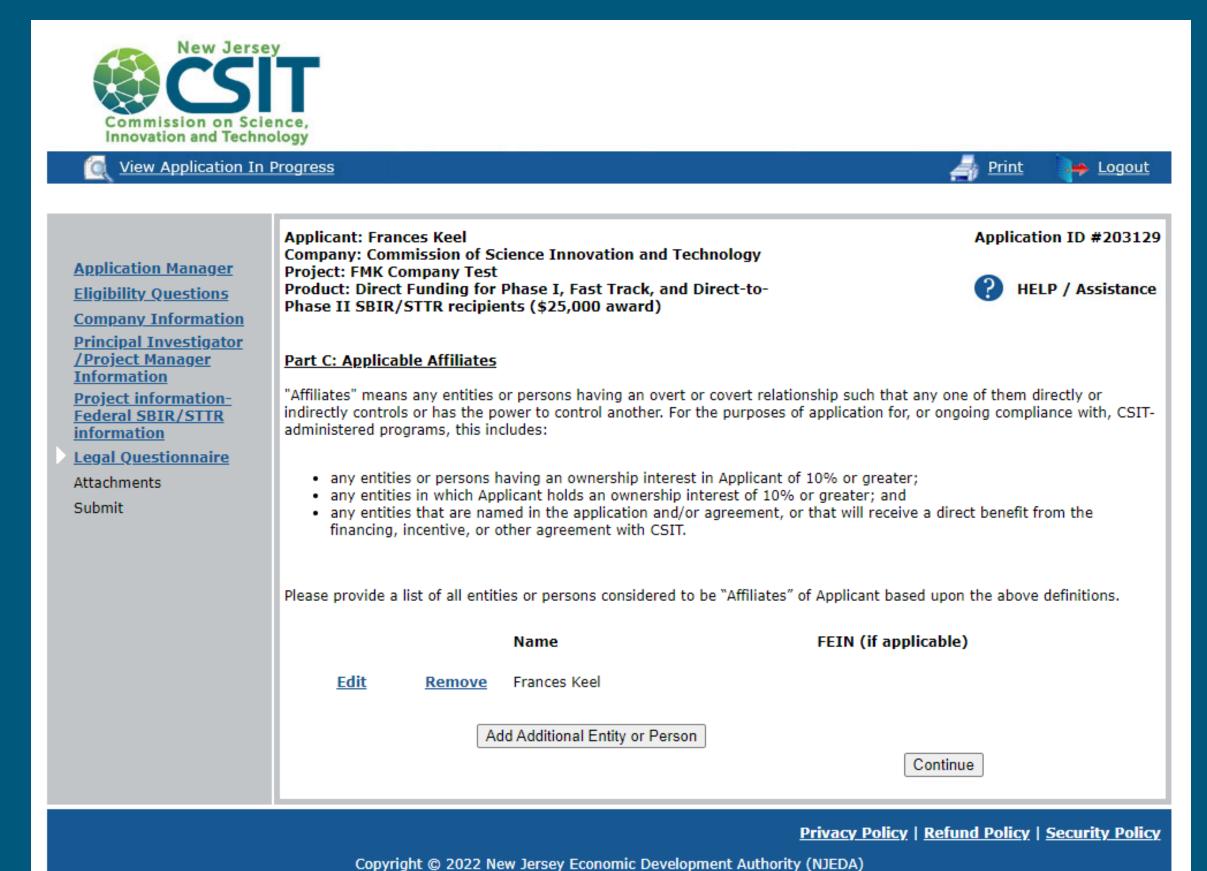
Note that any entities or persons fitting these definitions will need to be listed in Part C on the next page.

"Legal Proceedings" means any civil, criminal, or administrative proceedings in a State or Federal court or administrative tribunal in the United States or any territories thereof.

Legal Questionnaire cont.



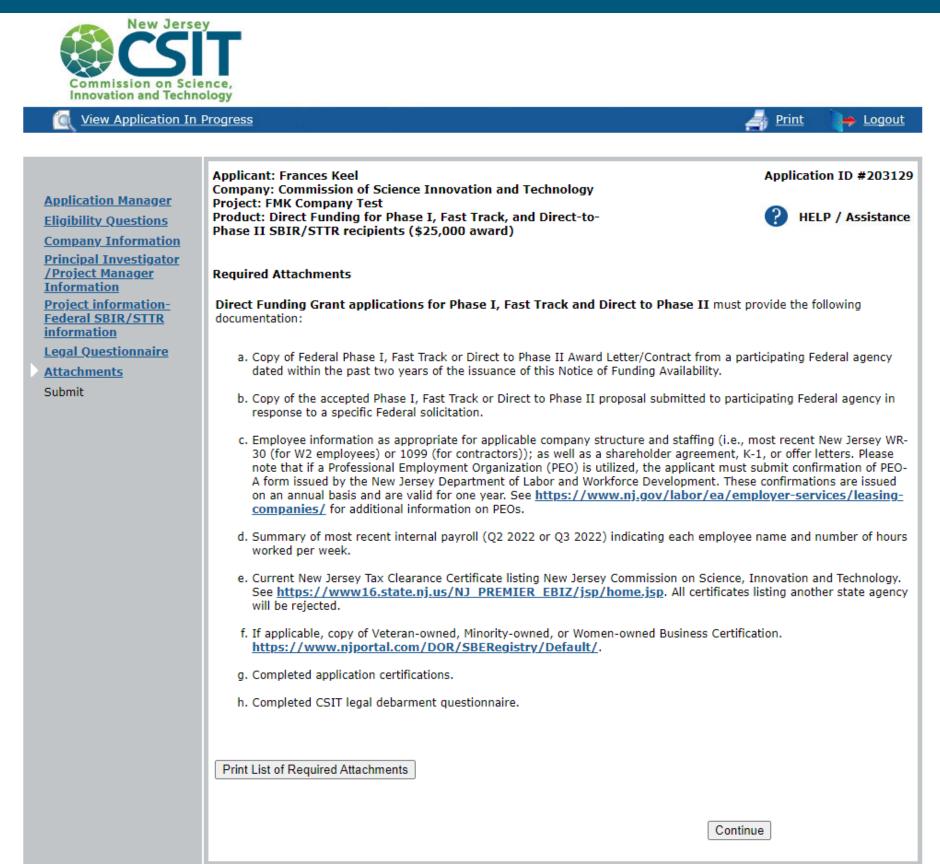
Legal Questionnaire cont.



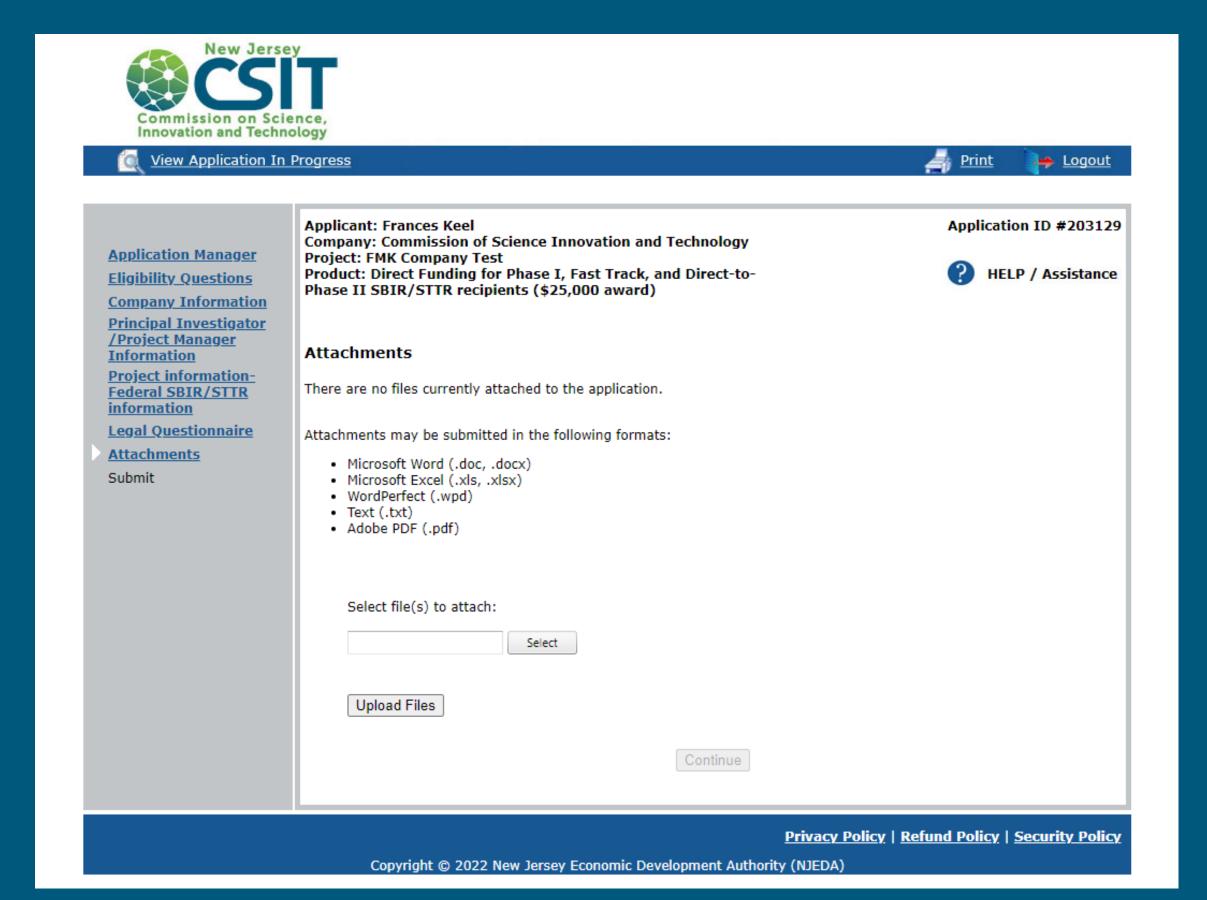
Legal Questionnaire cont.



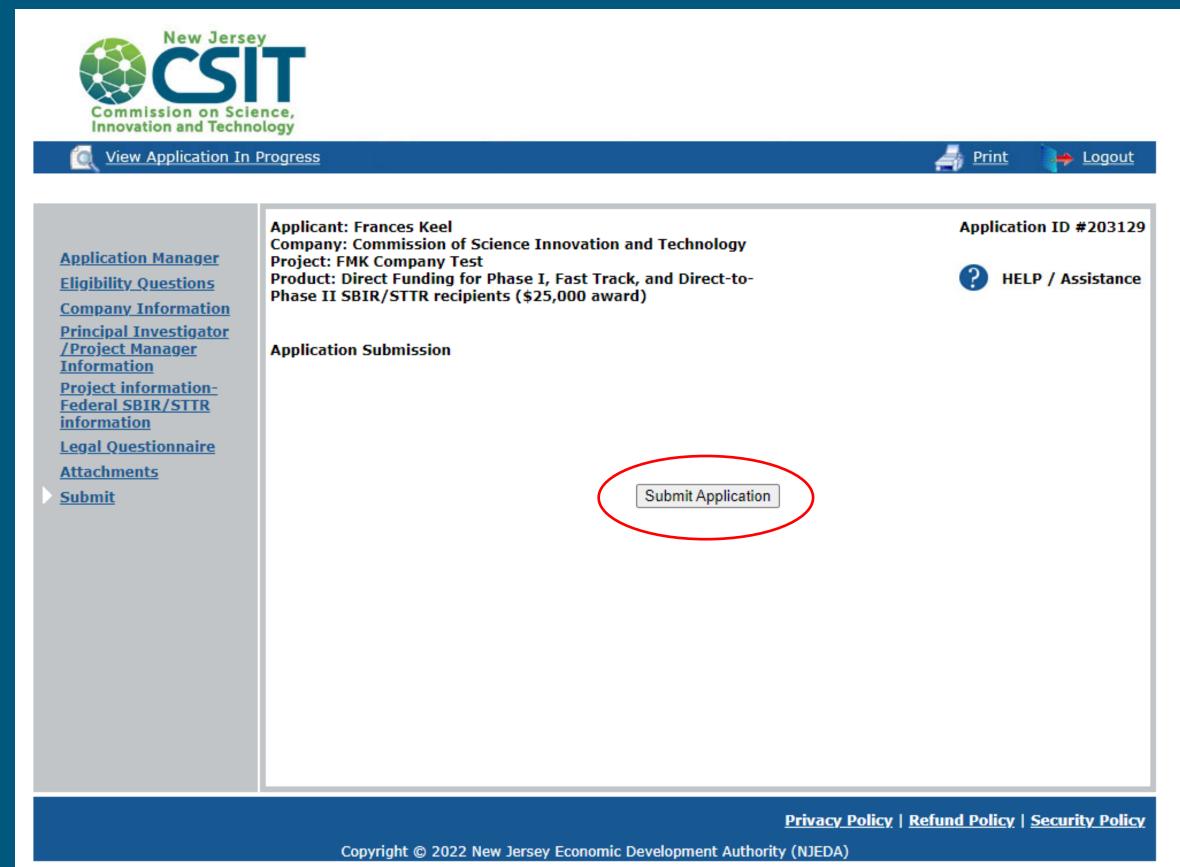
Online Application Required Attachments



Required Attachments cont.



Online Application Submit Application





Round 4: SBIR/STTR Bridge Funding Grant

OLA Application Template 2022

https://application.njeda.com/CSIT

Welcome Page



Welcome to the Commission on Science, Innovation and Technology's application portal!

GENERAL INFORMATION:

Before you get started, there are a few things you should be aware of to make the application process as simple as possible.

- In order to complete your online application, you must register for an account with a username and password. Your username and password will allow you the flexibility to complete your application at your convenience.
- All fields are mandatory but can be completed with a 'NA' if you do not have the requested information at the time of application or if the question does not apply.
- If you would like to go back to a previous application section, select the section name from the left panel. Use the continue button to navigate to the desired page.
- You may use the "HELP/Assistance" button on the top right to email csit@njeda.com.
- If asking questions regarding your specific application, please reference the Application ID # located on the top right of the screen.
- Because some state systems have experienced longer than usual processing times due to COVID-19, applicants are
 encouraged to obtain copies of the required NJ documentation early in the process of completing their applications to avoid
 any delays in submission of supporting documentation

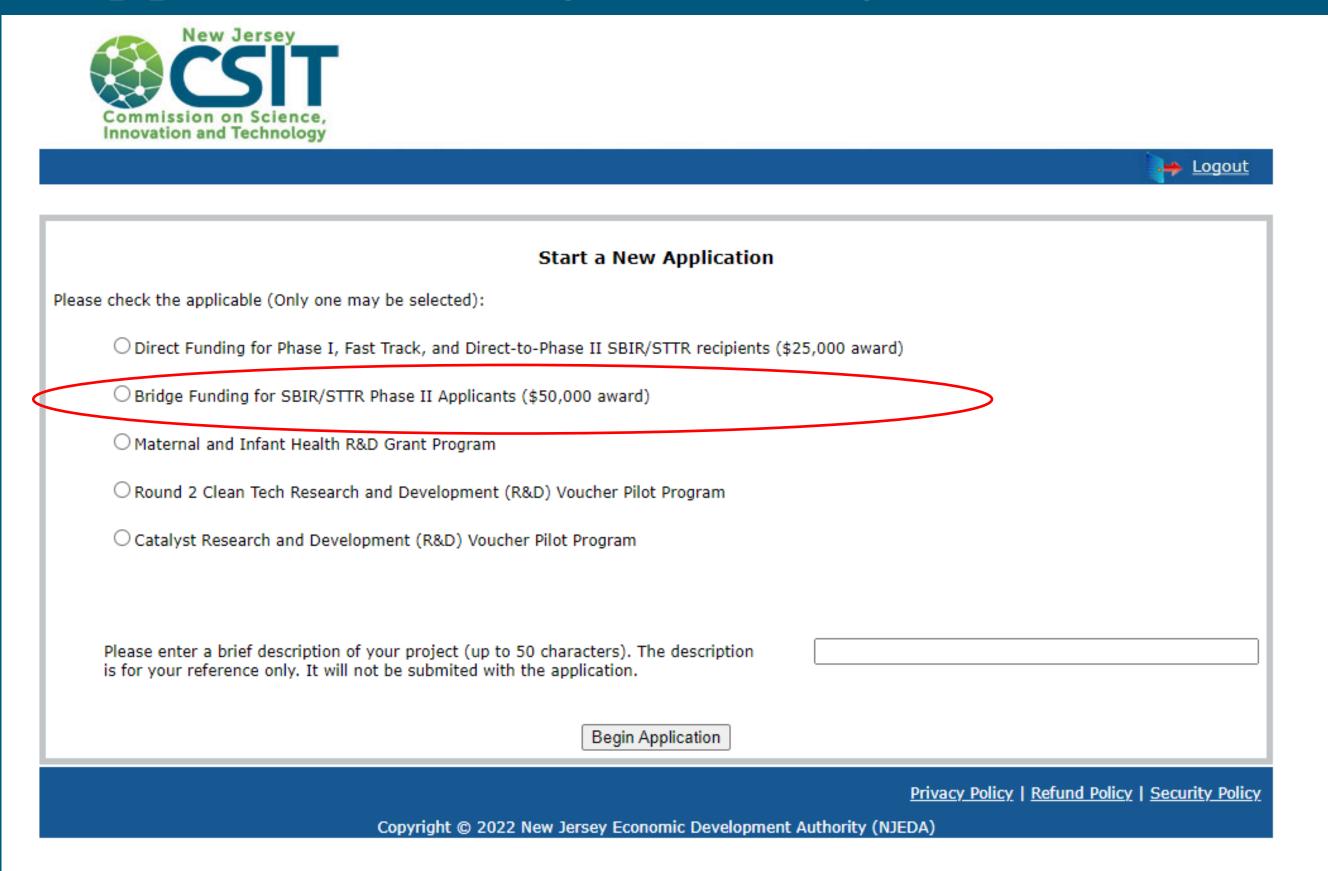
Round 4 SBIR/STTR Direct Financial Support Program

Round 4 SBIR/STTR Direct Financial Support Program opens on October 3rd at 9am and closes on November 14th at 5pm.

In order to apply a company MUST have received at least one prior federal SBIR/STTR award - no earlier than September 16, 2020

• You may use the "HELP/Assistance" button on the top right to email csitsbir@njeda.com

Start a New Application Bridge Funding



Eligibility





Submit

View Application In Progress



Print



<u>Application Manager</u> <u>Eligibility Questions</u>

Company Information
Principal Investigator
/Project Manager
Information
Project informationFederal SBIR/STTR
information
Legal Questionnaire
Attachments

Applicant:
Company:
Project: FMK Company Test
Product: Bridge Funding for SBIR/STTR Phase II Applicants
(\$50,000 award)

?

HELP / Assistance

Application ID #203131

Eligibility

Does the company have a minimum of one full-time worker (Full-time calculated on a 35-hour work week). A founder can be counted as a worker; a worker may be paid or unpaid at the time of submission?



Is fifty percent of the cumulative hours worked by all workers, founders, and contractors conducted in New Jersey (as calculated on an FTE basis of 35 hours per week) at the time of submission?



Has your company received a previous Federal SBIR/STTR Phase I grant or contract award since September 16, 2020 and has successfully completed a Phase I Federal SBIR/STTR program, and submitted a Phase II SBIR/STTR application and has not received a Federal response yet on their Phase II application?



Is the primary place of performance on the Federal SBIR/STTR grant or contract award a New Jersey address?



Has your company been awarded more than 5 Federal SBIR/STTR grants or contracts (Phase I, Fast-track or Direct to Phase II combined) or 4 Federal Phase II SBIR/STTR grants or contracts throughout the lifetime of the company?

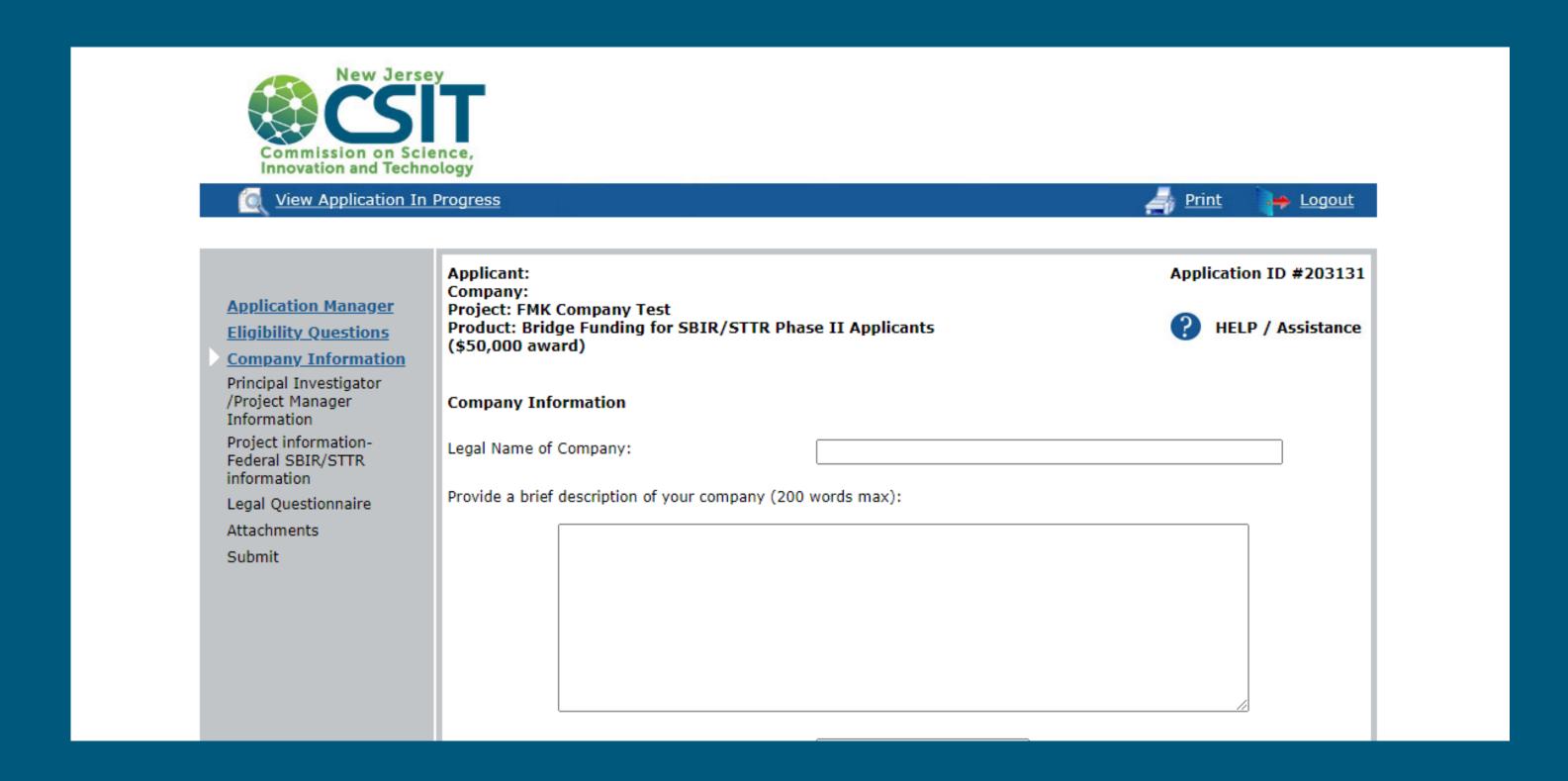


Is the applicant or any person that owns or controls more than 1% of the stock or units of the applicant, an officer or employee of any agency, authority, or other instrumentality of the State of New Jersey? (NJ State Ethics Commission P.L.1971, c.182 (C.52:13D-12 et seq.)

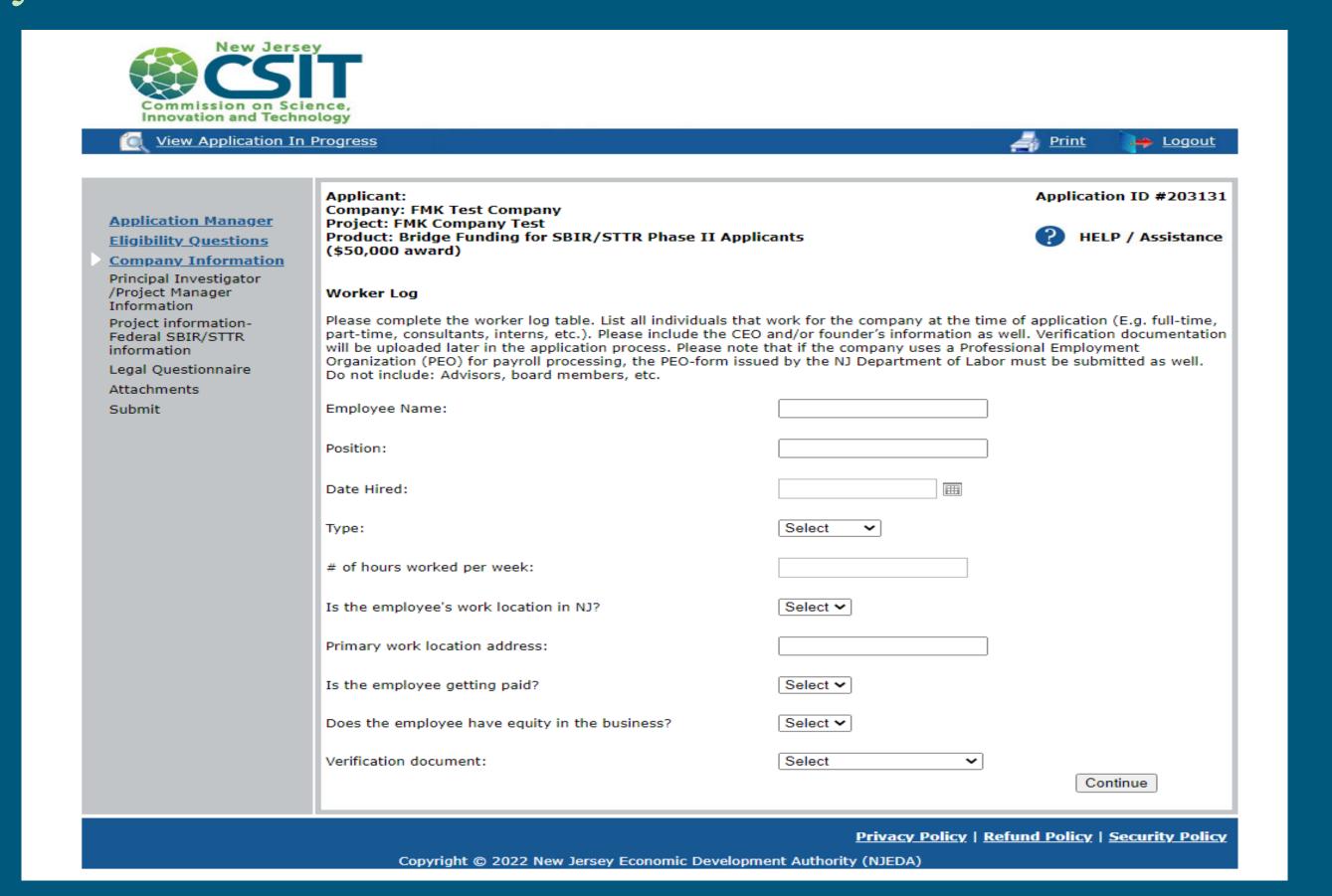


Continue

Online Application Company Information



Street Address:	
Address Line 2:	
City/Town:	
State:	~
ZIP Code:	
Primary Contact First Name:	
Primary Contact Last Name:	
Primary Contact Title:	
Phone Number:	
E-mail:	
Company Website:	
State of Incorporation/Formation	~
Year Established:	
Ownership Structure:	~
Industry (NAICS Code):	
Company Specialization/Area of Focus:	
Federal Tax ID#:	
	Continue
	Drivers Policy Befored Delicy Conveits Believ
Converight @ 2022 New Jargey Economic	Privacy Policy Refund Policy Security Policy



Company Information cont.





View Application In Progress



Print



Application ID #203131

HELP / Assistance

<u>Application Manager</u> <u>Eligibility Questions</u>

Company Information

Principal Investigator /Project Manager Information Project information-Federal SBIR/STTR information Legal Questionnaire

Attachments

Submit

Applicant:

Company: FMK Test Company Project: FMK Company Test

Product: Bridge Funding for SBIR/STTR Phase II Applicants

(\$50,000 award)

Worker Log

Please complete the worker log table. List all individuals that work for the company at the time of application (E.g. full-time, part-time, consultants, interns, etc.). Please include the CEO and/or founder's information as well. Verification documentation will be uploaded later in the application process. Please note that if the company uses a Professional Employment Organization (PEO) for payroll processing, the PEO-form issued by the NJ Department of Labor must be submitted as well. Do not include: Advisors, board members, etc.

Employee Name	Position	Туре	# Hours worked per week	Verification Document
Edit Remove frances keel	ceo	FTE	40	W2

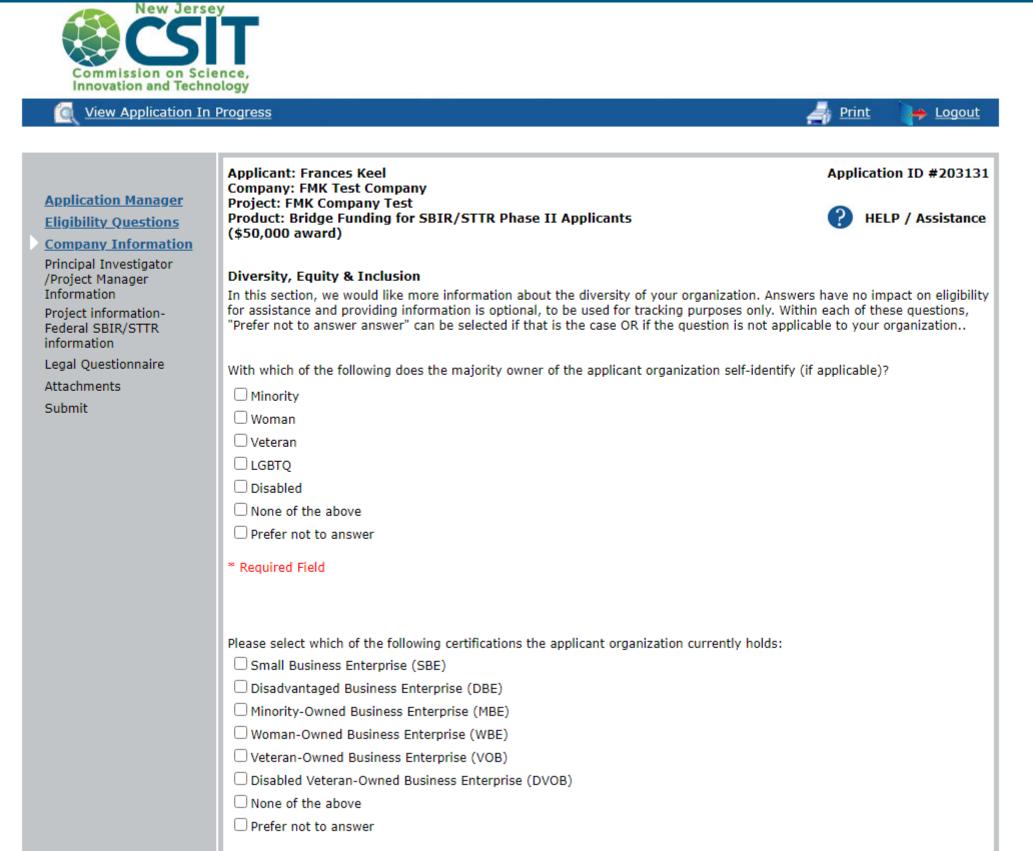
Add Another Individual

Continue

Privacy Policy | Refund Policy | Security Policy

Copyright © 2022 New Jersey Economic Development Authority (NJEDA)

Company Information cont.

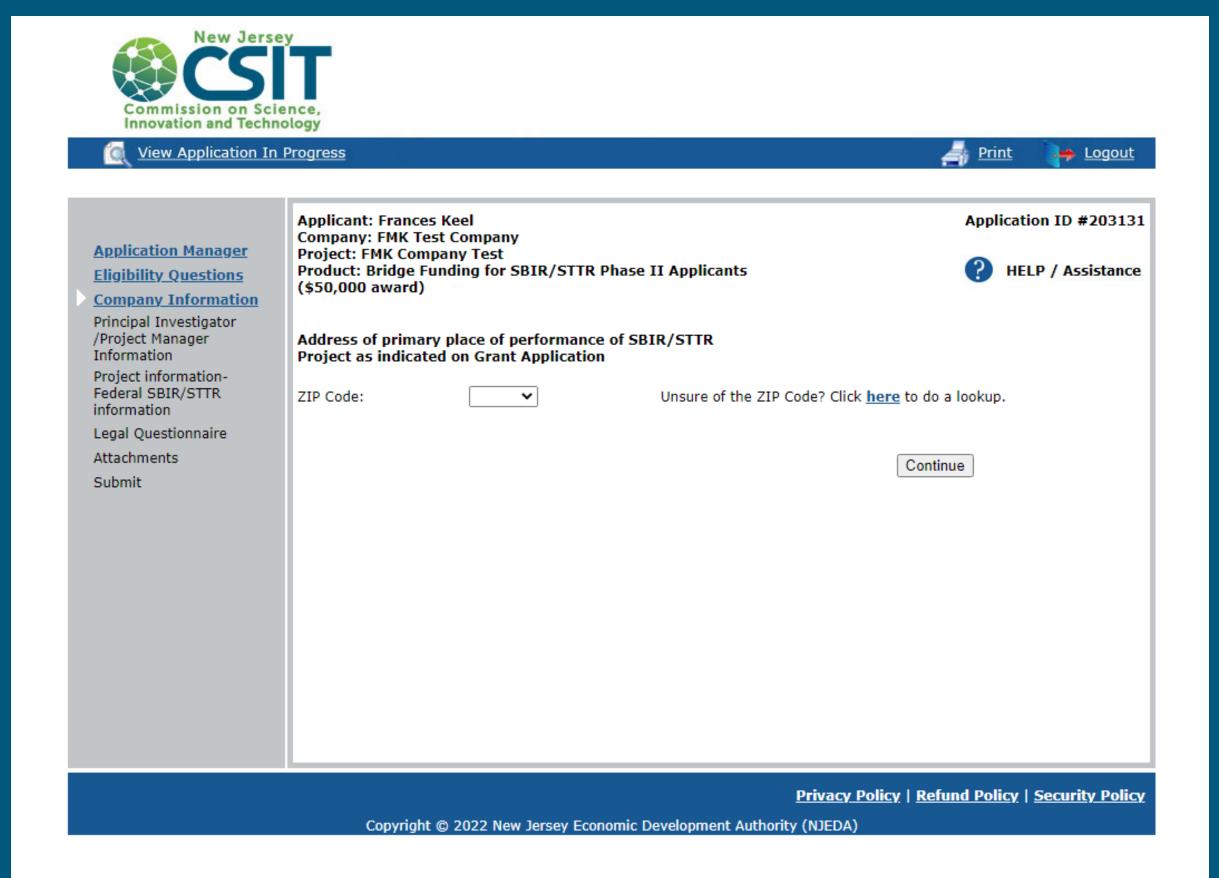


Required Field

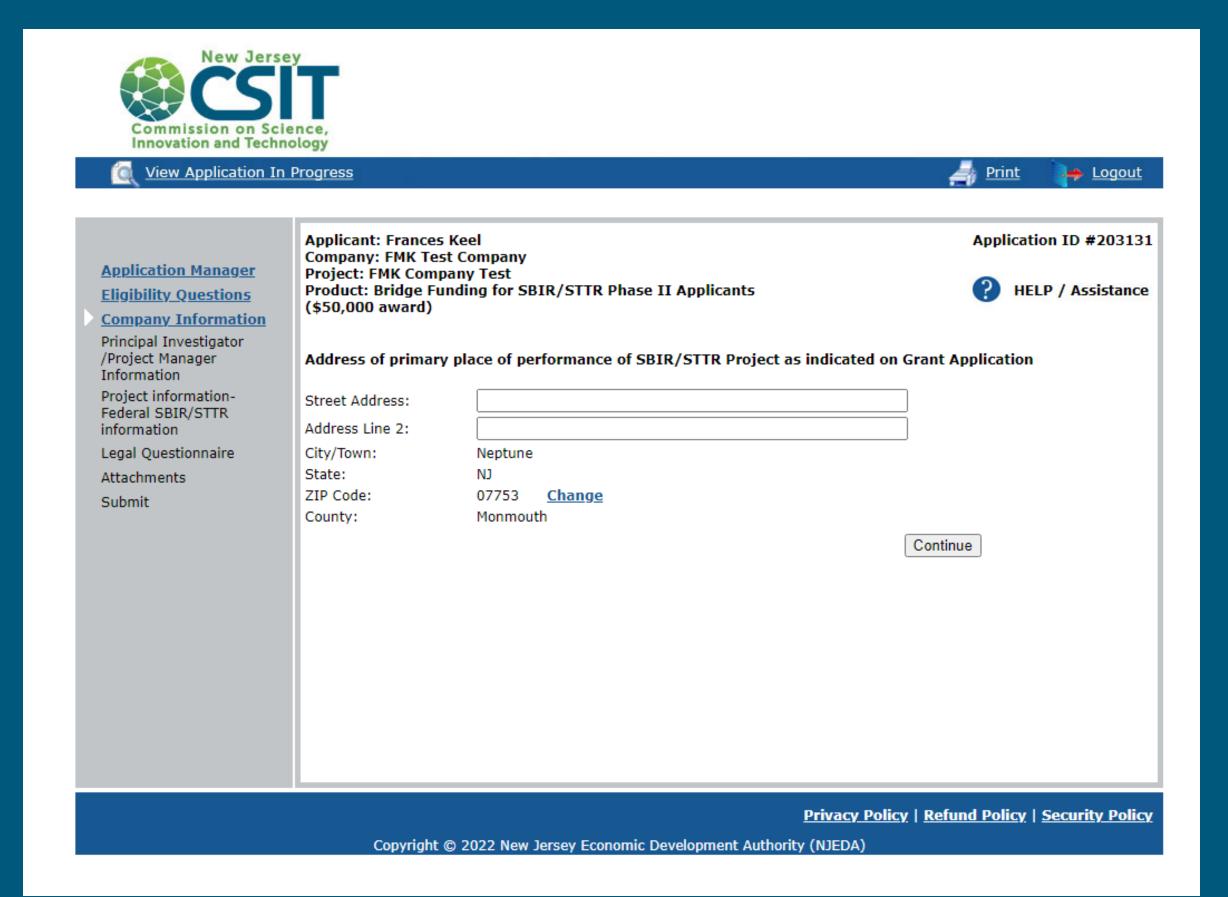
Online Application Company Information cont.

Additional DE&I Information In this section, we would like more information about any actions your company has taken or is taking with respect to Diversity, Equity & Inclusion. If these questions are not applicable, you may skip them.
Please describe whether your company's leadership team is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).
Please describe whether your company's Board of Directors is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).
Please describe any diversity initiatives, programs, or plans the applicant company has established.
Language Assistance

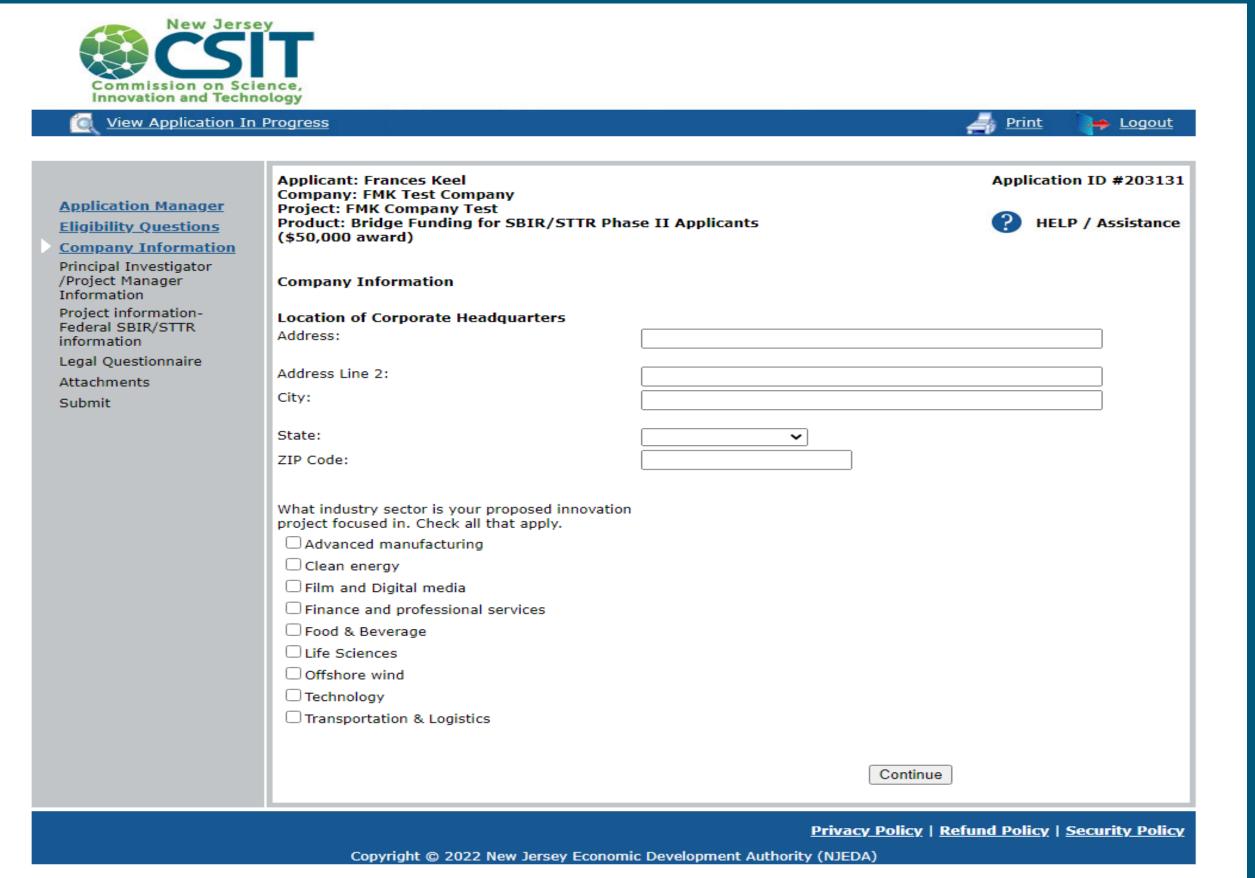
Is English your primary language?



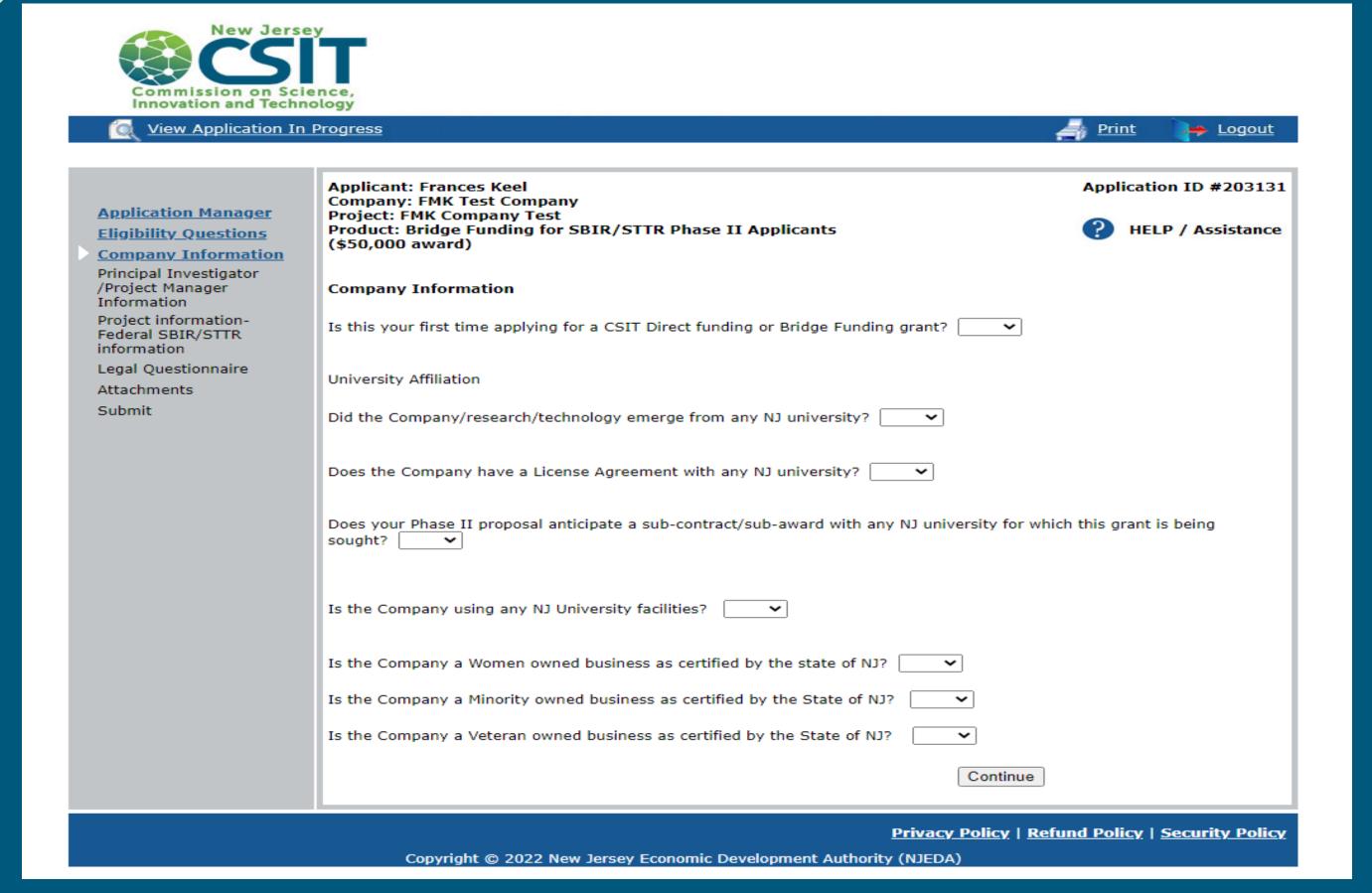
Company Information cont.



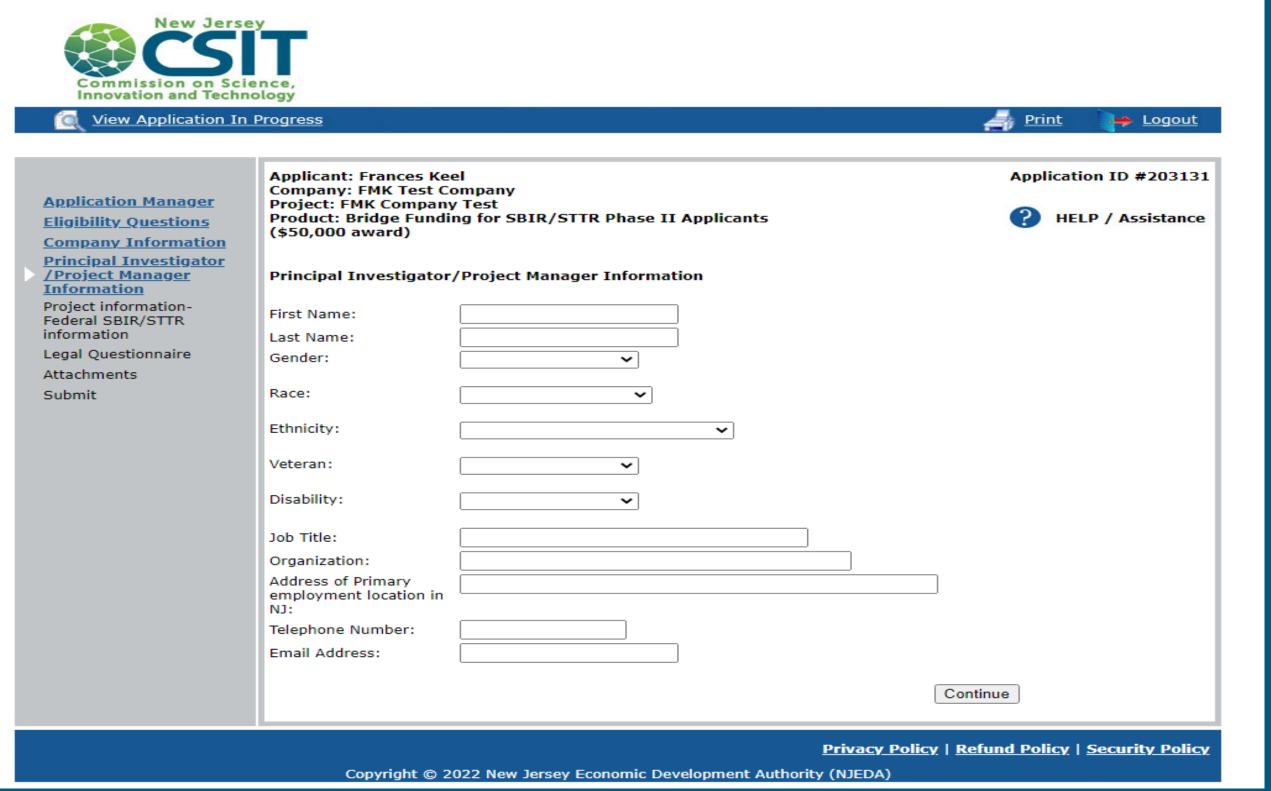
Company Information cont.



Company Information cont.



Online Application Principal Investigator/ Project Manager Information



Project Information



View Application In Progress

Application Manager
Eligibility Questions
Company Information
Principal Investigator
/Project Manager
Information
Project informationFederal SBIR/STTR
information
Legal Questionnaire

Attachments

Submit

Applicant: Frances Keel Application ID #203131 Company: FMK Test Company Project: FMK Company Test Product: Bridge Funding for SBIR/STTR Phase II Applicants **HELP / Assistance** (\$50,000 award) Project information- Federal SBIR/STTR information Is this the Company's first submission for a Phase II SBIR/STTR Award/Contract? Date of Phase I Federal SBIR/STTR Award/Contract received for this Project: Date of Phase II submission: Federal Phase II SBIR/STTR Proposal title: Federal Agency submitted to: Federal funding requested: Submission Confirmation number: Provide details of any previous Federal SBIR/STTR Awards/Contracts received (Please include Title, Year Awarded, Award Amount, and Federal Awarding Agency for each award). If no previous awards please indicate none: Continue

Print

Logout

Legal Questionnaire





View Application In Progress



Print



Application ID #203131

Logout

Application Manager

Eligibility Questions

Company Information
Principal Investigator
/Project Manager
Information
Project informationFederal SBIR/STTR

Legal Questionnaire

Attachments Submit

information

Applicant: Frances Keel Company: FMK Test Company Project: FMK Company Test

Product: Bridge Funding for SBIR/STTR Phase II Applicants

(\$50,000 award)

HELP / Assistance

New Jersey Commission on Science, Innovation, and Technology Legal Questionnaire

Persons (entities or individuals) applying for CSIT programs are subject to the Disqualification/Debarment set forth in Executive Order 34 (Byrne 1976). Applicants are required to answer the following background questions ("Legal Questionnaire") pertaining to causes that may lead to debarment, disqualification, or suspension from eligibility under the Regulations and Executive Orders 34 (Byrne 1976) and 189 (Kean 1988) after consideration of all relevant mitigating factors. Governmental entities are not required to submit this Legal Questionnaire and may leave it empty.

Note that this form has recently been modified.

Please review in its entirety prior to providing any responses or certifications.

DEFINITIONS

Notwithstanding any terms defined elsewhere or otherwise herein, the following definitions shall govern in responding to this Legal Questionnaire:

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. For the purposes of application for, or ongoing compliance with, CSIT-administered programs, this includes:

- any entities or persons having an ownership interest in Applicant of 10% or greater;
- any entities in which Applicant holds an ownership interest of 10% or greater; and
- any entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with CSIT.

Note that any entities or persons fitting these definitions will need to be listed in Part C on the next page.

"Legal Proceedings" means any civil, criminal, or administrative proceedings in a State or Federal court or administrative tribunal in the United States or any territories thereof.

Online Application Legal Questionnaire cont.





View Application In Progress



Print



logout 🛶

Application Manager Eligibility Questions

Company Information

Principal Investigator /Project Manager Information

Project information-Federal SBIR/STTR information

Legal Questionnaire

Attachments Submit

Applicant: Frances Keel Company: FMK Test Company Project: FMK Company Test

Product: Bridge Funding for SBIR/STTR Phase II Applicants

(\$50,000 award)

Application ID #203131



HELP / Assistance

Part C: Applicable Affiliates

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. For the purposes of application for, or ongoing compliance with, CSITadministered programs, this includes:

- any entities or persons having an ownership interest in Applicant of 10% or greater;
- · any entities in which Applicant holds an ownership interest of 10% or greater; and
- · any entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with CSIT.

Please provide a list of all entities or persons considered to be "Affiliates" of Applicant based upon the above definitions.

I have no affiliates to report.

Name of Affiliate (Entity or Person):

Federal Employer Identification Number (FIN) (if applicable):

Continue

Legal Questionnaire cont.





View Application In Progress



Print



Logout 🖐

Application Manager **Eligibility Questions**

Company Information

Principal Investigator /Project Manager Information

Project information-Federal SBIR/STTR information

Legal Questionnaire

Attachments

Submit

Applicant: Frances Keel Company: FMK Test Company

Project: FMK Company Test

Product: Bridge Funding for SBIR/STTR Phase II Applicants

(\$50,000 award)

Application ID #203131



HELP / Assistance

Part C: Applicable Affiliates

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. For the purposes of application for, or ongoing compliance with, CSITadministered programs, this includes:

- any entities or persons having an ownership interest in Applicant of 10% or greater;
- any entities in which Applicant holds an ownership interest of 10% or greater; and
- any entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with CSIT.

Please provide a list of all entities or persons considered to be "Affiliates" of Applicant based upon the above definitions.

Name

FEIN (if applicable)

Edit

Remove

Frances Keel

Add Additional Entity or Person

Continue

Online Application Legal Questionnaire cont.



View Application In Progress



Print



Application Manager
Eligibility Questions
Company Information
Principal Investigator
/Project Manager
Information
Project informationFederal SBIR/STTR
information

Attachments
Submit

Applicant: Frances Keel
Company: FMK Test Company
Project: FMK Company Test
Product: Bridge Funding for SBIR/STTR Phase II Applicants
(\$50,000 award)

Application ID #203131



HELP / Assistance

CERTIFICATION OF LEGAL QUESTIONNAIRE AND AUTHORIZATION TO RELEASE INFORMATION

This certification shall be signed as follows:

- · for a corporation, by a principal executive officer, at least the level of vice president;
- for a partnership, by a general partner;
- for a sole proprietorship, by the proprietor;
- · for a governmental entity, the contact person (business administrator, manager, mayor, etc.);
- · for other than above, the person with legal responsibility for the application.

I hereby represent and certify that I have reviewed the information contained in this Legal Questionnaire, and that the foregoing information is true and complete under penalty of perjury. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment. I further agree to inform the New Jersey Commission on Science, Innovation, and Technology of any changes in the foregoing information which may occur prior to execution of any agreement with CSIT, and so long as any such agreement is in effect. Failure to disclose relevant matters may render the Applicant ineligible for the financial benefits sought and may subject the Applicant to disqualification, debarment, suspension, or referral to the office of the State's Attorney General.

The undersigned, on behalf of the Applicant, understands and acknowledges that information and documents provided to the New Jersey Commission on Science, Innovation, and Technology: (1) are subject to public disclosure during deliberations of the CSIT at public meetings regarding the application and as set forth in the minutes of the CSIT's public meetings; and (2) are subject to public disclosure under certain laws, including, but not limited to, the Open Public Records Act, N.J.S.A. 47A:1-1 et seq., and the common law right-to-know.

Name:

Title:

Applicant Name:

I am Authorized Signer and I accept the terms and conditions.

Continue

Online Application Required Attachments





View Application In Progress



Print



Application Manager
Eligibility Questions
Company Information
Principal Investigator
/Project Manager
Information
Project informationFederal SBIR/STTR
information
Legal Questionnaire

Attachments Submit Applicant: Frances Keel
Company: FMK Test Company
Project: FMK Company Test
Product: Bridge Funding for SBIR/STTR Phase II Applicants
(\$50,000 award)



HELP / Assistance

Application ID #203131

Required Attachments

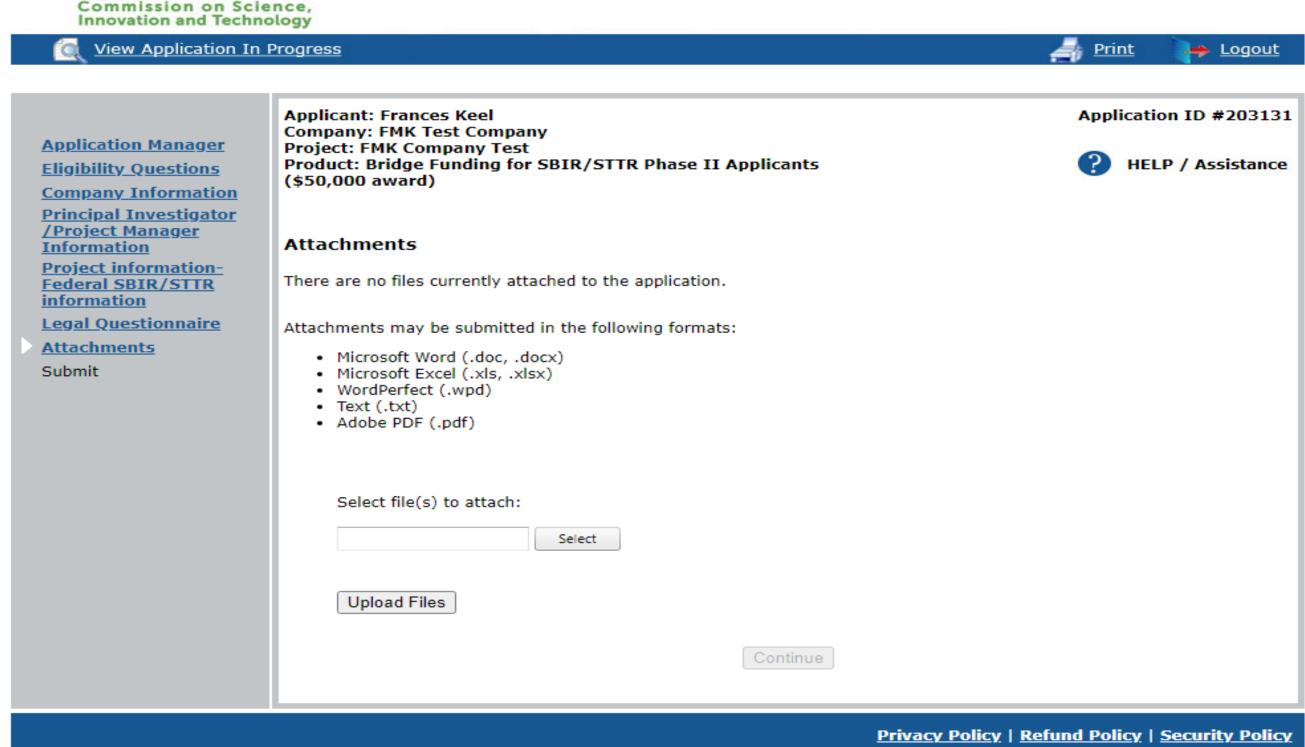
Bridge Funding for Phase II applicants shall provide the following documentation:

- Copy of Federal Phase I SBIR/STTR Award/Contract from a participating Federal agency dated within the past two years of the issuance of this Notice of Funding Availability.
- b. Copy of the Phase I final report and confirmation of agency acceptance.
- c. Proof of Federal Phase II SBIR/STTR application submission: documentation showing that Phase II SBIR/STTR proposal has been submitted to and received by the participating Federal agency. Example:
 - A copy of the Phase II SBIR/STTR proposal submitted to sponsoring agency and
 - · Written or electronic notification from the agency confirming date of proposal receipt.
- d. Employee information as appropriate for applicable company structure and staffing (i.e., most recent New Jersey WR-30 (for W2 employees) or 1099 (for contractors); as well as shareholder agreement, K-1, or offer letters. Please note that if a Professional Employment Organization (PEO) is utilized, the applicant must submit confirmation of PEO-A form issued by the New Jersey Department of Labor and Workforce Development. These confirmations are issued on an annual basis and are valid for one year. See https://www.nj.gov/labor/ea/employer-services/leasing-companies/for additional information on PEOs.
- e. Summary of most recent internal payroll (Q2 2022 or Q3 2022) indicating each employee name and number of hours worked per week.
- f. Current New Jersey Tax Clearance Certificate listing New Jersey Commission on Science, Innovation and Technology. See https://www16.state.nj.us/NJ PREMIER EBIZ/jsp/home.jsp. All certificates listing another state agency will be rejected.
- g. If applicable, copy of Veteran-owned, Minority-owned, or Women-owned Business Certification. https://www.njportal.com/DOR/SBERegistry/Default/.
- h. Completed application certifications.
- i. Completed CSIT legal debarment questionnaire.

Print List of Required Attachments

Required Attachments cont.





Copyright © 2022 New Jersey Economic Development Authority (NJEDA)

Online Application Submit Application

