



Round 2 Clean Tech Seed Grant Pilot Program

Informational Webinar

January 26, 2022

Agenda

- Welcome
 - Program overview
 - State certifications – MBE/WBE
 - Demonstration of Application Portal
 - Q&A session
-

Program Overview

Program Goal

Help New Jersey-based early-stage clean tech/clean energy companies accelerate development and innovation of clean technologies to transform new discoveries from research stage into commercially viable technologies, leading to industry and investor interest

What are the targeted industries?

- ☐ Chemicals/Advance Materials
- ☐ Energy Distribution/Storage
- ☐ Energy Efficiency
- ☐ Energy Generation
- ☐ Green Buildings
- ☐ Transportation
- ☐ Waste Processing
- ☐ Water and Agriculture

Eligible Technology Readiness Levels (TRLs)

TRL 2
Applied Research



**Program will fund projects
between TRLs 2 through 7**



TRL 7
*Full-scale, similar (prototypical)
system demonstrated in
relevant environment*

Program Overview

Project sizes

Each Applicant can propose a project with a duration of 12 months and a maximum budget of \$75,000

Disbursements

- Eighty percent (80%) disbursed upon execution of Grant Agreement
- Remaining twenty percent (20%) disbursed after Project Completion report submitted and approved by CSIT

Program Overview

Use of funds

Program funds are intended for clean tech/clean energy-related research and development projects

No more than 30% of the budget proposed for the Project, in the aggregate, may be spent on:

- Marketing and customer discovery specific to the innovation
- IP patent prosecution and licensing-related expenses
- Conference registration fees

Ineligible expenses

- Travel, entertainment, and other similar expenses
- General overhead expenses
- Expenditures incurred before the “Effective date” on Grant Agreement
- Equipment/materials not expect to be used for the Project during the Project period
- Conference fees not associated with the Project

Eligibility Criteria

Who can participate?

- ✓ Authorized and in good standing to conduct business in NJ
- ✓ Minimum of one (1) full-time equivalent employee (FTE-35 hrs. per week) including founders; with at least one employee working 50% of their time on the project being proposed
- ✓ 50% or more of the work of its employees including founders and contractors conducted in NJ (calculated on an FTE basis)
- ✓ 50% or more of employees/contractors live or pay withholding taxes in NJ
- ✓ Less than \$2,000,000 in prior 3rd party funding over its lifetime (excluding government grants)
- ✓ Less than \$500,000 in previous calendar year sales revenue

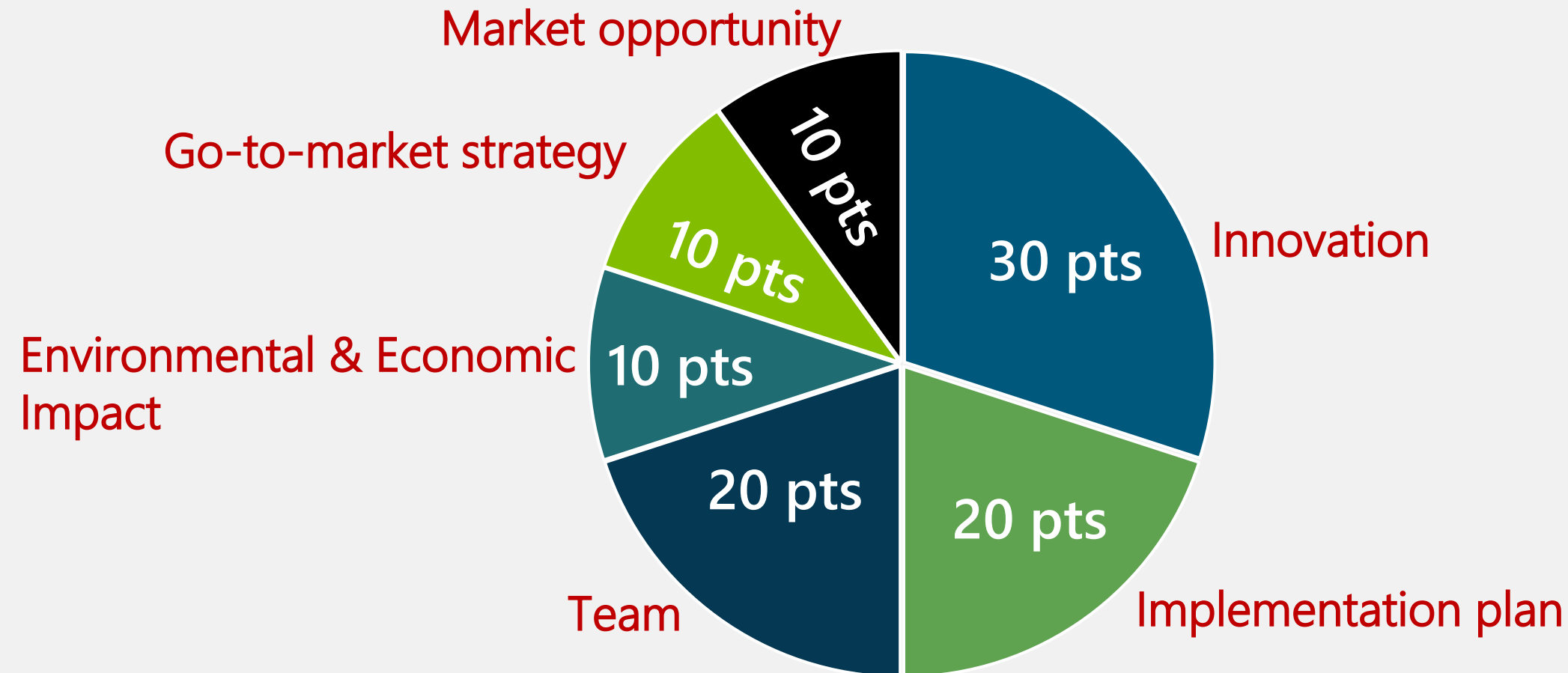
Required documentation

What should I submit?

- ☐ Completed online application
- ☐ Budget and Milestone Proposal
- ☐ Uploaded results from Technology and Readiness Technology (TRL) Calculator
- ☐ Employee information
- ☐ Summary of most recent Internal Payroll
- ☐ Most recent Company tax filing
- ☐ Current NJ tax clearance certificate- *listing CSIT*
- ☐ If applicable, copy of Women and/or Minority owned business NJ certification
- ☐ Signed Application Certification
- ☐ Completed CSIT Legal Debarment Questionnaire

Scoring criteria

How will my application be scored?



Applicants must score a minimum of **70 points** on the scoring criteria to be recommended for an award or assigned bonus points

Bonus Points?

- ✓ Technology from NJ university- executed license agreement (15 points)
- ✓ Minority-owned company (10 points)
- ✓ Women- owned company (10 points)
- ✓ Primary place of Business or R&D space located within an eligible opportunity zones census tract in NJ (5 points)

Terms and Conditions

Reporting

Report economic impact data to CSIT upon the completion of program for a period of five (5) years

Commitment to NJ

Agree that employees and consultants will conduct at least 50% of work (calculated on an FTE basis) in New Jersey for a period of 3 years from the effective date of the Grant Agreement;

- Failure to comply will result in full re-payment of grant within sixty (60) days of relocation or as conditions state in the Grant Agreement)

CSIT Events

Participate in future CSIT/NJEDA/BPU alumni activities

- E.g., serve as a panel member or conducting interviews about Program experience

Important Information: Read NOF/FAQ

Application Portal

Application Portal is open from Monday, January 24th, 2022, at 10AM to Monday March 21st, 2022, at 5PM EST

CSIT Communication

Questions and inquiries accepted through the application deadline and should be submitted to csit@njeda.com

All questions received and answers provided will be answered in the form of a Frequently Asked Questions (FAQ) document, which will be posted and continuously updated on CSIT's website

Electronic Signature

Applicants may provide an electronic Application Certification by uploading a signed PDF, however, if an applicant prefers not to provide an electronic signature, the applicant may follow the instructions on the NOF and online portal to provide a signature



Important Information (cont.)

Missing documentation

Applicants with missing documentation will receive an email notification from CSIT to submit or re-submit any missing or incomplete required documentation

Failure to provide missing documentation

Any application that does not include ALL the documents specified or contain documents that have not been completed will be considered incomplete and will not be evaluated

COVID processing delays

Applicants are encouraged to obtain copies of NJ documentation early in the process of completing their application since some State systems have experienced longer than usual processing times due to COVID

Program Timeline

January

10 Program launched (Notice of Funding released)

24 Application Portal opened at 10AM EST

26 Informational Webinar

March

21 Application Portal closes at 5PM EST

April/May Applicant presentations

Awards are expected to be made by Q3 2022

State certifications

- What is a Minority Business Enterprise (MBE) certification? What is a Women Business Enterprise (WBE) certification?
- Why should you apply for a certification?
- How do you apply for an MBE/WBE Certification?
(<https://www.njportal.com/DOR/SBERegistry/Default/>)
- What types of documents are required to apply?
- How long does it take to receive a certification?

Demonstration of Application Portal

The Clean Tech Seed Grant Program, developed in coordination with the New Jersey Board of Public Utilities (NJBPU) and the New Jersey Economic Development Authority (NJEDA) is aimed at helping new jersey based early-stage clean tech/clean energy companies accelerate development and innovation of clean technologies to transform new discoveries from research stage into commercially viable technologies, leading to industry and investor interest.

Qualified applicants can receive up to \$75,000 in grants through the Round 2 Clean Tech Seed Grant Pilot Program

APPLICATION DETAILS

APPLICATION DETAILS

The [Application Portal](#) will be open Monday, January 24th, 2022 at 10 AM to Monday March 21st, 2022 at 5PM EST.

Join us January 26, 2022 at 10 a.m. for an Informational Webinar and Q&A. [Click Here to Register](#)

PROGRAM DETAILS

ELIGIBILITY

PROGRAM GUIDE

- ☐ Program Details
- ☐ Eligibility

ONLINE APPLICATION



NOTICE OF FUNDING AVAILABILITY



MILESTONES AND BUDGET TEMPLATE



TECHNOLOGY READINESS CALCULATOR (TRL) TOOL



Demonstration of Application Portal

Log In

User Name:

Password:

Log In

Create a new account

Retrieve Login Information

Demonstration of Application Portal



Start a New Application

Please check the applicable (Only one may be selected):

- ☒ Clean Tech Seed Grant Pilot Program - Round 2
- ☐ Clean Tech Research and Development (R&D) Voucher Program
- ☐ Catalyst Seed Grant Program

Please enter a brief description of your project (up to 50 characters). The description is for your reference only. It will not be submitted with the application.

Begin Application

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Submit

Applicant:
Company:
Project: clean energy project
Product: Clean Tech Seed Grant Pilot Program - Round 2

Application ID #203054

 **HELP / Assistance**

Welcome

Thank you for applying to Round 2 of the Clean Tech Seed Grant Pilot Program. The goal of the Program is to help New Jersey-based early-stage clean tech/clean energy companies accelerate development and innovation of clean technologies to transform new discoveries from research stage into commercially viable technologies, leading to industry and investor interest.

Eligibility

Is your Company developing or testing clean technologies intended to avoid emissions of, or recapture, greenhouse gases and/or criteria pollutants, or to enable such avoidance or recapture in the following target areas: Chemicals/Advance Materials, Energy Distribution/Storage, Energy Efficiency, Energy Generation, Green Buildings, Transportation, Waste Processing, Water and Agriculture: Chemicals/Advance Materials?

Does your Company have a minimum of one (1) full-time equivalent employee with one person working at least 50% of their time on the Project (35hrs per week)?

Does your Company have less than two million dollars (\$2,000,000) in prior third-party funding over its lifetime (excluding government grants)?

Does your Company has less than five hundred thousand dollars (\$500,000) in previous calendar year sales revenue?

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Company Information

Company Name (Legal name):

Provide a brief company description (150 words max)

Company Headquarters

Street Address:

Address Line 2:

City/Town:

State:

ZIP Code:

Company's primary R&D space

Street Address:

Address Line 2:

City/Town:

State:

ZIP Code:

Company Website:

State of Formation of Company

Year Established:


Legal Structure:


Industry ([NAICS Code](#)):


Federal Tax ID Number (EIN):

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[Submit](#)**Applicant:**
Company: NJEDA
Project: clean energy project
Product: Clean Tech Seed Grant Pilot Program - Round 2**Application ID #203054**
 [HELP / Assistance](#)**Project Description**
Briefly describe the innovation that your company is developing and for what application? E.g. The company is developing a for use in.... (200 words max.)
What is/are the specific problems/challenges your innovation is trying to solve? (150 words max)
How will the proposed technology address these problems and challenges (250 words max)?
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Employee Log
List all employees that work for the company at the time of application (E.g. full-time, part-time, consultants, interns, etc.). Please include the CEO and/or founder's information as well. Verification documentation will be uploaded later in the application process. Please note that if the company uses a Professional Employment Organization (PEO) for payroll processing, the PEO-form issued by the NJ Department of Labor must be submitted as well.

Employee Names:

Position:

Date Hired:

Type:

Select ▼

of hours worked per week:

Is the employee's work location in NJ?

Select ▼

Primary work location address:

Is the employee getting paid?

Select ▼

Does the employee have equity in the business?

Select ▼

Verification document:

Select ▼

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Applicant:

Company: Test

Project: test

Product: Clean Tech Seed Grant Pilot Program - Round 2

Application ID #222959

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Employee Log

List all employees that work for the company at the time of application (E.g. full-time, part-time, consultants, interns, etc.). Please include the CEO and/or founder's information as well. Verification documentation will be uploaded later in the application process. Please note that if the company uses a Professional Employment Organization (PEO) for payroll processing, the PEO-form issued by the NJ Department of Labor must be submitted as well.

	Employee Name	Position	Type	# Hours worked per week	Verification Document
Edit Remove	Founder	CEO	Part Time	10	W2
Edit Remove	Jane S	CFO	Full Time	25	W2

[Add Another Employee](#)

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Company Information

Is the Company a Women owned business as certified by the state of NJ?

Select ▼

Is the Company a Minority owned business as certified by the State of NJ?

Select ▼

Do you have an executed license with a NJ university for this technology?

Select ▼

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Company Information

Provide details on all funding (excluding state and federal government grants) the Company has raised to date:

Funding Information

Funding Type:

Select One



Funder:

Amount:

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Company Information

Provide details on all funding (excluding state and federal government grants) the Company has raised to date:

	Funding Type	Funder	Amount
Edit	Remove	Friends and family	John Smith
			\$500,000

[Add Additional Funding Source](#)

[Continue](#)

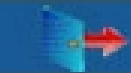
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Project Description

Who are the incumbent players in the ecosystem and what are their respective solutions or proposed solutions? (State the names of up to five companies and the solutions they offer today. If landscape has more than five main players, identify the five you considered to be most significant and indicate that there are more than five players in the market offering similar solutions)

Name of Company:

Proposed solution (Please differentiate between various company solutions)

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Name of Company

[Edit](#)

[Remove](#)

NJEDA

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Milestone and Budget

Briefly describe how the funding will be used to further the research and development of your technology? (150 words max)

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Team Information

List all the Team members who are proposed to be involved in the Project, including a brief description of the role they will play. For each team member, provide a brief bio, including any college and graduate degrees and professional experience relevant to his/her proposed role. Please add the Postsecondary Degree, Major, and University Affiliation on the same line.

Name:

Title:

Postsecondary Degrees:

Brief Bio (250 words max) Include LinkedIn URL if available

LinkedIn Profile
(weblink):

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Team Member Name

[Edit](#)

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Jane S

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Acknowledgement of Virtual Presentation

- ☐ I acknowledge that upon submission of this application, I agree to participate in a 30-minute virtual presentation of the proposed project with grant reviewers.

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 **HELP / Assistance**

New Jersey Commission on Science, Innovation, and Technology Legal Questionnaire

Persons (entities or individuals) applying for CSIT programs are subject to the Disqualification/Debarment set forth in Executive Order 34 (Byrne 1976). Applicants are required to answer the following background questions ("Legal Questionnaire") pertaining to causes that may lead to debarment, disqualification, or suspension from eligibility under the Regulations and Executive Orders 34 (Byrne 1976) and 189 (Kean 1988) after consideration of all relevant mitigating factors. Governmental entities are not required to submit this Legal Questionnaire and may leave it empty.

*Note that this form has recently been modified.
Please review in its entirety prior to providing any responses or certifications.*

DEFINITIONS

Notwithstanding any terms defined elsewhere or otherwise herein, the following definitions shall govern in responding to this Legal Questionnaire:

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. For the purposes of application for, or ongoing compliance with, CSIT-administered programs, this includes:

- any entities or persons having an ownership interest in Applicant of 10% or greater;
- any entities in which Applicant holds an ownership interest of 10% or greater; and
- any entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with CSIT.

Note that any entities or persons fitting these definitions will need to be listed in Part C on the next page.

"Legal Proceedings" means any civil, criminal, or administrative proceedings in a State or Federal court or administrative tribunal in the United States or any territories thereof.

RELEVANT TIMEFRAMES

Responses should be given based on the following "look-back" periods:

- For civil matters, those that were either pending or concluded within 5 years of the reporting date;
- For criminal matters, those that were either pending or concluded within 10 years of the reporting date;
- For environmental regulatory matters, those that were either pending or concluded within 10 years of the reporting date; and
- For all other regulatory matters, those that were either pending or concluded within 5 years of the reporting date.

Note that in cases where Applicant has previously submitted and certified a legal questionnaire to CSIT, the Applicant may refer to its prior legal questionnaire and report only those matters that are new or have changed in status since the date of last reporting.

Part A. Past Proceedings

Has Applicant, or any officers or directors of Applicant, or any Affiliates of Applicant, been found or conceded or admitted to being guilty, liable or responsible in any Legal Proceeding, or conceded or admitted to facts in any Legal Proceedings that demonstrate responsibility for any of the following violations or conduct? (Any civil or criminal decisions or verdicts that have been vacated or expunged need not be reported.)

☐ 1. Commission of a criminal offense as an incident to obtaining or attempting to obtain a public contract, or subcontract there under, or in the performance of such contract or subcontract.

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Required Documentation

All applications to the the Clean Tech Seed Grant Pilot Program - Round 2 **must** include the following documentation:

1. [Budget and Milestone Proposal](#)
2. [Uploaded results from Technology and Readiness Technology \(TRL\) Calculator Excel file](#)
3. **Employee information (documentation)** as appropriate for applicable company structure and staffing; most recent NJ WR-30 (W2 employees) or 1099 (contractors), Shareholder Agreement or K-1 or offer letters. Please note that if a Professional Employment Organization (PEO) is utilized, the Applicant must submit confirmation of PEO-A form issued by the NJ Department of Labor (DOL)
4. **Summary of most recent Internal Payroll** indicating each employee name, including founders and number of hours worked per week
5. **Most recent Company tax filing** applicable to the organizational form of your business, showing the total Gross Receipts or Sales for the year
6. **Current NJ tax clearance certificate (listing New Jersey Commission on Science, Innovation and Technology)**
7. **If applicable, copy of Women and/or Minority owned business NJ certification**
8. [Signed CSIT Certification](#)
Please download, sign, and upload the linked Application Certification as an attachment on the next page.

The online application will enable applicants to provide an electronic Application Certification by uploading a signed PDF. However, if an **applicant prefers not to** provide an electronic Application Certification, the applicant may:

a. Mail a hard copy of the signed Application Certification postmarked by the application deadline to CSIT at:
Commission on Science, Innovation and Technology
Attn: Judith Sheft (Executive Director)
36 W State Street
P.O. Box 990
Trenton, NJ 08625

AND

b. Email the signed CSIT Certification to CSIT with the subject line: "MAILED Application Certification Clean Tech Seed Grant Pilot Program - Round 2" indicating that the document has been mailed. Only the signed CSIT Legal Certification should be mailed; the rest of the application must be submitted through the online system.

Please note that if an applicant chooses to mail a hard copy of the application certification, the application will only be considered complete once the original signed CSIT application certification post marked by the application deadline is received by CSIT.

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Submit

Applicant:

Company: NJEDA

Project: clean energy project

Product: Clean Tech Seed Grant Pilot Program - Round 2

Application ID #203054

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Attachments

There are no files currently attached to the application.

Attachments may be submitted in the following formats:

- Microsoft Word (.doc, .docx)
- Microsoft Excel (.xls, .xlsx)
- WordPerfect (.wpd)
- Text (.txt)
- Adobe PDF (.pdf)

Select file(s) to attach:

Select

Upload Files

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Applicant:

Company: NJEDA

Project: clean energy project

Product: Clean Tech Seed Grant Pilot Program - Round 2

Application ID #203054



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